
The Robert Wood Johnson Foundation
ANNUAL REPORT 1993

CHRONIC HEALTH CONDITIONS



TO IMPROVE THE WAY
SERVICES ARE ORGANIZED
AND PROVIDED TO PEOPLE
WITH CHRONIC HEALTH
CONDITIONS

Annual Report
for 1993 of
The Robert Wood Johnson Foundation

CHRONIC
HEALTH CONDITIONS



Route 1 and College Road East, Post Office Box 2316, Princeton, New Jersey 08543-2316

THE FOUNDER

ROBERT WOOD JOHNSON
1893-1968

Robert Wood Johnson devoted his life to public service and to building the small but innovative family firm of Johnson & Johnson into the world's largest health and medical care products conglomerate.

The title by which most knew him — General — grew out of his service during World War II as a brigadier general in charge of the New York Ordnance District. He resigned his commission to accept President Roosevelt's appointment as vice chairman of the War Production Board and chairman of the Smaller War Plants Corporation.

General Johnson was an ardent egalitarian, an industrialist fiercely committed to free enterprise who championed — and paid — a minimum wage even the unions of his day considered beyond expectation, and a disciplined perfectionist who sometimes had to restrain himself from acts of reckless generosity. Over the course of his 74 years, General Johnson would also be a politician, writer, sailor, pilot, activist and philanthropist.

His interest in hospitals led him to conclude that hospital administrators needed specialized training. So he joined with Dr. Malcolm Thomas MacEachern, then president of the American College of Surgeons,

in a movement that led to the founding of the world's first school of hospital administration at Northwestern University.

General Johnson also had an intense concern for the hospital patient whom he saw as being lost in the often bewildering world of medical care. He strongly advocated improved education for both doctors and nurses, and he admired a keen medical mind that also was linked to a caring heart.

His philosophy of corporate responsibility

received its most enduring expression in his one-page management credo for Johnson & Johnson. It declares a company's first responsibility to be to its customers, followed by its workers, management, community and stockholders — in that order.

Despite the intensity and determination he displayed in his role as a business leader, General Johnson had a warmth and compassion for those less

privileged than he. He was always keenly aware of the need to help others, and during his lifetime, he helped many quietly and without fanfare.

General Robert Wood Johnson's sense of personal responsibility toward society was expressed imperishably in the disposition of his own immense fortune. He left virtually all of it to the foundation that bears his name, creating one of the world's largest private philanthropies.



Robert W. Johnson

C O N T E N T S

Board of Trustees	Inside front cover
Robert Wood Johnson	2
The Chairman's Statement	4
The President's Message:	
<i>CHRONIC HEALTH CONDITIONS</i>	6
Goals Update	
Access	22
Substance Abuse	24
Cost Containment	25
1993 Activities: Statistical Analysis	28
1993 Grants	30
Selected Bibliography	56
Financial Statements	61
The Secretary's Report	65
Officers and Staff	68
National Program Offices and Directors	70
Grant Application Guidelines	74
Materials Available	75
About the Photographs	76

THE CHAIRMAN'S STATEMENT

Sidney F. Wentz, *Chairman, Board of Trustees*

Whatever else may be accomplished in Washington's first round of health care reform, I have serious doubts that it will greatly ease conditions for those with chronic health problems. In fact, there's a precedent that leads me to believe that it could make things worse.

Starting in the mid-1960s, well-intentioned advocates of individual freedom won a series of state and federal court rulings that people with mental illness could not be institutionalized indefinitely against their will, if they posed no threat to themselves or others. That began to empty the mental institutions of tens of thousands of patients who, if they maintained their medication, were able to function in society. Unfortunately, without the close supervision they had received in the hospital, many stopped taking their medication and became nonfunctional again.

That side effect of the rulings was anticipated by mental health professionals, who quickly proposed the creation of outpatient clinics and halfway houses to address the need. But the people who saw the need weren't the people who controlled the



Sidney F. Wentz

allocation of funds for public projects, and the warnings of the mental health community were drowned out by the much louder voices of competing public demands. The upshot was that very few halfway houses and clinics were created and, as a result, thousands of people with mental illness were dumped onto the street.

I see a disturbing potential for something similar happening to those with chronic health conditions under health care reform.

The reform debate has been cast in terms of universal access to care, a costly undertaking. Predictably, most of the attention is on the acute care, high-tech end of medicine, where the big-ticket costs lie. That focus deeply troubles those with chronic health problems and their families and advocates, as they pointed out again and again in the Foundation's "Conversations on Health" — our nationwide series of public hearings held early in the year on health care reform. The essence of their concern was that federal, state and local government and the general public would become so caught up in issues of access to very expensive, high-tech medicine that we would overlook the grave need of those with chronic illnesses for low-tech services like medical transportation, home health assistance and respite for caregivers.

Witness after witness recounted situations in which they or other people with chronic illnesses were obliged to seek the most costly type of care — hospitalization — because inexpensive services which would have let them remain at home were

unavailable or not paid for by private insurance or by the government. I may be the Foundation's leading non-expert on the arcana of health care financing and delivery. But I know business. And this is bad business.

It is incumbent on all of us — philanthropies with a health care orientation, political leadership, the medical industry and the public at large — to make sure that we don't leave these problems unaddressed in our rush to reform. But we may.



CHRONIC HEALTH CONDITIONS

Steven A. Schroeder, MD, *President*

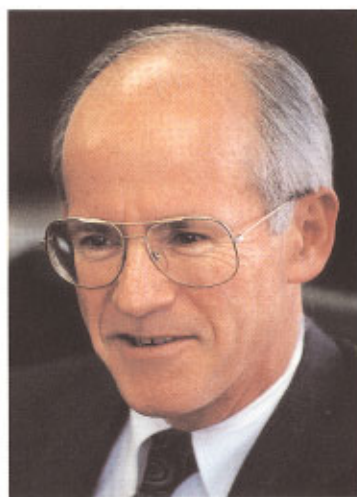
For the second time in as many years, I find myself beginning this report with essentially the same question: How did we get this far into the national debate about health care reform without significantly addressing a particular critical subject? Last year it was substance abuse; this time it's care for people with chronic health conditions.

Chronic illness is all around us. One quarter of all Americans provide some degree of personal care for the 35 million among us who live with a chronic health condition — diabetes, cancer, emphysema, heart disease, muscular dystrophy, spina bifida, AIDS, chronic mental illness, dementia, injuries that cause disability, alcoholism, blindness or disabling arthritis.

The people we help care for are our parents, spouses, children, neighbors and friends. A comparative few are patients in nursing homes and other long-term care institutions. Others are effectively homebound by the limitations of their conditions.

A fortunate fraction are able to have independent lives in spite of their disability.

A Gallup poll funded by the Foundation revealed that one American in seven faces major activity limitations because of chronic illness, and more than one-third of these people do not seek routine or



Steven A. Schroeder

preventive care from their health care provider — they only see their provider for acute problems. This lack was more often mentioned by younger adults with chronic illnesses, who are also twice as likely to report difficulty in obtaining services.

Though their diseases and levels of function vary greatly, virtually everyone with a chronic illness has the same desire — to live as independently and with as

much dignity as possible, with minimum pain, disability and social stigma.

One of the great frustrations for people with chronic health conditions and those who care for them derives from their contacts with a medical care system more geared to cure acute disease. For example, those caring for a parent with Alzheimer's disease living at home find it relatively easy to arrange for removal of a cataract or replacement of an arthritic hip. An abundance of highly skilled surgeons, working in sophisticated medical centers, are eager to perform these procedures and be reimbursed for their services by Medicare. But arranging for help with meals in the home, arranging even a day's respite for the exhausted caregiver, or obtaining a wheelchair can require the negotiating skills of a seasoned diplomat, the patience of Job, and the technical knowledge of an accountant.

Chronic disorders account for a large portion of the nation's expenditures on health care, perhaps as high as 25 percent. Too much of this money is spent on services that are inappropriate,





duplicative or, in some instances, unnecessary. Meanwhile, many service needs go unattended. There are, indeed, tremendous opportunities for cost savings in caring for this population.

This state of affairs is not new. That's why people with chronic illnesses were specifically included in the Foundation's commitment to underserved patient populations in 1988, and why, in 1991, improving the way services are organized and provided to those with chronic health conditions was declared one of our principal programming goals. And, certainly, we weren't the only institution to recognize this flaw in our health care system, which raises the obvious question:

Why is chronic care absent from the health policy debate?

As of early 1994, even after a year of intense debate about health care reform, the disparity between the needs of people with chronic health conditions and the way the medical care system is currently organized is not being addressed in the debate about health care reform.

Two of the goals of The Robert Wood Johnson Foundation — universal access to basic health care services and helping the nation come to grips with rising health care costs — obviously are central to that debate: Thirty-eight million Americans are not covered by health insurance, and many millions more are in danger of

stated with some assurance. In the case of substance abuse, there are three contributing factors — public denial and ignorance, political considerations and a mistaken sense of futility.

At least five factors account for the invisibility of chronic illness as a policy issue. First, the organization and financing of care for people with chronic health conditions is extremely complex, and the lack of a unifying reform concept obscures the problem's visibility — one can't simply refer to a concept like covering 38 million uninsured, spending 14 percent of the gross domestic product for health care, or attributing over 500,000 deaths per year to substance abuse.

Second, many politicians and members of the public mistakenly assume health care financing reform will solve the problems of the delivery system. As I learned this year during the Foundation's four regional "Conversations on Health," the health care reform debate has unleashed a torrent of expectations. The public imagines that reform will

Estimated Number of People with Selected Chronic Conditions	
Ischemic heart disease.....	7,511,000
Diabetes	6,765,000
Intervertebral disc disorders.....	4,328,000
Other selected diseases of the heart (excluding hypertension).....	4,029,000
Stroke.....	2,786,000
Emphysema.....	2,064,000
Mental retardation	1,542,000
Bone or cartilage disorders	1,418,000
Epilepsy	1,194,000
Partial paralysis of extremities.....	920,000
Blindness.....	572,000
Complete paralysis of extremities.....	497,000
Stomach, intestinal, colon and rectal cancer.....	249,000
Prostate cancer	224,000
Lung cancer	199,000
Multiple sclerosis	199,000

losing all or part of their coverage. The United States spent over \$900 billion for health care in 1993, amounting to more than 14 percent of our gross domestic product, far in excess of any other country.

Why the Foundation's other goals — reducing the harm from substance abuse and serving those with chronic illnesses — are not being effectively addressed within health care reform can be

Table Source: Collins JG. Prevalence of Selected Chronic Conditions: United States, 1986-88. National Center for Health Statistics. Vital Health Stat 10 (182). 1993.

These conditions limit the activities of at least 30 percent of people who have them.

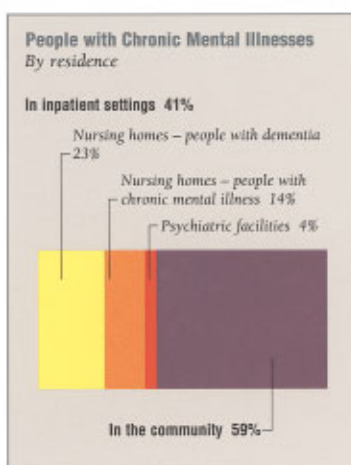
These figures apply the 1986-88 prevalence rates to the 1990 U.S. population of 248,709,873 residents.

bring about fundamental changes in the access to and coordination of health care, including many new services for those with chronic illnesses. It also believes that by restructuring health care to eliminate waste, fraud and abuse, these improvements can be accomplished without additional public expense. When reform — in whatever shape it takes — falls short of these expectations, as it inevitably must, public disappointment will be profound.

Third, the issue suffers from the fragmentation of its natural constituencies. This fragmentation can be illustrated by the disease-specific organizations that solicit contributions and lobby Congress for research and services funding. In some instances, such as for AIDS and breast cancer, this approach has been remarkably effective at increasing research support. Yet, by emphasizing the singularity of each disease, whether cystic fibrosis, Huntington's disease,

Alzheimer's disease or arthritis, these groups miss the opportunity to collaborate on systemic reform of the delivery system, and they forfeit the potential power for influencing public policy inherent in their combined strength.

Fourth, and not unrelated to the fragmentation of the chronic disease constituency, is the difficulty that Americans have in accepting a chronic illness. This phenomenon, which is best appreciated by



visiting medical care systems in other developed countries, is a reflection of U.S. values and world-view. Americans tend to believe in technical solutions to problems, tend to have great faith in biomedical science and technology and tend to be unwilling to accept limits imposed by their disease.

The fifth and final reason for the issue's invisibility reflects the values of the medical care system itself. We have organized and paid our physicians and hospitals based on the construct of acute illness, yet over the last several decades the disease burden has shifted from acute to chronic. The emphasis on acute care is most striking in our modern hospitals, with their emphasis on invasive technology and intensive care, but it is also seen in such fundamental processes as how much we pay for certain kinds of services, what types of health professionals we train and the how, what and where of their training.

Given these realities, the bulk of efforts for people with chronic conditions focus, not surprisingly, on high-technology diagnostic and therapeutic interventions that are expensive and produce incomplete results. Even with mounting private and public pressures, driven largely by cost considerations to change the health care industry, we can expect the medical system to cling to its basic values.

Chart Source: Estimates based on unpublished data from the National Institute of Mental Health, Division of Biometry and Applied Sciences, Survey and Reports Branch, 1985.





Why the care of patients with chronic illnesses is central to health reform

People with chronic health conditions, and the relatives and friends who help care for them, have a great deal to gain and to lose from health care reform. On the positive side, improved financial access would enable many who now avoid or defer needed care to obtain it in a timely fashion. Early detection and continuing management of such conditions as diabetes, asthma or AIDS can prevent serious complications, avoid hospitalization, reduce disability and postpone death.

In addition, if we had universal health insurance and community rating of that insurance, having a family member with a chronic illness would no longer be the barrier that it is now for some people trying to obtain or change jobs. Furthermore, the potential of managed care — with incentives to prevent expensive hospitalization and institutionalization — could greatly improve the relatively inexpensive services needed to help people live independently at home. Even small shifts in

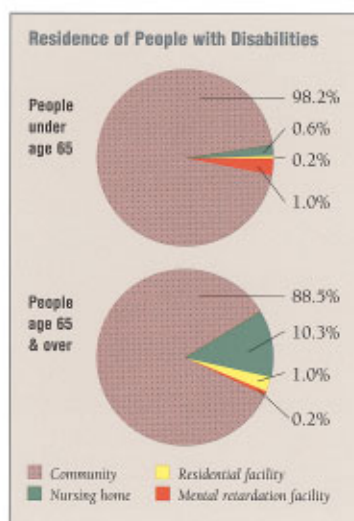
type and site of care, such as from high-tech hospital care to lower-tech home care, could save costs and improve comfort.

But the move to managed care, which is so central to both the health care reform already occurring and to most of the pending legislative options for health care reform, also contains dangers for those with chronic illnesses. The greatest danger is that a medical care system

It could be argued, of course, that health plans, in their own best interests, might invest in preventive measures to improve quality and decrease costs. For example, vigilant early treatment of foot calluses in a diabetic patient would prevent, or at least postpone, the development of foot ulcers and subsequent amputation. Unfortunately, the record to date of the managed care industry does not provide evidence that this will happen. HMOs have not had large numbers of enrollees with chronic illnesses. For example, only 7 percent of the elderly — the population group with the highest prevalence of chronic illness — are members of HMOs. Insurance plans typically focus more on avoiding risk and limiting benefits than on prevention and improving care. The rhetoric of managed competition enthusiasts notwithstanding, I find it hard to envision health plans' competing to enroll people of any age with AIDS, drug addiction, chronic mental illness or alcoholism.

The role of the Medicare population in health reform is sobering in two regards. If the

Chart Sources:
Current Estimates from the National Health Interview Survey: United States, 1986. National Center for Health Statistics (NCHS). Vital Health Stat 10 (164). 1987. Table 68, p. 111.
Nursing Home Characteristics: 1986 Inventory of Long-Term Care Places. NCHS. Vital Health Stat 14 (33). 1989. Table A, p. 3, and Table E, p. 7.
Characteristics of Facilities for the Mentally Retarded, 1986. NCHS. Vital Health Stat 14 (34). 1989. Table B, p. 4, and Table C, p. 5.
People with disabilities who reside in hospitals are excluded from the chart.



based on price competition creates built-in incentives to avoid caring for people with chronic illnesses and the high costs associated with their care. In plain terms, the easiest way to profit from patients with chronic conditions is not to enroll them in managed care programs in the first place.

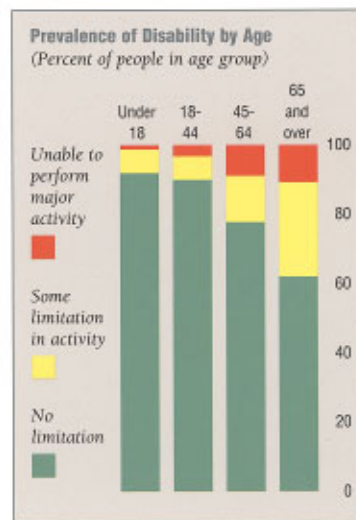
low enrollment of the elderly in HMOs reflects their reluctance to join managed care plans — a perception that seems most likely to be correct — then it also seems likely that younger patients with chronic health conditions might have similar preferences. In addition, the putative cost savings from managed competition should come disproportionately from those who are costing the most. If those patients are less interested in managed care, and managed care is less interested in them, where will the savings come from to finance the currently uninsured as well as expanded benefits for people currently covered by Medicare and Medicaid?

Chronic care programs of The Robert Wood Johnson Foundation

Foundation activities related to improving the care of people with chronic health conditions generally take a systems approach to improving health care delivery. The underlying strategic assumption is that, by demonstrating better ways to use existing resources, elevating the debate about how to care for those with chronic

illnesses, and identifying and rewarding leaders in this currently under-rewarded area, we will begin to catalyze the process of long-term change. Some of our national multisite programs under this goal include:

- **Building Health Systems for People with Chronic Illnesses.** This program provides up to \$15 million



to fund demonstration, evaluation and research projects intended to improve systems of care for people with chronic conditions. We hope that the Building Health Systems program, which announced its first round of grants in late 1993, will stimulate development of better ways to organize, finance and integrate services. We not only

expect that the program will identify and support those already working in this area, but also hope it will encourage others to enter it.

- **Chronic Care Initiatives in HMOs.** As mentioned previously, the trend toward managed care has significant implications for people with chronic illnesses. Over 45 million people are currently enrolled in HMOs, and millions more may join in the next few years because of growing financial incentives from the private and public sectors. While some HMOs have programs for specific chronic conditions, such as HIV/AIDS, this \$5.6 million program is designed to stimulate more comprehensive systems for the full range of patients with chronic illnesses — including those needing multiple medical and supportive services, as well as patients who are currently asymptomatic but who need careful monitoring and preventive care.

Chart Source:
Adams PJ, Benson V. Current Estimates from the National Health Interview Survey: United States, 1991. National Center for Health Statistics. Vital Health Stat 10 (184). 1992. Table 67, p. 106.





- Old Disease, New Challenge: Tuberculosis in the 1990s.** The resurgence of what was once called the “white plague,” with its disturbing new feature of multiple drug resistance, is challenging clinicians and public health experts. The rise in tuberculosis cases stems from an increase in susceptible populations, including people living with HIV/AIDS, people in prison, the homeless, migrant farm workers, some immigrants and refugees. It also reflects the erosion of the American public health infrastructure in the face of relentless pressures for delivering clinical services. This \$6.65 million program will support efforts to improve the public health measures for combating the new challenge of this old disease: prevention, screening, treatment, monitoring and the development of innovative, community-based strategies.

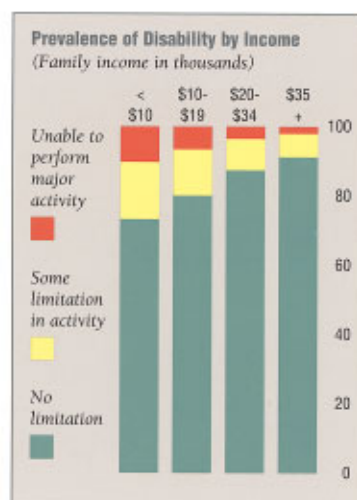
institutionalized because their communities lack an infrastructure to provide essential services. Under this \$6.5 million program, the National Cooperative Bank Development Corporation will provide technical assistance and access to capital in order to help five rural communities develop community-based systems of chronic care.

generation grantees. One example is Partners in Caregiving: The Dementia Services Program, which will help up to 50 adult day centers develop and strengthen innovative center-based, in-home and other respite programs for people with chronic cognitive disorders, especially dementia.

Other programs support coalitions dedicated to helping people with disabilities; assisting state and local housing finance agencies to finance and deliver supportive services for older people in subsidized housing developments; encouraging state and local mental health agencies to develop integrated systems of care for adults with serious mental illnesses; and assisting states and communities to improve and coordinate services for children and youth with serious mental illnesses.

We also are supporting a new collaboration in New Hampshire that is designed to help local communities meet the needs of children with severe chronic illnesses, such as cystic fibrosis or cerebral palsy, and to assist their families by enabling them to build formal and informal support networks. The

- Coming Home.** When elderly people living in rural areas develop chronic health conditions, many have to leave home and are unnecessarily



This program also will test the feasibility of a revolving loan fund to attract additional public and private capital for future efforts.

Earlier Robert Wood Johnson Foundation programs in the field of chronic illness provided funds for specific conditions or for certain sites of care. We are currently supporting the replication of a number of these programs, relying on technical assistance from experts and first-

Chart Source:
Adams PJ, Benson V. Current Estimates from the National Health Interview Survey: United States, 1991. National Center for Health Statistics. Vital Health Stat 10 (184). 1992. Table 67, p. 107.

program involves Dartmouth Medical School, the New Hampshire Department of Health and Human Services, local health providers and the families themselves in an attempt to keep children in their own schools and communities and out of hospitals and institutions, while providing some respite for their families.

Another project we support has helped concerned citizens in Seattle to create the Bailey-Boushay House, a 35-bed skilled nursing facility and day health center for people living with HIV/AIDS. Offering a new and humane integration of services, Bailey-Boushay just completed its first 18 months of operation, in which it provided subacute and hospice services to 275 residents and hundreds of day patients. We also funded a project providing technical assistance to other communities across the country trying to develop similar AIDS housing efforts.

The Foundation also has funded research on chronic health conditions. One such program is a major study to understand and improve clinical decisions near the end of life. The Study to Understand Prognoses and Preferences for Outcomes and

Risks of Treatments (SUPPORT), being conducted at five university medical centers, is testing whether providing clinicians with more accurate knowledge of a patient's prognosis and care preferences affects the treatments chosen. We live in a time when dissatisfaction with aggressive care near the end of life is highly visible. This dissatisfaction is evidenced in the extreme by the demand for Dr. Jack

Percent of People with Selected Chronic Conditions Who Are Hospitalized Annually

Ischemic heart disease.....	73%
Multiple sclerosis.....	69%
Prostate cancer.....	69%
Lung cancer.....	67%
Stroke.....	65%
Stomach, intestinal, colon and rectal cancer.....	62%
Complete paralysis of extremities.....	56%

Kevoorkian's services and by more than 45 percent of California and Washington voters supporting measures to let physicians perform euthanasia. We hope that the results of SUPPORT will guide patients and clinicians to more humane and acceptable treatment patterns near the end of life. Results of the study are expected in late 1994 or early 1995.

Another research project, being conducted by a team at Brown University, is studying the incidence, prevalence and patterns of care of people with chronic illness in a single metropolitan community.

The findings should improve our understanding of the impact of medical and social interventions on the function and outcomes of patients. Additionally, partial support for the Disability Supplement of the National Health Interview Survey will enable researchers at the National Center for Health Statistics to interview people with severe physical disabilities or complex chronic health conditions. Analyses and dissemination of the survey results should help the Foundation and the broader policy community assess the adequacy and appropriateness of services for those with the most serious chronic illnesses.

Other programs in the field of chronic health conditions focus on education (for example, helping medical personnel to achieve better pain control for patients with cancer), understanding the impact of proposed health reform measures on the delivery of services to people with chronic illnesses, and, through the Community Health Leadership Program, celebrating local heroes and heroines whose efforts have improved the lives of people who might not otherwise be served.

Table Source:
Collins JG.
Prevalence of
Selected Chronic
Conditions: United
States, 1986-88.
National Center for
Health Statistics.
Vital Health Stat
10(182), 1993.

These conditions
limit the activities
of at least 30
percent of people
who have them.
Figures are for
1986-88.





The future of chronic care

Given the realities of a burgeoning older population in the United States, with its attendant burden of chronic conditions, the challenge of improving the care for people with such illnesses inevitably will become more pressing. As our chairman points out in his letter, health care reform doesn't guarantee improvement in health care and could even make things worse. Clearly, until now, the incentives of the marketplace have been perverse. On the one hand, they reward insurance carriers and health plans that avoid people with chronic illnesses. On the other hand, for those already in the medical care system — such as Medicare patients receiving fee-for-service care — providers are rewarded for providing expensive, high-technology care and penalized for spending resources on integrating care in the community. This, clearly, must change.

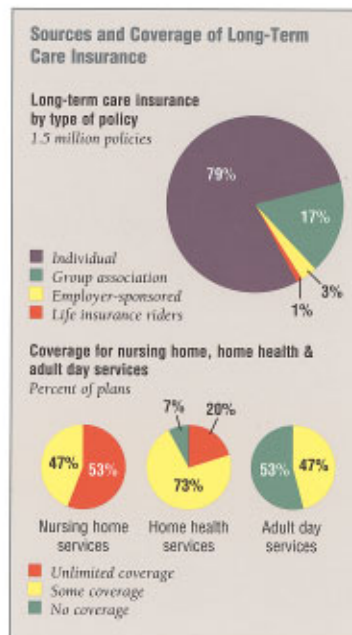
But even if health care reform is wisely crafted and wildly successful, it can affect only our formal system of care, and it will still fall short of meeting the needs and expectations of those with

chronic conditions. Enlisting the resources of the volunteer community to build a stronger, more vital, informal system of care is also essential.

The Foundation took a step in that direction this year with Faith in Action: Replication of the Interfaith Volunteer Caregivers Program. This \$23 million initiative will provide start-up grants of

national effort launched by the Foundation which has led to the establishment of more than 300 Interfaith Volunteer Caregiver projects since 1984. These projects build on the strong tradition of volunteerism in America and provide home-based volunteer services, care and companionship to people with chronic health conditions living in their communities. Such coalitions have grown and become financially self-sustaining over time with support from a variety of sources, including local funders. We hope that eventually such coalitions will exist in every community in the country, helping to address the unmet needs for informal care among the millions of Americans with chronic health conditions.

Only by simultaneously strengthening both our formal and informal systems can our nation have the comprehensive health care system that Americans want and deserve. What we are striving for is a system that will, in the words of the old French saying, "cure sometimes, relieve often, and comfort always."



\$25,000 each and technical assistance to more than 900 community organizations established by interfaith coalitions of churches, synagogues, mosques and other institutions with religious missions. Each coalition will develop caregiving programs serving people of all ages with chronic health conditions. This expands an earlier

Chart Source:
Health Insurance Association of America. Highlights of HIAA Long-Term Care Insurance Survey. Washington, DC, 1990. Table 1 and p. 2.

Coverage data are from a survey of the 15 long-term care insurance plans that provide three-fourths of the policies in the United States.

To assure that Americans of all ages have access to basic health care

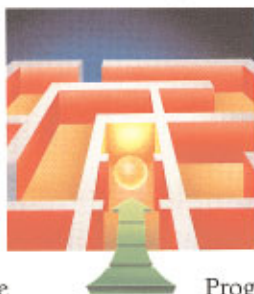
Concern over lack of access to basic health care underpins the public's interest in health care reform. Regardless of age, race, employment or economic status, many Americans cannot obtain the health services they need in a timely, affordable manner. Those who have not yet faced these barriers are aware of their own vulnerability and worry about future security. While 38 million Americans are without health insurance, many more are underinsured or may lose coverage if they change jobs or get sick.

Although lack of insurance is a formidable barrier to access, it is by no means the sole impediment. Since 1991, the Foundation has tried to address four kinds of barriers people face in gaining access to basic health services:

- financial barriers
- barriers related to the supply and distribution of health services and providers
- sociocultural barriers, and
- organizational barriers.

Much of the national health care reform debate is geared to resolving financial barriers to access. Where these efforts will lead is still uncertain. What is clear is that some states and providers are already moving ahead. During 1993, the Foundation expanded support for a program of technical assistance to states to provide useful data and information as they proceed.

Supply and distribution barriers persist, as our health care and medical education systems continue to be skewed toward costly medical specialists. The unequal geographical



distribution of primary care providers and the greater numbers of medical specialists contribute to the fact that certain underserved populations — in rural areas or inner-city communities — cannot find primary care providers.

In 1993, the Foundation launched the Generalist Provider Research Initiative to stimulate research and evaluation projects that should build further the capacity of the health care system to provide primary care through generalist physicians and alter the current

imbalance of specialist to generalist services. This initiative joins a series of Foundation programs designed to reduce the prevalence of specialty medicine over the provision of basic health care in the United States: The Generalist Physician Initiative, the Generalist Physician Faculty Scholars

Program, and Practice Sights: State Primary Care Development Strategies.

The Foundation also launched a new effort designed to mobilize physician-initiated partnerships to improve care for underserved Americans in 50 communities nationwide. Under Reach Out: Physicians' Initiative to Expand Care to Underserved Americans, private physician groups will work together with other providers, such as primary care practitioners, community health centers, hospitals, health departments and state agencies.

Evidence suggests that mid-level practitioners, including nurse practitioners and physician assistants, can play an important role in improving access to cost-effective services in underserved areas. In 1993, nine sites were funded under the Ladders in Nursing Careers Program, an initiative that replicates a successful project developed with Foundation funding by the Greater New York Hospital Association. The program establishes career ladders for entry and mid-level health workers — particularly minority and low income individuals.

In the early 1990s, a new epidemic of measles drew attention to the alarmingly low immunization rates for preschool children in this country. Rates had dipped in spite of evidence that immunizations are one of the most effective public health interventions available, and they are a key indicator of the adequacy of child health services in a community. All Kids Count: Establishing Immunization Monitoring and Follow-up Systems was initiated to improve and sustain access to immunizations for preschool children. By developing monitoring and follow-up systems, the program seeks to reduce the rates of illness, disability and death from vaccine-preventable diseases. In 1992, 23 sites were awarded planning grants under the program, and in 1993, 14 of these sites received implementation funding.

A substantial barrier to improving access and to providing more effective medical care is mutual lack of cultural understanding and communication skills between health care professionals and patients. Providers must be attuned to the unique needs of diverse population groups. Opening Doors: A Program to Reduce Sociocultural Barriers to Health Care, a collaborative effort with The Henry J. Kaiser Family Foundation, support projects that improve access to maternal, child and reproductive health services by overcoming or increasing knowledge about sociocultural barriers. For example, of 11 grants awarded under Opening Doors in 1993, one project will develop a pool of interpreters for use by health care providers to reach the Hispanic and Asian residents and immigrants of Oakland, California, and another project will use case studies of African American and Hispanic population groups to teach medical students about sociocultural issues.

Another way to improve access for vulnerable populations is to increase the number of minority medical professionals who will practice in sites where they will serve patients who share their culture. The Minority Medical Education Program, now in its sixth

year, continues to assist promising, highly motivated minority students in gaining admission to medical schools. The Minority Medical Faculty Development Program also continued in 1993, with 12 new fellowships awarded to minority physicians who are committed to careers in academic medicine.

In March 1993, the Foundation organized and held a series of national forums to educate the public on the problems facing our health care system. Titled "Conversations on Health," the four meetings — held in Tampa, Florida, Des Moines, Iowa, Dearborn, Michigan, and Washington, D.C. — were structured as conversations with citizens and health care providers about how problems of access, chronic health conditions, substance abuse and health care costs affect their lives. These meetings offered individual Americans a chance to depict and analyze critical health care issues from their own perspectives.

In 1994, the national health care reform effort will continue to wrestle with the issue of access to basic health care for all Americans. The year holds promise. However, many of the proposed solutions focus almost exclusively on financial access to health care. Regardless of the degree of change within the financing system at the state or federal level, substantial barriers will remain for many Americans. Market adjustments may even create some new ones.

The Foundation will continue to use its resources to assure access to basic health care for all Americans and will increase its focus on non-financial barriers to access. New efforts may include identifying opportunities to revitalize the public health system, monitoring changes caused by health care reform on access to care, developing rural health networks, and exploring a possible new role for the Foundation in improving urban health.

To promote health and prevent disease by reducing harm caused by substance abuse

Substance abuse is the primary cause of preventable illness, injury and death in the United States. Efforts to reduce the harm caused by tobacco, alcohol and drugs are, therefore, an integral part of trying to improve health and health care. A continuing backdrop for the Foundation's efforts in the substance abuse area during 1993 was health care reform. It is, of course, the overriding issue that will affect every facet of health care, directly or indirectly, over the next year — and it offers both potential benefits and pitfalls for the handling of substance abuse issues.

Our efforts in 1993 were concentrated in five priority areas:

- communicating substance abuse as the nation's number one health problem
- reducing the harm caused by tobacco
- understanding the causes (etiology) of substance abuse
- prevention and early intervention, and
- reducing demand through community initiatives.

Given the complexity of raising the problem of substance abuse on the public agenda, 1993 was largely dedicated to planning the best methods for communicating substance abuse as the nation's number one health problem. In addition, the Foundation sponsored a successful press and congressional staff briefing — in collaboration with the Center for Addiction and Substance Abuse (CASA) at Columbia University — to announce the availability of *Substance Abuse: The Nation's Number One Health Problem — Key Indicators for Policy*, a trends monitoring chartbook written by an expert group from Brandeis University for the Foundation. A major focus of the chartbook is the enormous toll of substance abuse.



Particularly notable is the large number of deaths each year attributable to it: more than 400,000 due to tobacco, 100,000 as a result of alcohol, and 20,000 due to illicit drug abuse and related AIDS deaths.

Highlights of 1993 activity in the tobacco area include authorization of two new national programs. SmokeLess States: Statewide Tobacco Prevention and Control Initiatives is designed to help statewide coalitions develop comprehensive tobacco reduction strategies, especially to stop use by children and youth. Smoke-Free Families: Innovations to Stop Smoking During and Beyond Pregnancy is designed to achieve widespread diffusion of state-of-the-art smoking cessation techniques and to develop new approaches for eliminating smoking in women during their childbearing years. Eleven projects were awarded grants under the Tobacco Policy Research and Evaluation Program, which will identify, analyze and evaluate public- and private-sector policies aimed at reducing tobacco use. Also of note was a grant to the Burley Tobacco Growers Cooperative Association to develop an economic transition plan that should help

communities in the South reduce their reliance on producing tobacco products.

Efforts in the etiology area were exploratory. For example, one grant tests whether additional analysis of existing data sets could fill some of the gaps in knowledge regarding the etiology of substance abuse; another supported an exploratory analysis for a study of the development of nicotine dependence.

In the prevention and early intervention area, major activities include a program to instruct youth sports coaches about substance abuse issues, a national survey of college students' drinking, and a program to provide training and technical assistance to six juvenile justice systems committed to developing community-based prevention and early intervention services for juvenile offenders.

The focus of our community initiatives work was on the continued implementation of major programs, such as Fighting Back: Community Initiatives to Reduce Demand for Illegal Drugs and Alcohol, which supports efforts to create community-wide systems of prevention, early identification, treatment and after-care; Healthy Nations: Reducing Substance Abuse Among Native Americans, another community-focused program aimed at combatting substance abuse among Native Americans; and Join Together, a national technical assistance resource for communities fighting substance abuse. New endeavors in 1993 were aimed at reducing local, state and national policy barriers. One new project provides technical assistance to communities concerning environmental approaches to alcohol and tobacco prevention and another is convening regional meetings with state policymakers to discuss substance abuse coverage issues under state health reform.

Representatives of all current Foundation substance abuse grantees met in December 1993. It was the first time all Foundation grantees in a major goal area were convened. This forum for exchanging ideas resulted in valuable advice and forecasting for the Foundation.

The coming year will be a time for further program development in most of our substance abuse priority areas. A few of the possible activities for 1994 are: development of national communications projects designed to denormalize tobacco use and alcohol abuse; support for a coalition of diverse organizations to provide leadership on these issues both nationally and locally; a program to reduce binge drinking on college campuses; and an alcohol and drug policy research initiative. In addition, the feasibility of developing a large-scale, anti-substance abuse community initiative in a single city may be explored.

To help address the problem of escalating health care expenditures

Ironically, rising health care costs are both the driving force behind health care reform and the major impediment to its adoption. Many people believe that cost control must precede universal coverage or improvements in health services. Others reason that costs cannot be controlled unless we have universal coverage and until the financial incentives within the health care system are rationalized.

In the quest for health care cost containment, Foundation staff developed a four-part strategy to guide our 1993 activities:

- to develop and test new cost control strategies
- to educate the public about trade-offs inherent in different strategies
- to establish a forum to explore the long-term effects of restructuring the health care system, and
- to monitor the impact of cost controls on health care costs, access and quality.

Because controlling costs is central to the health care reform debate, much of the Foundation's work in this area focuses on developing and testing new cost containment strategies. State Initiatives in Health Care Reform, for example, supports 12 states as they test different strategies, demonstrates how reform might be implemented, and helps gauge what the public will support. In response to the increase in state health care reform efforts, the program was expanded in 1993 to include up to 10 additional states and technical assistance was also expanded to make it available to more states. Support also continues for Changes in Health Care Financing and Organization, a national initiative intended to design and analyze major health care financing strategies, conduct demonstrations to test new strategies, and evaluate major strategies already in place.

The way cost controls are applied raises fundamental questions of fairness and equity. Critical to the adoption of effective cost control strategies will be better public understanding of the trade-offs among the many choices to be

made and the consequences of inaction. The Foundation's ongoing public education activities in 1993 included an economic conference on the various health care proposals currently under consideration and a grant to enable students in thousands of high schools across the country to debate the issue of health care reform.

To explore the long-term implications of restructuring the health care system, the Foundation is supporting a project that will conduct analyses and make recommendations to facilitate the health care reform process. The project will study the economic consequences of reform on: firms that pay for the health care of their workers, employment in the health sector, the nation's economic growth (particularly with regard to small business employment and technological innovation), and government spending and the national debt.

The Foundation continues to monitor the impact of health care reform, including reforms that already are under way. For example, research was done on the implications of health care reform for the overall economy and for individual households. The Foundation also is monitoring the impact of state reforms and collecting baseline data for evaluating any national reforms.

Several significant issues will receive attention in 1994:

- developing, testing and monitoring cost controls
- exploring ways to reduce and reallocate excess capacity — too many facilities, too much equipment, and too many physician specialists, and
- improving the way providers and patients use health care resources.

The Foundation will continue current work that develops, tests and monitors cost controls — demonstrations of state health care reform, targeted research and policy analysis on financing and costs, and convening activities. Examples include case studies and cross-state

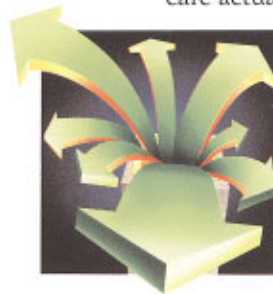
analyses of specific reform approaches and research on key implementation issues.

Excess capacity is a major contributor to rising health care costs. It is the most distinctive difference between the U.S. health care system and those of other industrialized countries, where costs are lower. Historically, market forces have not reduced or reallocated excess capacity and, in many cases, appear to have exacerbated the problem. Yet, regulatory mechanisms to control capacity have been largely absent from the current health care reform debate, with the assumption seeming to be that new market pressures will lead to more efficient use of resources. Projects designed to explore the feasibility, effectiveness and implications of alternative approaches to reducing excess capacity in the system and controlling capacity growth will be pursued: for example, highlighting the need for controlling capacity through convening and research; and determining whether managed care actually leads to greater efficiency, productivity or cost savings.

Improving the way providers and patients use health care resources also will be a priority. In addition to the influence of the larger policy and financing environments, the success of cost control efforts ultimately depends

on the way that professionals and consumers use health care resources. Previous research has shown that physicians influence 70 to 80 percent of medical care utilization and that markedly different physician practice patterns do not produce significantly different patient outcomes. Ongoing work in this area includes research on effective mechanisms for influencing physician practice.

By focusing on these issues, Foundation staff hope to facilitate the health care reform debate and move closer to our goal of health care cost containment.





1993 ACTIVITIES

Statistical Analysis

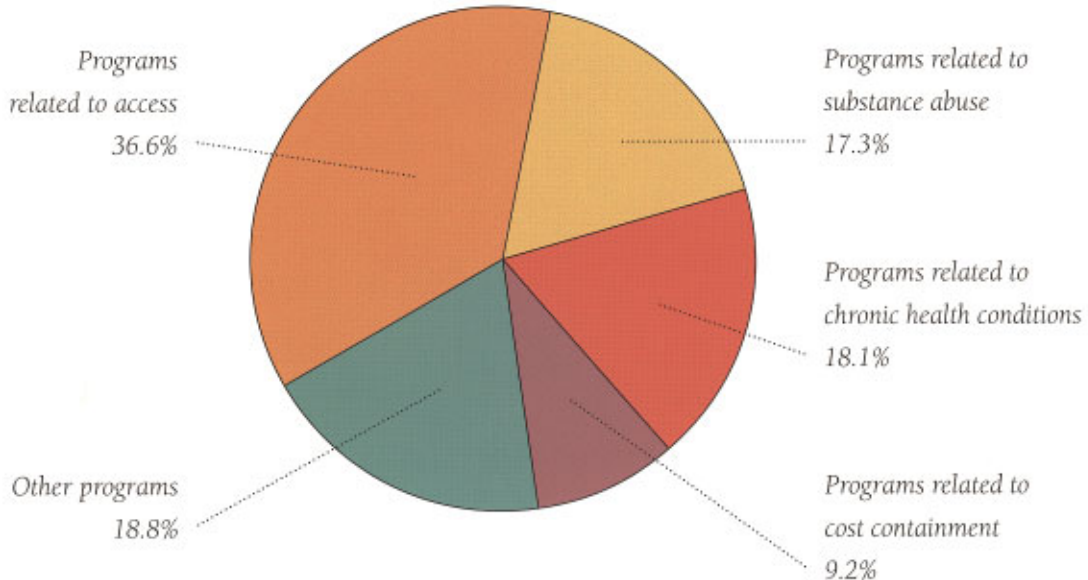
During 1993, the Foundation made 530 grants totalling \$137.48 million in support of programs and projects to improve health care in the United States. These grant funds, viewed in terms of the Foundation's principal objectives, were distributed as follows:

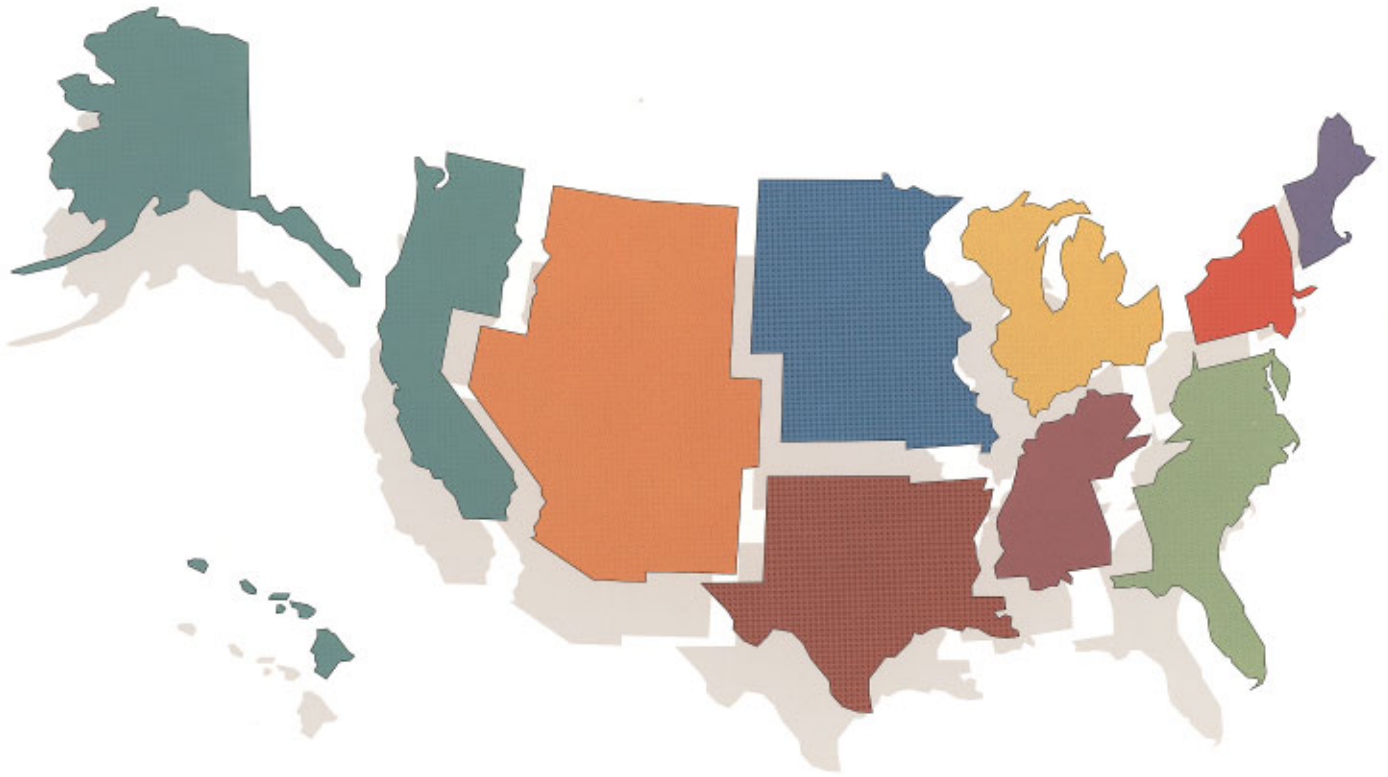
- \$50.32 million for programs that assure that Americans of all ages have access to basic health care
- \$23.76 million for programs that promote health and prevent disease by reducing harm caused by substance abuse
- \$24.90 million for programs that improve the way services are organized and provided to people with chronic health conditions

- \$12.59 million for programs that help the nation address the problem of escalating medical care expenditures, and
- \$25.91 million for a variety of other purposes, principally in the New Brunswick, New Jersey, area where the Foundation originated.

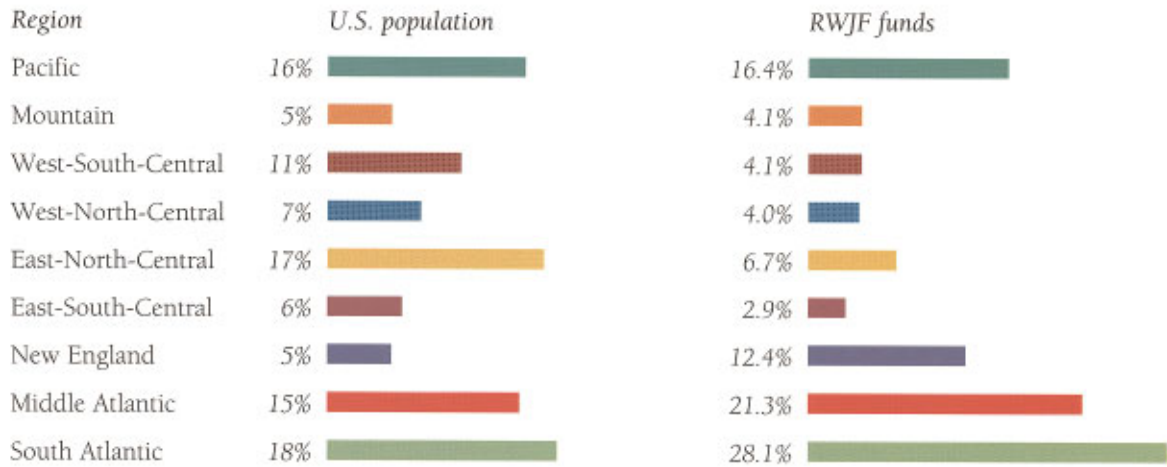
The distribution of funds for 1993 by areas of interest is charted below. The geographic distribution of 1993 funds is diagrammed on the opposite page. Since becoming a national philanthropy in 1972, our appropriations have totaled \$1.57 billion.

Distribution of 1993 funds by areas of interest





1993 appropriations by geographical region
(\$137.48 million)



U.S. population figures taken from the 1990 Census of Population, U.S. Department of Commerce, Bureau of Census, March 1991.

1993 GRANTS

This section is a listing of the 530 grants made in 1993. In addition, the Foundation continued to make payments on and monitor 858 grants awarded in prior years. Together these two groups comprise the Foundation's active grants.

Brief, descriptive program summaries are available for selected grants. Using information from this section, requests for program summaries should include the title of the grant, the institutional recipient, and the grant ID number. Address requests to:

Communications Office
The Robert Wood Johnson Foundation
Post Office Box 2316
Princeton, New Jersey 08543-2316.

A complete list of all active grants also is available on a computer diskette (3½-inch, high-density IBM- or Macintosh-compatible). Direct requests to the above address.



ACCESS

Demonstrations

All Kids Count: Establishing Immunization Monitoring and Follow-up Systems

Support for projects to develop and implement systems that improve and sustain access to immunizations for preschool children (for the periods indicated). ID#19234

Chatham County Health Department
Savannah, GA
\$229,866
(2 years)

City of Cleveland, Department of Public Health
Cleveland, OH
\$413,967
(2 years)

Medical and Health Research Association of New York City, Inc.
New York, NY
\$405,268
(2 years)

Metropolitan Government of Nashville and Davidson County
Nashville, TN
\$349,423
(2 years)

City of Milwaukee Health Department
Milwaukee, WI
\$300,891
(2 years)

Mississippi State Department of Health
Jackson, MS
\$317,751
(2 years)

State of Nevada Department of Human Resources, Health Division
Carson City, NV
\$398,501
(2 years)

North Carolina Department of Environment, Health, and Natural Resources
Raleigh, NC
\$106,221
(1 year)

County of Orange Health Care Agency
Santa Ana, CA
\$52,758
(1 year)

City of Philadelphia Department of Public Health
Philadelphia, PA
\$383,100
(2 years)

State of Rhode Island Department of Health
Providence, RI
\$306,678
(2 years)

City of Richmond Department of Public Health
Richmond, VA
\$353,428
(2 years)

County of San Bernardino Department of Public Health
San Bernardino, CA
\$474,061
(2 years)

County of Snohomish Health District
Everett, WA
\$417,126
(2 years)

Alpha Center for Health Planning, Inc.
Washington, DC
\$199,922
Technical assistance center on alternative rural hospital models (for 1 year). ID#20765

American College of Physicians
Philadelphia, PA
\$499,511
Technical assistance and direction for Reach Out: Physicians' Initiative to Expand Care to Underserved Americans (for 1 year). ID#21235

The Austin Project
Austin, TX
\$49,930
Countywide effort to improve the health of infants and children (for 1 year). ID#22125

City of Baltimore, Department of Health
Baltimore, MD
\$199,171
Design of a health care delivery system for a Baltimore neighborhood (for 1 year). ID#21697

University of Colorado Health Sciences Center
Denver, CO
\$491,679
Implementation of a standardized management information system for school-based health centers (for 3 years). ID#21457

The Elementary School-Based Health Initiative
Washington, DC
\$34,940
Planning a program to establish health centers in elementary schools (for 4 months). ID#22908

University of Florida, College of Medicine
Gainesville, FL
\$312,000
Support for a statewide midwifery resource center (for 2 years). ID#21303

George Washington University
Washington, DC
\$399,766
Technical assistance and direction for the Local Initiative Funding Partners Program — Phase II (for 1 year). ID#21070
AND

\$540,103
Technical assistance and direction for Making the Grade: State and Local Partnerships to Establish School-Based Health Centers (for 1 year). ID#22137

The Greater Kansas City Community Foundation
Kansas City, MO
\$50,000
Strengthening community foundations' role in local immunization projects (for 9 months). ID#22579

Greater Southeast Community Hospital Foundation, Inc.
Washington, DC
\$422,486
Technical assistance and direction for Opening Doors: A Program to Reduce Sociocultural Barriers to Health Care (for 1 year). ID#21964

Institute for Urban Family Health, Inc.
New York, NY
\$50,000
Capital funding for the Mount Hope Family Practice (for 1 year). ID#23414

Institutes of Religion and Health
New York, NY
\$376,271
Expanded first-line mental health services for New York City Latino communities (for 4 years). ID#21800

Local Initiative Funding Partners Program — Phase II
Matching grants program to enable local philanthropies to sponsor innovative health services projects, focusing on the Foundation's goal areas (for the periods indicated). ID#18466

Case Western Reserve University, Frances Payne Bolton School of Nursing
Cleveland, OH
\$400,000
(4 years)

New York Downtown Hospital
New York, NY
\$420,000
(3 years)

Pinellas County Board of Juvenile Welfare
St. Petersburg, FL
\$395,716
(4 years)

Planned Parenthood of Greater Miami, Inc.
Coconut Grove, FL
\$300,000
(3 years)

St. Christopher's Hospital for Children
Philadelphia, PA
\$389,527
(4 years)

Medical College of Virginia Foundation, Inc.
Richmond, VA
\$424,948
(3 years)

West Alabama Health Services, Inc.
Eutaw, AL
\$220,857
(3 years)

Wishard Memorial Foundation
Indianapolis, IN
\$200,000
(3 years)

Local Initiatives Support Corporation
New York, NY
\$1,000,000
Health and social services in community development corporations (for 3 years). ID#21364

Making the Grade: State and Local Partnerships to Establish School-Based Health Centers
Promotes the increased availability of school-based health services for children and youth with unmet health care needs (for the periods indicated). ID#20612

State of Colorado, Department of Health
Denver, CO
\$100,000
(15 months)

State of Connecticut, Department of Public Health and Addiction Services
Hartford, CT
\$100,000
(15 months)

State of Delaware, Department of Health and Social Services
Dover, DE
\$100,000
(15 months)

State of Hawaii, Department of Health
Honolulu, HI
\$96,733
(15 months)

Health Research, Inc.
Albany, NY
\$100,000
(15 months)

State of Louisiana, Department of Health and Hospitals
New Orleans, LA
\$96,635
(15 months)

<p>State of Maryland, Office for Children, Youth, and Families Baltimore, MD \$100,000 (15 months)</p>	<p>The National Association of Community Health Centers, Inc. Washington, DC \$286,676 <i>Technical assistance and direction for the Program to Strengthen Primary Care Health Centers (for 1 year). ID#20022</i></p>	<p>Opening Doors: A Program to Reduce Sociocultural Barriers to Health Care <i>Supports demonstration and research projects to improve access to maternal, child, and reproductive health services (for the periods indicated). ID#20796</i></p>
<p>State of North Carolina, Department of Environment, Health, and Natural Resources Raleigh, NC \$100,000 (15 months)</p>	<p>National Black Women's Health Project, Inc. Dorchester, MA \$175,000 <i>Implementation of a health education program for low-income women (for 2 years). ID#19874</i></p>	<p>Asian Health Services, Inc. Oakland, CA \$237,250 (3 years)</p>
<p>State of Oregon, Department of Human Resources Salem, OR \$100,000 (15 months)</p>	<p>New Jersey Health Services Development Program — Phase II <i>Innovative projects to address the state's health care needs, focusing on the Foundation's goal areas (for the periods indicated). ID#18599</i></p>	<p>Champaign County Health Care Consumers Champaign, IL \$255,318 (3 years)</p>
<p>State of Rhode Island, Department of Health Providence, RI \$99,862 (15 months)</p>	<p>Crossroads Programs, Inc. Mount Holly, NJ \$248,433 (1.5 years)</p>	<p>House Next Door DeLand, FL \$147,364 (22 months)</p>
<p>State of Tennessee, Department of Health Nashville, TN \$100,000 (15 months)</p>	<p>New Community Corporation Newark, NJ \$246,676 (2 years)</p>	<p>Northern Arizona Area Health Education Center Flagstaff, AZ \$149,548 (3 years)</p>
<p>State of Vermont, Agency on Human Services Waterbury, VT \$100,000 (15 months)</p>	<p>United Way of Passaic Valley Paterson, NJ \$245,972 (2 years)</p>	<p>Planned Parenthood of Central and Northern Arizona Phoenix, AZ \$181,669 (2 years)</p>
<p>Maternity Center Association New York, NY \$50,000 <i>Interim support for the Childbearing Center of East New York (for 9 months). ID#21247</i></p>	<p>North Carolina Foundation for Alternative Health Programs, Inc. Raleigh, NC \$448,072 <i>Technical assistance and direction for Practice Sights: State Primary Care Development Strategies (for 1 year). ID#20062</i></p>	<p>Rochester General Hospital Rochester, NY \$236,983 (2 years)</p>
<p>Medical Care Development Augusta, ME \$45,000 <i>Development of a health professions regulatory system (for 2 years). ID#22264</i></p>	<p>University of Oklahoma, College of Public Health Oklahoma City, OK \$184,447 <i>Technical assistance and direction for Improving the Health of Native Americans (for 1 year). ID#19317</i></p>	<p>Shasta Primary Care Clinic, Inc. Redding, CA \$64,196 (2 years)</p>
		<p>University of Washington, School of Medicine Seattle, WA \$241,075 (2 years)</p>

The Ounce of Prevention Fund

Chicago, IL
\$1,000,000
Health component of a support services program for inner-city families (for 5 years). ID#13473

Practice Sights: State Primary Care Development Strategies

Challenges states to improve the distribution of primary care providers in medically underserved areas (for the periods indicated). ID#19241

Arizona Department of Health Services

Phoenix, AZ
\$99,638
(15 months)

Arkansas Department of Health

Little Rock, AR
\$100,000
(15 months)

Health Research, Inc.

Albany, NY
\$99,688
(15 months)

University of Kentucky Research Foundation

Lexington, KY
\$100,000
(15 months)

Maine Department of Human Services

Augusta, ME
\$99,595
(15 months)

Minnesota Department of Health

Minneapolis, MN
\$100,000
(15 months)

Mountain States Group, Inc.

Boise, ID
\$100,000
(15 months)

Nebraska Department of Health

Lincoln, NE
\$99,580
(15 months)

New Hampshire Department of Health and Human Services

Concord, NH
\$100,000
(15 months)

New Mexico Department of Health

Santa Fe, NM
\$100,000
(15 months)

Commonwealth of Pennsylvania Department of Health

Harrisburg, PA
\$100,000
(15 months)

South Dakota Department of Health

Pierre, SD
\$98,158
(15 months)

Texas Department of Health

Austin, TX
\$100,000
(15 months)

Commonwealth of Virginia, Joint Commission on Health Care

Richmond, VA
\$99,994
(15 months)

Wisconsin Department of Health and Social Services

Madison, WI
\$100,000
(15 months)

Primary Care Development Corporation

New York, NY
\$1,500,000
New York City-State partnership for primary care facility development (for 35 months). ID#21312

Rebuild LA

Los Angeles, CA
\$450,000
Establishment of community health councils (for 2 years). ID#21956

St. Anthony's Health Care Foundation, Inc.

St. Petersburg, FL
\$50,000
Establishment of a hospital-based parish nurse program (for 1 year). ID#19781

AND

\$256,415
Technical assistance and direction for Strengthening Hospital Nursing: A Program to Improve Patient Care (for 1 year). ID#20023

City of San Antonio, San Antonio Metropolitan Health District

San Antonio, TX
\$19,500
Countywide immunization monitoring and follow-up system (for 5 months). ID#22310

School-Based Adolescent Health Care Program

Establishment of comprehensive health services clinics in public secondary schools (for the period indicated). ID#10523

City of Los Angeles Board of Education

(Jordan High School)
Los Angeles, CA
\$3,061
(4 months)

The Task Force for Child Survival and Development

Atlanta, GA
\$338,122
Technical assistance and direction for All Kids Count: Establishing Immunization Monitoring and Follow-up Systems (for 1 year). ID#20029

University of Medicine & Dentistry of New Jersey — Robert Wood Johnson Medical School
Piscataway, NJ
\$3,000,000
Statewide immunization registry, tracking, and follow-up program (for 3 years). ID#20035

The Volunteers in Medicine Clinic
Hilton Head Island, SC
\$300,000
Program to provide indigent care using retired physicians (for 3 years). ID#21804

State of West Virginia, Department of Health and Human Resources
Charleston, WV
\$144,500
Development of rural health care networks in West Virginia (for 1 year). ID#22455

William F. Ryan Community Health Center, Inc.
New York, NY
\$49,998
Development of a community health center network (for 1 year). ID#23606

Education & Training

Association of American Medical Colleges
Washington, DC
\$10,000
Seminar for academic medical centers to plan enhanced generalist training (for 4 months). ID#22470
AND
\$328,635
Technical assistance and direction for the Minority Medical Education Program (for 1 year). ID#21095

University of California, Los Angeles, School of Dentistry
Los Angeles, CA
\$199,199
Test and dissemination of a multicultural dental education program (for 1.5 years). ID#22052

Generalist Physician Faculty Scholars Program
Offers four-year career development awards to strengthen the research capacity of faculty committed to family practice, general internal medicine, and general pediatrics (for the periods indicated). ID#18635

Boston University, School of Medicine
Boston, MA
\$240,000
(4 years)

University of California, Davis, School of Medicine
Davis, CA
\$237,302
(4 years)

University of California, San Francisco, School of Medicine
San Francisco, CA
\$240,000
(4 years)

Dartmouth Medical School
Hanover, NH
\$239,935
(4 years)

George Washington University Medical Center
Washington, DC
\$239,807
(4 years)

Medical College of Georgia, School of Medicine
Augusta, GA
\$239,770
(4 years)

Indiana University, School of Medicine
Indianapolis, IN
\$239,625
(4 years)

New England Medical Center Hospitals, Inc.
Boston, MA
\$239,997
(4 years)

University of North Carolina at Chapel Hill, School of Medicine
Chapel Hill, NC
\$240,000
(4 years)

New York University, School of Medicine
New York, NY
\$239,832
(4 years)

Oregon Health Sciences University Foundation
Portland, OR
\$239,593
(4 years)

The University of Pennsylvania, School of Medicine
Philadelphia, PA
\$239,879
(4 years)

University of Pittsburgh, School of Medicine
Pittsburgh, PA
\$239,998
(4 years)

University of Texas Health Science Center at San Antonio
San Antonio, TX
\$239,999
(4 years)

Virginia Commonwealth University, Medical College of Virginia
Richmond, VA
\$240,000
(4 years)

George Washington University
Washington, DC
\$5,000
Conference on national health reform and the health care safety net (for 2 months). ID#21782

**Georgetown University,
School of Medicine**
Washington, DC
\$43,282
*Conference on the demand for
generalist physicians
(for 4 months). ID#21503*
AND
\$343,757
*Technical assistance and direction
for the Generalist Physician
Faculty Scholars Program
(for 1 year). ID#21323*

**Ladders in Nursing
Careers Program**
*Expands a career advancement
program for health care employees
to pursue careers in nursing
(for the periods indicated).
ID#21419*

**Georgia Hospital
Association Research and
Education Foundation, Inc.**
Marietta, GA
\$544,924
(47 months)

**Greater Cleveland
Hospital Association**
Cleveland, OH
\$534,745
(47 months)

**Hospital Association
of Rhode Island**
Providence, RI
\$545,000
(47 months)

**Iowa Hospital Education
and Research Foundation**
Des Moines, IA
\$544,919
(47 months)

**Maryland Hospital
Education and Research
Foundation, Inc.**
Lutherville, MD
\$542,482
(47 months)

**Metropolitan Healthcare
Council**
St. Paul, MN
\$544,510
(47 months)

**North Dakota
Hospital Association**
Bismarck, ND
\$44,282
(1 year)

**North Dakota Hospital
Research & Education
Foundation, Inc.**
Bismarck, ND
\$499,400
(3 years)

**South Carolina Hospital
Research & Education
Foundation, Inc.**
West Columbia, SC
\$544,644
(47 months)

**Texas Hospital Education
and Research Foundation**
Austin, TX
\$545,000
(47 months)

**Middlesex County
College Foundation**
Edison, NJ
\$493,315
*Program to strengthen health
careers education for minorities
(for 3 years). ID#21862*

**Minority Medical
Education Program**
*Summer enrichment program to
help minority students successfully
compete for medical school
acceptance (for the periods
indicated). ID#11878*

Baylor College of Medicine
Houston, TX
\$224,814
(1 year)

**Case Western Reserve
University, School of
Medicine**
Cleveland, OH
\$225,000
(1 year)

**Illinois Institute
of Technology**
Chicago, IL
\$224,965
(1 year)

**United Negro College
Fund, Inc.**
New York, NY
\$225,000
(1 year)

**University of Virginia,
School of Medicine**
Charlottesville, VA
\$225,000
(1 year)

**University of Washington,
School of Medicine**
Seattle, WA
\$225,000
(1 year)

**Minority Medical Faculty
Development Program**
*Four-year program to provide
two-year, biomedical, postdoctoral
research fellowships (for the
periods indicated). ID#7854*

**University of Alabama,
School of Medicine**
Birmingham, AL
\$152,500
(2 years)

Baylor College of Medicine
Houston, TX
\$152,498
(2 years)

**Cedars-Sinai
Medical Center**
Los Angeles, CA
\$152,500
(2 years)

**Children's Hospital
Corporation**
Boston, MA
\$163,006
(2 years)

**University of Colorado
Health Sciences Center,
School of Medicine**
Denver, CO
\$163,006
(2 years)

- The Johns Hopkins University, School of Medicine**
Baltimore, MD
\$163,006
(2 years)
- University of Michigan Medical Center**
Ann Arbor, MI
\$163,006
(2 years)
- New York University Medical Center**
New York, NY
\$152,500
(2 years)
- Yale University, School of Medicine**
New Haven, CT
\$162,852
(2 years)
- University of Missouri — Columbia, School of Medicine**
Columbia, MO
\$493,991
Technical assistance and direction for The Generalist Physician Initiative (for 1 year). ID#21171
- Morehouse College**
Atlanta, GA
\$20,000
Conference on medical school recruitment for minorities (for 8 months). ID#23089
- National Medical Association, Inc.**
Washington, DC
\$117,352
African-American leadership conference on health care reform (for 15 months). ID#22200
- University of Oklahoma, College of Public Health**
Oklahoma City, OK
\$437,596
Technical assistance and direction for the Minority Medical Faculty Development Program (for 1 year). ID#21828
- Opening Doors: A Program to Reduce Sociocultural Barriers to Health Care**
Supports demonstration and research projects to improve access to maternal, child, and reproductive health services (for the period indicated).
ID#20796
- Indiana University, School of Medicine**
Indianapolis, IN
\$54,750
(1 year)
- Planned Parenthood Association of the Mercer Area, Inc.**
Trenton, NJ
\$38,056
Recruitment and training of a nurse practitioner (for 1 year).
ID#21628
- Society of Teachers of Family Medicine Foundation**
Kansas City, MO
\$9,520
Conference on educating generalist physicians (for 1 month).
ID#23409
-
- Research & Policy Analysis**
- Alpha Center for Health Planning, Inc.**
Washington, DC
\$2,796,787
Expanded technical assistance for State Initiatives in Health Care Reform (for 3 years). ID#22234
- University of California, San Francisco, Institute for Health Policy Studies**
San Francisco, CA
\$31,000
Study of barriers to primary care in California (for 5 months).
ID#22907
- University of California, San Francisco, School of Medicine**
San Francisco, CA
\$74,120
Study of financial barriers to prenatal care in diverse ethnic groups (for 2 years). ID#21899
- Fairleigh Dickinson University**
Rutherford, NJ
\$152,939
Monitoring impact of the 1992 New Jersey Health Care Reform Act on access (for 1 year).
ID#22580
- Foundation of the University of Medicine and Dentistry of New Jersey**
Newark, NJ
\$78,733
Study to address the fairness of universal health insurance proposals (for 1 year). ID#20578
- Generalist Provider Research Initiative**
Support for research and evaluation projects to encourage an appropriate generalist/specialist provider mix (for the period indicated). ID#22238
- Oregon Health Sciences University Foundation**
Portland, OR
\$128,112
(1.5 years)
- George Washington University, Center for Health Policy Research**
Washington, DC
\$113,887
Study of the ways states organize, finance, and monitor immunization delivery (for 1 year). ID#22059
- Harvard University, School of Public Health**
Boston, MA
\$103,034
Research agenda on risk factors for prematurity and low birthweight (for 1 year).
ID#20960

Investigator Awards in Health Policy Research

Supports individuals working in the field of health policy research to address problems affecting the health and health care of Americans (for the periods indicated). ID#19473

Harvard University
Cambridge, MA
\$184,022
(3 years)

The Johns Hopkins University, School of Hygiene and Public Health
Baltimore, MD
\$249,989
(3 years)

University of Minnesota
Minneapolis, MN
\$250,000
(2 years)

The Johns Hopkins University, School of Hygiene and Public Health
Baltimore, MD
\$367,314
Barriers to childhood immunization in Maryland: policies and practices (for 2 years). ID#22347

University of Massachusetts Medical Center
Worcester, MA
\$98,010
Integrating workers' compensation and national and state health reform (for 1 year). ID#21801

National Association of Counties Research Foundation
Washington, DC
\$243,298
Analysis of the health care responsibilities of county governments (for 15 months). ID#19861

University of Oklahoma, College of Public Health
Oklahoma City, OK
\$95,598
Identification of barriers to the delivery of preventive health services (for 8 months). ID#23434

Opening Doors: A Program to Reduce Sociocultural Barriers to Health Care

Supports demonstration and research projects to improve access to maternal, child, and reproductive health services (for the periods indicated). ID#20796

Alan Guttmacher Institute
New York, NY
\$292,000
(2 years)

Research Triangle Institute
Research Triangle Park, NC
\$210,860
(2 years)

State of Oregon, Department of Insurance and Finance
Salem, OR
\$336,658
Pilot projects combining workers' compensation and health insurance (for 1.5 years). ID#20229

The University of Pennsylvania, The Annenberg School for Communication
Philadelphia, PA
\$158,671
Media monitoring to improve public understanding of health care reform (for 6 months). ID#22974

Public Health Foundation
Washington, DC
\$182,587
Public health's role in preparing for health care reform (for 1.5 years). ID#23110

Rand Corporation
Santa Monica, CA
\$1,366,610
Analysis of options and implications of state health care reform (for 2 years). ID#19322
AND
\$147,042
Estimates of the cost of insuring the uninsured (for 13 months). ID#20855

Society for Adolescent Medicine, Inc.

Bronx, NY
\$38,680
Development of guidelines for conducting adolescent health research (for 15 months). ID#20898

Trust for Public Land
Washington, DC
\$85,000
Study of capital financing needs of nonprofit facilities — Phase II (for 10 months). ID#22414

Uniformed Services University of the Health Sciences
Bethesda, MD
\$69,340
Feasibility of retraining specialist physicians for generalist care (for 9 months). ID#21751

The Urban Institute
Washington, DC
\$492,708
Analysis of insurance coverage trends and simulation of reform options (for 2 years). ID#19324

Washington State University
Pullman, WA
\$48,605
Research on cancer screening among Hispanic women (for 1 year). ID#20904

University of Wisconsin Medical School
Madison, WI
\$64,963
Technical assistance to the Generalist Provider Research Initiative (for 1 year). ID#22345

Evaluations

National Public Health and Hospital Institute
Washington, DC
\$238,966
Case studies describing urban hospitals' cross-cultural issues (for 1.5 years). ID#22201

University of North Carolina at Chapel Hill
Chapel Hill, NC
\$520,337
Evaluation of All Kids Count: Establishing Immunization Monitoring and Follow-up Systems (for 5 years). ID#20063

Communications

American Association for World Health, Inc.
Washington, DC
\$50,000
National public education campaign to improve oral health in the United States (for 1 year). ID#23288

Educational Broadcasting Corporation
New York, NY
\$150,000
Completion of a TV documentary on national health reform (for 6 months). ID#21754

Foundation for New Jersey Public Broadcasting
Trenton, NJ
\$50,000
New Jersey television call-in series on health care issues (for 1 year). ID#23099

Institute for Puerto Rican Policy, Inc.
New York, NY
\$9,680
Regional conference for the National Latino Health Agenda project (for 2 months). ID#22176

League of Women Voters of New Jersey Education Fund
Trenton, NJ
\$50,000
Continuation of health policy forums (for 1 year). ID#23198

University of Michigan, School of Public Health
Ann Arbor, MI
\$49,998
Dissemination of findings from the Small Business Benefits Survey (for 4 months). ID#23323

National Academy of Social Insurance
Washington, DC
\$17,000
Dissemination of two reports on Medicare (for 6 months). ID#22459

National Medical Association, Inc.
Washington, DC
\$240,000
Minority consumer education on health care reform (for 1 year). ID#23103

National Rural Health Association
Kansas City, MO
\$50,000
National conference on rural minority health issues (for 5 months). ID#21963

The People-to-People Health Foundation, Inc.
Chevy Chase, MD
\$49,704
Special issue of Health Affairs on health care reform (for 6 months). ID#21541

The Public Agenda Foundation, Inc.
New York, NY
\$200,000
Public opinion research on health care reform (for 6 months). ID#21311

Society of General Internal Medicine
Washington, DC
\$50,000
Dissemination of information on developing generalist training programs (for 9 months). ID#22808

State Legislative Leaders Foundation, Inc.
Centerville, MA
\$40,000
Conference of state legislative leaders on health care reform (for 5 months). ID#21698

The Task Force for Child Survival and Development
Atlanta, GA
\$41,782
Conference on the role of public health in a reformed health system (for 4 months). ID#23661

Western Organization of Resource Councils Education Project
Billings, MT
\$60,000
Public radio coverage of rural health care news and issues (for 2 years). ID#20928

Other Interventions

American Academy of Pediatrics
Elk Grove Village, IL
\$701,991
Incorporating the Healthy Children Program within the American Academy of Pediatrics (for 2 years). ID#18253

Meharry Medical College
Nashville, TN
\$50,000
Preparing for expanded clinical services (for 8 months). ID#21603

CHRONIC HEALTH CONDITIONS

Demonstrations

The Alzheimer's Center of Upper East Tennessee (Madison House)

Kingsport, TN

\$47,411

Start-up of an adult day health center (for 1 year). ID#22419

Building Health Systems for People with Chronic Illnesses

Supports models of caring for people with chronic illnesses aimed at improving the organization, delivery, and financing of services (for the periods indicated). ID#19795

Beth Abraham Hospital

Bronx, NY

\$267,100

(2 years)

Dartmouth Medical School

Hanover, NH

\$467,855

(2 years)

East Boston Neighborhood Health Center Corporation

East Boston, MA

\$326,856

(3 years)

Monadnock Developmental Services

Keene, NH

\$417,465

(3 years)

Monroe County

Rochester, NY

\$742,369

(3 years)

Richland Memorial Hospital

Columbia, SC

\$100,641

(1 year)

Wake Forest University,

The Bowman Gray

School of Medicine

Winston-Salem, NC

\$726,090

(3 years)

State of Wisconsin, Department of Health and Social Services

Madison, WI

\$50,000

(7 months)

Center for Health Policy Development — National Academy of State Health Policy

Portland, ME

\$114,550

Developing a strategy for chronic care system reforms (for 1 year). ID#22058

The Center School

Highland Park, NJ

\$8,000

Summer program for high-risk learning disabled students (for 3 months). ID#21656

Connecticut Community Care, Inc.

Bristol, CT

\$177,772

Encouraging best practices in case management for chronically ill people (for 15 months). ID#20449

Corporation for Supportive Housing

Oakland, CA

\$150,000

Integrating financing and services for disabled persons in California (for 1.5 years). ID#21883

Dartmouth-Hitchcock Medical Center

Lebanon, NH

\$1,487,768

Development of a community-based chronic care system for children (for 3 years). ID#20693

East Boston Neighborhood Health Center Corporation

East Boston, MA

\$300,000

Technical assistance and direction for Statewide System of Care for Chronically Ill Elderly in Massachusetts (for 3 years). ID#22938

Faith in Action: Replication of the Interfaith Volunteer Caregivers Program

Supports the development of interfaith caregiving projects for people of all ages with chronic health conditions (for the period indicated). ID#20636

Verde Valley Caregivers Coalition, Inc.

Sedona, AZ

\$25,000

(1.5 years)

The General Hospital Corporation — Massachusetts General Hospital

Boston, MA

\$541,428

Technical assistance and direction for the Homeless Families Program (for 1 year). ID#21177

The Genesee Hospital

Rochester, NY

\$331,747

Technical assistance and direction for Building Health Systems for People with Chronic Illnesses (for 1 year). ID#21201

Group Health Foundation

Washington, DC

\$536,832

Technical assistance and direction for the Chronic Care Initiatives in HMOs (for 2 years). ID#21308

Harvard University, School of Public Health

Boston, MA

\$274,031

HIV/AIDS service provider information network (for 2 years). ID#20042

Homeless Families Program
Initiative to help homeless families obtain needed health and supportive services, including permanent housing (for the period indicated). ID#13135

The Colorado Coalition for the Homeless
Denver, CO
\$168,703
(2 years)

Improving Child Health Services: Removing Categorical Barriers to Care
Support for communities to restructure child health and social service systems (for the periods indicated). ID#13101

Arkansas Department of Health
Little Rock, AR
\$498,157
(3 years)

Marion County Health Department
Salem, OR
\$500,000
(3 years)

Monroe County Department of Health
Rochester, NY
\$498,601
(3 years)

United Way of Greater Portland
Portland, ME
\$146,568
(1 year)

Improving Service Systems for People with Disabilities
Initiative to improve service delivery systems through community-based agencies run by and for people with physical disabilities (for the period indicated). ID#14432

Center for Independence of the Disabled in New York, Inc.
New York, NY
\$457,408
(19 months)

The Institute for Rehabilitation and Research
Houston, TX
\$460,234
Technical assistance and direction for Improving Service Systems for People with Disabilities (for 1 year). ID#20766

Jewish Family Service of Los Angeles
Los Angeles, CA
\$50,000
Emergency funding for Family Friends project (for 1 year). ID#21851

Kingston Hospital
Kingston, NY
\$956,712
Technical assistance and direction for Faith in Action: Replication of the Interfaith Volunteer Caregivers Program (for 1 year). ID#21461

Local Initiative Funding Partners Program — Phase II
Matching grants program to enable local philanthropies to sponsor innovative health services projects, focusing on the Foundation's goal areas (for the periods indicated). ID#18466

Open Options, Inc.
Kansas City, MO
\$349,992
(4 years)

Providence Health Care Foundation
Anchorage, AK
\$469,736
(4 years)

S.E.T. Ministry, Inc.
Milwaukee, WI
\$249,876
(3 years)

Santa Fe Community Foundation (Cariño Coalition)
Santa Fe, NM
\$266,000
(3 years)

Southwestern Vermont Council on Aging
Rutland, VT
\$54,000
(3 years)

United Jewish Appeal — Federation of Jewish Philanthropies of New York, Inc.
New York, NY
\$400,000
(3 years)

United Way of New York City
New York, NY
\$324,723
(3 years)

University of Miami, School of Medicine
Miami, FL
\$199,994
Managed care project for chronically ill people in Dade County (for 1.5 years). ID#21547

Middlesex County Educational Services Commission
Piscataway, NJ
\$418,559
Aftercare program for mentally ill chemically dependent youth (for 3 years). ID#21926

University of Minnesota, School of Public Health
Minneapolis, MN
\$409,237
Technical assistance and direction for Improving Child Health Services: Removing Categorical Barriers to Care (for 1 year). ID#21203

The National Council on the Aging, Inc.
Washington, DC
\$263,722
Continued dissemination of the Family Friends model (for 2 years). ID#21776

**New Jersey Health Services
Development Program —
Phase II**

Innovative projects to address the state's health care needs, focusing on the Foundation's goal areas (for the periods indicated). ID#18599

**Association for Retarded
Citizens, Monmouth Unit**
Tinton Falls, NJ
\$58,986
(1 year)

Cadbury Corporation
Cherry Hill, NJ
\$165,930
(2 years)

**New Jersey Association
on Correction**
Trenton, NJ
\$229,056
(3 years)

**New Jersey Women and
AIDS Network**
New Brunswick, NJ
\$86,224
(2 years)

**Our Lady of Lourdes
Associates Foundation**
Camden, NJ
\$244,391
(3 years)

**No Place Like Home:
Providing Supportive Services
in Senior Housing**

Innovative approaches to financing and delivering supportive services to older people who live in private, publicly subsidized housing for the elderly (for the periods indicated). ID#12422

**State of Alaska Housing
Finance Corporation**
Anchorage, AK
\$30,000
(1 year)

**Clackamas County
Department of Human
Services**
Oak Grove, OR
\$67,500
(1 year)

City of Fremont
Fremont, CA
\$75,000
(1 year)

**State of Kansas Department
of Commerce and Housing,
Division of Housing**
Topeka, KS
\$67,600
(1 year)

**Commonwealth of
Kentucky, Kentucky
Housing Corporation**
Frankfort, KY
\$74,612
(1 year)

**New York State
Office for the Aging**
Albany, NY
\$67,500
(1 year)

**State of Ohio, Ohio
Housing Finance Agency**
Columbus, OH
\$75,000
(1 year)

**State of Wisconsin,
Wisconsin Housing and
Economic Development
Authority**
Madison, WI
\$67,500
(1 year)

**Partners in Caregiving: The
Dementia Services Program**
Promotes the development and growth of adult day centers to address the needs of people with chronic cognitive disorders (for the periods indicated). ID#18819

**Adult Care Center of
Roanoke Valley, Inc.**
Salem, VA
\$76,920
(2 years)

**Adult Care of
Chester County, Inc.**
West Chester, PA
\$100,000
(2 years)

**Adult Care and Share
Center, Inc.**
Charlotte, NC
\$100,000
(2 years)

**Alzheimer's Services of
the East Bay, Inc.**
Berkeley, CA
\$100,000
(2 years)

Board of Social Ministry
St. Paul, MN
\$69,498
(2 years)

**Central Adult
Daycare Services, Inc.**
Warwick, RI
\$100,000
(2 years)

**Cochise County
Public Fiduciary**
Bisbee, AZ
\$97,649
(2 years)

**Easter Seal Society
Goodwill Industries of
Montana, Inc.**
Great Falls, MT
\$82,578
(2 years)

Elderly Services, Inc.
Middlebury, VT
\$57,953
(2 years)

The Extended Family, Inc.
Daytona Beach, FL
\$76,846
(2 years)

Fresno Pacific College
Fresno, CA
\$140,953
(3 years)

**Henry C. Nevins Home for
the Aged and Incurable, Inc.**
Methuen, MA
\$93,918
(2 years)

**Henry Mayo Newhall
Memorial Health
Foundation, Inc.**
Valencia, CA
\$150,000
(3 years)

Kennebec Health System
Gardiner, ME
\$35,000
(2 years)

**Prescott Senior Day Care
Center, Inc.**
Prescott, AZ
\$138,665
(3 years)

**Research Foundation of the
State University of New
York**
Albany, NY
\$100,000
(2 years)

**Respite and Research for
Alzheimer's Disease**
Los Altos, CA
\$50,000
(1 year)

The Rochelle Center
Nashville, TN
\$93,227
(2 years)

**Saint Joseph's Mercy
Care Services**
Atlanta, GA
\$100,000
(2 years)

**Seattle Day Center
for Adults**
Seattle, WA
\$150,000
(3 years)

**Seniors Resource
Center, Inc.**
Golden, CO
\$53,150
(2 years)

**Tualatin Valley
Mental Health Center**
Portland, OR
\$99,323
(2 years)

**Vigorous Interventions
in Ongoing Natural
Settings, Inc.**
Rocky Mount, NC
\$59,335
(2 years)

**Washington County
Elder Care, Inc.**
Bartlesville, OK
\$100,000
(2 years)

YWCA of the Calumet Area
Hammond, IN
\$100,000
(2 years)

**Replication of the
Foundation's Programs on
Mental Illness**
*Offers technical assistance about
the lessons learned from several
Foundation initiatives designed to
improve mental health care (for
the period indicated). ID#20629*

**State of Georgia
Department of Human
Resources**
Atlanta, GA
\$71,317
(1 year)

**St. Mary's Hospital for
Children, Inc.**
Bayside, NY
\$50,000
*Home care program for HIV-
infected children in high-risk
New York City neighborhoods
(for 1 year). ID#21775*

Tempe Community Council
Tempe, AZ
\$42,671
*Volunteer assistance for
chronically ill wards of the court
(for 1 year). ID#20805*

**Washington Business
Group on Health**
Washington, DC
\$240,052
*Dissemination of the Mental
Health Services Program for Youth
(for 3 years). ID#21947*
AND
\$499,510
*Technical assistance and direction
for the Mental Health Services
Program for Youth (for 1 year).
ID#20025*

Education & Training

**AIDS National Interfaith
Network, Inc.**
Washington, DC
\$25,000
*Support for AIDS workers to
attend national skills-building
conference (for 3 months).
ID#22589*

Public Hospital Institute
Berkeley, CA
\$25,000
*Sixth National HIV/AIDS Update
Conference (for 5 months).
ID#22390*

Research & Policy Analysis

**Boston University
School of Public Health**
Boston, MA
\$1,000,000
*Implement new payment service
models for people with chronic
conditions (for 3 years).
ID#20772*

**Brandeis University, Florence
Heller Graduate School for
Advanced Studies in Social
Welfare**
Waltham, MA
\$75,000
*Study of long-term care services
in retirement communities
(for 1 year). ID#23144*

Brown University Center for Gerontology and Health Care Research
Providence, RI
\$720,912
Study of chronically impaired populations — primary site implementation (for 2 years).
ID#19678

Cambridge Medical Care Foundation
Boston, MA
\$22,631
Study of inappropriate prescribing for the elderly (for 2 months).
ID#22608

Columbia University, School of Public Health
New York, NY
\$50,000
Analysis of cities with differing tuberculosis therapy completion rates (for 1 year). ID#21731

Fund for the City of New York
New York, NY
\$100,000
Policy options on caring for orphans of the AIDS epidemic (for 1 year). ID#22263

George Washington University
Washington, DC
\$149,600
Enhancing work opportunities for people with severe disabilities (for 1.5 years). ID#22727
AND
\$1,099,019
Technical assistance and direction for the Program on the Care of Critically Ill Hospitalized Adults (for 1 year). ID#21971

Georgetown University, School of Medicine
Washington, DC
\$295,266
Follow-up survey to the National Health Interview Survey disability supplement — analysis (for 3 years). ID#20753

IHC Hospitals, Inc.
Salt Lake City, UT
\$396,561
Research on quality and costs in a regional critical care system (for 2 years). ID#20082

Medicare Advocacy Project, Inc.
Los Angeles, CA
\$48,895
Survey of laws on enrollee protection in health maintenance organizations (for 1 year).
ID#20179

National Academy of Social Insurance
Washington, DC
\$195,260
Study on the role of health care in disability policy (for 3 years).
ID#20097

National Public Health and Hospital Institute
Washington, DC
\$424,725
Survey on the impact of HIV/AIDS on U.S. hospitals (for 3 years).
ID#23145

New York University
New York, NY
\$14,000
Review of nursing issues related to chronic care (for 6 months).
ID#21365

Setting Priorities for Retirement Years Foundation
Washington, DC
\$171,923
Study of consumer decision-making for chronic care services (for 14 months). ID#22308

Communications

AIDS Housing of Washington
Seattle, WA
\$200,000
Technical assistance project for communities developing AIDS housing (for 3 years). ID#21022

American Re-Education Association
Kingston Springs, TN
\$376,160
Documentary on mental health services for youth (for 16 months).
ID#19281

Children's National Medical Center
Washington, DC
\$75,000
Dissemination of a children's HIV and AIDS model program (for 1 year). ID#21336

Medical Society of New Jersey
Trenton, NJ
\$16,159
New Jersey summit meeting on AIDS (for 6 months). ID#22446

National Chronic Care Consortium
Bloomington, MN
\$199,844
Disseminating strategies to improve health care for the chronically ill (for 2 years).
ID#23042

National Health Council, Inc.
Washington, DC
\$31,536
Symposium on the Americans with Disabilities Act and health care reform (for 5 months). ID#23388

National Leadership Coalition on AIDS
Washington, DC
\$49,850
Communications support for the National Leadership Coalition on AIDS (for 1 year). ID#22045

Tides Foundation
San Francisco, CA
\$25,000
Helping funders address AIDS issues (for 1 year). ID#23057

SUBSTANCE ABUSE

Demonstrations

Albuquerque Public Schools

Albuquerque, NM
\$475,000

Alternative high school for chemically dependent students in recovery (for 1.5 years).

ID#20894

American Medical Association

Chicago, IL
\$462,432

Technical assistance and direction for SmokeLess States: Statewide Tobacco Prevention and Control Initiatives (for 1 year). ID#22191

Center for Addictive Behaviors, Inc.

Salem, MA
\$199,946

Comprehensive service system for chronic substance abusers (for 1 year). ID#19042

University of Colorado Health Sciences Center

Denver, CO
\$362,635

Technical assistance and direction for Healthy Nations: Reducing Substance Abuse Among Native Americans (for 1 year).

ID#21310

Columbia University, School of Public Health

New York, NY
\$415,272

Technical assistance and direction for Free to Grow: Head Start Partnerships to Promote Substance-Free Communities (for 1 year). ID#20226

Fighting Back: Community Initiatives to Reduce Demand for Illegal Drugs and Alcohol

Support of community-wide efforts to reduce alcohol and drug abuse through public awareness strategies, prevention, early identification, and treatment interventions (for the periods indicated). ID#13375

East Oakland Youth Development Center

Oakland, CA
\$1,161,110
(1.5 years)

Marshall Heights Community Development Organization

Washington, DC
\$1,208,573
(1.5 years)

Mecklenburg County Area Mental Health, Mental Retardation, and Substance Abuse Authority

Charlotte, NC
\$906,211
(1.5 years)

City of New Haven, Office of the Mayor

New Haven, CT
\$1,082,287
(1.5 years)

United Way of San Antonio and Bexar County

San Antonio, TX
\$965,930
(1.5 years)

Healthy Nations: Reducing Substance Abuse Among Native Americans

Supports community-wide efforts of Native Americans to combat substance abuse (for the periods indicated). ID#19261

Cherokee Nation of Oklahoma

Tahlequah, OK
\$149,707
(2 years)

Cheyenne River Sioux Tribe of the Cheyenne River Reservation

Eagle Butte, SD
\$150,000
(2 years)

Confederated Salish & Kootenai Tribes of the Flathead Reservation

Pablo, MT
\$150,000
(2 years)

Confederated Tribes of the Colville Reservation

Nespelem, WA
\$149,829
(2 years)

Confederated Tribes of the Warm Springs Reservation of Oregon

Warm Springs, OR
\$150,000
(2 years)

Eastern Band of Cherokee Indians of North Carolina

Cherokee, NC
\$150,000
(2 years)

The Friendship House Association of American Indians, Inc.

San Francisco, CA
\$150,000
(2 years)

Keweenaw Bay Indian Community

Baraga, MI
\$149,935
(2 years)

Minneapolis American Indian Center

Minneapolis, MN
\$150,000
(2 years)

- Norton Sound Health Corporation**
Nome, AK
\$149,593
(2 years)
- Seattle Indian Health Board**
Seattle, WA
\$149,838
(2 years)
- Pueblo of Taos**
Taos, NM
\$150,000
(2 years)
- Tlingit & Haida Indians of Alaska**
Juneau, AK
\$149,991
(2 years)
- United Indian Health Services, Inc.**
Trinidad, CA
\$149,826
(2 years)
- White Mountain Apache Tribe of the Fort Apache Indian Reservation**
Whiteriver, AZ
\$150,000
(2 years)
- Local Initiative Funding Partners Program — Phase II**
Matching grants program to enable local philanthropies to sponsor innovative health services projects, focusing on the Foundation's goal areas (for the period indicated). ID#18466
- City of Escondido**
Escondido, CA
\$400,000
(3 years)
- New Jersey Health Services Development Program — Phase II**
Innovative projects to address the state's health care needs, focusing on the Foundation's goal areas (for the periods indicated). ID#18599
- Freedom Foundation of New Jersey, Inc.**
West Orange, NJ
\$177,220
(3 years)
- Service to Overcome Drug Abuse Among Teenagers**
Woodbury, NJ
\$247,546
(2 years)
- Stageworks Touring Company**
Glassboro, NJ
\$29,789
(7 months)
- University of Medicine & Dentistry of New Jersey, Community Mental Health Center at Piscataway**
Piscataway, NJ
\$237,881
(2 years)
- Research Foundation of the City University of New York—Hunter College**
New York, NY
\$3,000,000
Reducing substance abuse among jail inmates — Phase II (for 5 years). ID#19681
- Robert F. Kennedy Memorial**
Washington, DC
\$834,015
Development of substance abuse programs in the juvenile justice system (for 3 years). ID#21232
- City of Trenton, Department of Health and Human Services**
Trenton, NJ
\$50,000
Developing a comprehensive addictions treatment strategy (for 1.5 years). ID#21725
- The Van Ost Institute for Family Living, Inc.**
Englewood, NJ
\$25,049
Substance abuse treatment program for the elderly (for 1 year). ID#22493
- Vanderbilt University, School of Medicine**
Nashville, TN
\$797,452
Technical assistance and direction for Fighting Back: Community Initiatives to Reduce Demand for Illegal Drugs and Alcohol (for 1 year). ID#21213
-
- Education & Training**
- American Medical Association**
Chicago, IL
\$19,184
Support for coordinating committee for a world conference on smoking (for 16 months). ID#22121
- Boston University School of Public Health**
Boston, MA
\$102,493
Community substance abuse indicators conference (for 7 months). ID#22578
- Harvey J. Weiss and Associates, Inc.**
Austin, TX
\$20,000
Support for a new national inhalant prevention coalition (for 7 months). ID#22729
- National Treatment Consortium for Alcohol and Other Drugs, Inc.**
Washington, DC
\$10,000
Overview report on the quality of alcohol and other drug treatment (for 1 year). ID#21407

Research & Policy Analysis

University of Alabama at Birmingham School of Public Health

Birmingham, AL
\$47,331
Study of the impact of excise taxes on tobacco use (for 9 months).
ID#23333

Boston University School of Public Health

Boston, MA
\$39,774
The infrastructure for research on substance abuse among Native Americans (for 3 months).
ID#22971

Burley Tobacco Growers Cooperative Association

Lexington, KY
\$50,000
Development of an economic transition plan for tobacco-growing communities (for 9 months). ID#22386

George Washington University, Center for Health Policy Research

Washington, DC
\$50,000
Dissemination of a report on resources for drug-exposed infants (for 5 months). ID#21920

Harvard University, School of Public Health

Boston, MA
\$122,287
Enhancement of the substance abuse component of an antisocial behavior study (for 7 months).
ID#22508
AND
\$108,411

Opportunities for public service campaigns against tobacco and alcohol (for 1 year). ID#20667

Institute for Public Policy Advocacy

Washington, DC
\$49,997
Assessing options for learning from tobacco control in other countries (for 6 months). ID#22008

Investigator Awards in Health Policy Research

Supports individuals working in the field of health policy research to address problems affecting the health and health care of Americans (for the period indicated). ID#19473

The Johns Hopkins University, School of Hygiene and Public Health

Baltimore, MD
\$249,996
(2 years)

Judge Baker Children's Center

Boston, MA
\$48,804
Pilot test of "pair intervention" among high-risk children (for 1 year). ID#20361

St. Peter's Medical Center

New Brunswick, NJ
\$50,000
Review of government agencies' jurisdiction over tobacco products (for 1 year). ID#21908

Stanford University, School of Law

Stanford, CA
\$246,731
Technical assistance and direction for the Tobacco Policy Research and Evaluation Program (for 1 year). ID#21680

Tobacco Policy Research and Evaluation Program

Supports projects that will produce policy-relevant information about ways to reduce tobacco use in the United States (for the periods indicated). ID#19674

Dana-Farber Cancer Institute, Inc.

Boston, MA
\$349,540
(3 years)

The General Hospital Corporation—Massachusetts General Hospital

Boston, MA
\$349,512
(2.5 years)

George Washington University

Washington, DC
\$285,153
(2.5 years)

Group Health Cooperative of Puget Sound

Seattle, WA
\$169,737
(2 years)

Michigan Public Health Institute

Lansing, MI
\$323,254
(33 months)

University of Michigan, School of Public Health

Ann Arbor, MI
\$339,559
(2 years)

University of Missouri — Columbia, School of Medicine

Columbia, MO
\$349,995
(3 years)

National Bureau of Economic Research, Inc.

Cambridge, MA
\$75,836
(2 years)

University of North Carolina at Chapel Hill

Chapel Hill, NC
\$328,115
(16 months)

Southern Illinois University at Carbondale,

Carbondale, IL
\$53,693
(1 year)

Stanford University, School of Medicine

Stanford, CA
\$282,453
(3 years)

**Washington University,
School of Medicine**

Saint Louis, MO
\$55,719

*Usefulness of existing data sources
to study substance abuse etiology
(for 8 months). ID#22507*

**University of Washington,
School of Social Work**

Seattle, WA
\$428,890

*Follow-up study of the
development of substance abuse
in high-risk youth (for 2 years).
ID#21548*

Evaluations

**George Washington
University Medical Center**

Washington, DC
\$268,339

*Evaluation of the SmokeLess
States Program — Phase I
(for 1 year). ID#23589*

**University of Wisconsin,
Center for Health Policy and
Program Evaluation**

Madison, WI
\$88,362

*Evaluating a school for teens
recovering from substance
abuse — Phase II (for 1 year).
ID#22794*

Communications

American Cancer Society, Inc.

Atlanta, GA
\$400,373

*Public education campaign on the
benefits of taxes on tobacco
products (for 16 months).
ID#22810*

**American Lung Association of
Sacramento-Emigrant Trails**

Sacramento, CA
\$60,000

*Conference on state tobacco taxes
for key health officials
(for 16 months). ID#21875*

American Youth Work Center

Washington, DC
\$199,852

*Karate Kids II: Animated video to
prevent inhalant abuse
(for 1 year). ID#21941*

Day One

Pasadena, CA
\$48,470

*Interfaith program for substance
abuse prevention (for 6 months).
ID#20570*

**George Washington
University**

Washington, DC
\$199,897

*Regional meetings on substance
abuse services under state health
reform (for 1 year). ID#22725*

Institute of Justice for All

Philadelphia, PA
\$35,000

*Citizen action program on local
drug trafficking (for 6 months).
ID#22311*

**The Marin Institute for the
Prevention of Alcohol and
Other Drug Problems**

San Rafael, CA
\$499,503

*Environmental approaches to
substance abuse prevention
(for 3 years). ID#20021*

**National Youth Sports
Coaches Association**

West Palm Beach, FL
\$598,607

*Program to instruct youth sports
coaches in substance abuse issues
(for 3 years). ID#21206*

**Omaha Community
Partnership**

Omaha, NE
\$202,220

*Project STOPP: Reducing youth
access to tobacco, alcohol, and
illegal drugs (for 1.5 years).
ID#20426*

**United Nations Association
of the United States of
America, Inc.**

New York, NY
\$49,900

*International conference on global
drug policy (for 10 months).
ID#21056*

COST CONTAINMENT

Demonstrations

**University of Maryland,
Center on Aging**
College Park, MD
\$310,996

*Technical assistance and direction
for the Program to Promote Long-
Term Care Insurance for the
Elderly (for 1 year). ID#20775*

**Program to Promote
Long-Term Care Insurance
for the Elderly**

*Public/private partnerships for the
development of affordable long-
term care insurance plans for the
elderly (for the period indicated).
ID#12657*

**University of Connecticut
Health Center**
Farmington, CT
\$217,117
(2 years)

Education & Training

**Scholars in Health Policy
Research Program**

*Offers two-year postdoctoral
training to recent graduates in
economics, political science, and
sociology to advance their
involvement in health policy
research (for the periods
indicated). ID#18557*

**University of
California, Berkeley**
Berkeley, CA
\$1,299,981
(3 years)

**University of Michigan,
School of Public Health**
Ann Arbor, MI
\$1,147,399
(3 years)

Yale University
New Haven, CT
\$1,299,617
(3 years)

Research & Policy Analysis

Alan Guttmacher Institute
New York, NY
\$192,319

*Study of reproductive health
service coverage in private
insurance (for 1 year). ID#22145*

**Boston University
School of Management**

Boston, MA
\$91,148
*Physician management issues in
organized care settings
(for 1 year). ID#20414*

**Brandeis University,
Florence Heller Graduate
School for Advanced Studies
in Social Welfare**

Waltham, MA
\$498,822
*Research on the economic
implications of health care reform
(for 2 years). ID#22975*

**Changes in Health Care
Financing and Organization**

*Support for projects to examine
and test how changes in the
financing and organization of
health services affect health care
costs, quality, and access (for the
periods indicated). ID#12590*

**Bay Area Business
Group on Health**

San Francisco, CA
\$614,326
(3 years)

**University of Minnesota,
School of Public Health**
Minneapolis, MN
\$411,987
(20 months)

Rand Corporation
Santa Monica, CA
\$499,896
(3 years)

**University of Washington,
School of Public Health
and Community Medicine**

Seattle, WA
\$652,536
(21 months)

Columbia University

New York, NY
\$234,904
*Study of effective health care
delivery for low-income people
(for 2 years). ID#20845*

Economic Policy Institute

Washington, DC
\$50,099
*Study of the financial impact of
health care reform on U.S.
households (for 11 months).
ID#21261*

**Economic and Social
Research Institute**

Reston, VA
\$156,193
*Economic analysis of the role of
employers in health care
(for 1 year). ID#22828*

**Foundation for Health
Services Research, Inc.**

Washington, DC
\$202,437
*Technical assistance and direction
for the Investigator Awards in
Health Policy Research program
(for 1 year). ID#20768*

**Harvard University,
School of Public Health**

Boston, MA
\$122,324
*Financial impact of a competitive
payment system on New Jersey
hospitals (for 3 years). ID#22882*

Investigator Awards in Health Policy Research

Supports individuals working in the field of health policy research to address problems affecting the health and health care of Americans (for the period indicated). ID#19473

**Columbia University,
School of Public Health**
New York, NY
\$191,373
(2 years)

**State of Maryland,
Department of Health and
Mental Hygiene**

Baltimore, MD
\$180,083
Pilot service program for high-risk, high-cost Medicaid patients (for 1 year). ID#22905

**Massachusetts Institute
of Technology**

Cambridge, MA
\$176,414
Policy analysis regarding the New Jersey Health Care Reform Act of 1992 (for 1.5 years). ID#21769

**University of Michigan
Medical School**

Ann Arbor, MI
\$49,998
Comparing medical care service use in Canada and the United States (for 1 year). ID#21910

Northwestern University

Evanston, IL
\$90,097
Study of cost control policies' effects on new medical technologies (for 19 months). ID#20158

**Ohio State University
Research Foundation**

Columbus, OH
\$35,013
Analysis of the potential effects of workforce reforms on graduate medical education (for 3 months). ID#23577

**Palo Alto Medical Foundation
for Health Care, Research and
Education**

Palo Alto, CA
\$48,756
Monograph on high-cost illness at the end of life (for 1 year). ID#21577

**Princeton University,
Department of Economics**

Princeton, NJ
\$81,211
Study of health insurance system's impact on entrepreneurship (for 1 year). ID#21826

**Research Foundation of the
State University of New York**

Albany, NY
\$60,000
Conference on state capacity to implement health care reform (for 1 year). ID#22410
AND
\$66,847
Research on state/local government capacity to finance health programs (for 15 months). ID#20577

**Stanford University,
School of Medicine**

Stanford, CA
\$2,258,718
Long-term follow-up of nonmedical outcomes of cardiac treatment — Phase III (for 4.5 years). ID#15151

The Urban Institute

Washington, DC
\$426,509
Study of U.S./Canadian differences in use and costs of physician services (for 1.5 years). ID#21243

**University of Wisconsin
Medical School**

Madison, WI
\$35,777
Health care reform effects on physician practice plans in academic health centers (for 6 months). ID#23576

Evaluations**Changes in Health Care
Financing and Organization**

Support for projects to examine and test how changes in the financing and organization of health services affect health care costs, quality, and access (for the period indicated). ID#12590

Harvard Medical School
Boston, MA
\$219,927
(15 months)

Communications**National Federation of State
High School Associations**

Kansas City, MO
\$179,396
National high school debates on health care reform (for 1 year). ID#22774

**The People-to-People Health
Foundation, Inc.**

Chevy Chase, MD
\$144,425
Health Affairs supplement on the Administration's health care proposal (for 10 months). ID#22542

**Princeton University,
Woodrow Wilson School**

Princeton, NJ
\$82,814
Conference on universal health coverage: How do we pay for it? (for 3 months). ID#23572
AND
\$74,812
Economists' conference on the Administration's health care proposal (for 3 months). ID#23158

**Rutgers University, Graduate
School of Management**

Newark, NJ
\$41,050
Workshop on regulation of the health care industry (for 1 year). ID#22821

OTHER PROGRAMS

Demonstrations

University of California, San Francisco, School of Medicine
San Francisco, CA
\$356,592

Technical assistance and direction for Old Disease, New Challenge: Tuberculosis in the 1990s (for 1 year). ID#21319

Child Welfare League of America, Inc.

Washington, DC
\$450,408

Technical support for the Family Unification Program (for 3 years). ID#21822

Cornell University, New York State College of Human Ecology

Ithaca, NY
\$343,109

Technical assistance for the Improving the Quality of Hospital Care program (for 2 years). ID#19680

Foundation of the University of Medicine and Dentistry of New Jersey

Newark, NJ
\$469,314

Technical assistance and direction for the Information for State Health Policy program (for 1 year). ID#21848

George Washington University

Washington, DC
\$172,599

Technical assistance and direction for the Information for State Health Policy program (for 8 months). ID#20028

Information for State Health Policy

Support to help states strengthen their health statistics systems needed for policymaking (for the periods indicated).

ID#13607

State of Arkansas, Department of Health

Little Rock, AR
\$650,000

(4 years)

State of California, Health and Welfare Agency

Sacramento, CA
\$1,000,000

(4 years)

Health Research, Inc.

Albany, NY
\$998,787

(4 years)

State of Mississippi, Office of the Governor, Division of Medicaid

Jackson, MS
\$924,187

(4 years)

State of North Carolina, Department of Environment and Health

Raleigh, NC
\$750,000

(4 years)

State of South Carolina, State Budget and Control Board

Columbia, SC
\$925,000

(4 years)

Old Disease, New Challenge: Tuberculosis in the 1990s

Focusing on public health systems, supports projects that develop and test new approaches to the problem of tuberculosis among people at risk (for the periods indicated). ID#21314

Emory University, School of Medicine

Atlanta, GA
\$1,155,000

(3 years)

State of Florida, Department of Health and Rehabilitative Services

Palatka, FL
\$1,154,315

(3 years)

The Johns Hopkins University, School of Hygiene and Public Health

Baltimore, MD
\$1,153,555

(3 years)

New York City Health and Hospitals Corporation

New York, NY
\$1,155,000

(3 years)

County of San Diego, Department of Health Services

San Diego, CA
\$1,154,996

(3 years)

Education & Training

American Medical Association
Chicago, IL

\$25,000

National invitational conference on family violence (for 9 months).

ID#22203

American Psychological Association

Washington, DC
\$25,000

Conference on psychosocial and behavioral factors in women's health (for 10 months). ID#22995

Association of Schools of Allied Health Professions

Washington, DC
\$3,000

Meeting on allied health accreditation issues (for 3 months). ID#22540

Clinical Scholars Program

Postdoctoral fellowships for young physicians to develop research skills in non-biological disciplines relevant to medical care (for the periods indicated). ID#5109

University of California, Los Angeles, School of Medicine

Los Angeles, CA
\$561,989
(2 years)

University of California, San Francisco, School of Medicine

San Francisco, CA
\$203,723
(2 years)

University of Chicago, The Pritzker School of Medicine

Chicago, IL
\$349,982
(20 months)

The Johns Hopkins University, School of Medicine

Baltimore, MD
\$348,585
(20 months)

University of Michigan Medical School

Ann Arbor, MI
\$332,030
(20 months)

University of North Carolina at Chapel Hill, School of Medicine

Chapel Hill, NC
\$229,419
(2 years)

The University of Pennsylvania, School of Medicine

Philadelphia, PA
\$384,882
(2 years)

Stanford University, School of Medicine

Stanford, CA
\$225,417
(2 years)

University of Washington, School of Medicine

Seattle, WA
\$622,951
(2 years)

Yale University, School of Medicine

New Haven, CT
\$92,725
(2 years)

Columbia University, School of Public Health

New York, NY
\$41,519
Meeting of Foundation program evaluation principal investigators (for 6 months). ID#22666

Dartmouth College, The C. Everett Koop Institute

Hanover, NH
\$27,250
Developing a tri-state infrastructure for health care (for 7 months). ID#23426

University of Florida Law Center Association

Gainesville, FL
\$12,000
National health forum on family violence (for 1 year). ID#22581

Health Policy Fellowships Program

One-year fellowships with the federal government in Washington, D.C., for faculty from academic health science centers (for the periods indicated). ID#4888

Columbia University, College of Physicians and Surgeons

New York, NY
\$66,750
(1 year)

University of Massachusetts Medical Center

Worcester, MA
\$63,500
(1 year)

Montefiore Medical Center

Bronx, NY
\$62,500
(1 year)

Jefferson Medical College of Thomas Jefferson University

Philadelphia, PA
\$63,250
(1 year)

Research Foundation of The City University of New York

New York, NY
\$63,500
(13 months)

Yale University, School of Medicine

New Haven, CT
\$65,250
(1 year)

University of Illinois

Chicago, IL
\$25,000
Conference on health survey methods (for 1.5 years). ID#23280

National Academy of Sciences — Institute of Medicine

Washington, DC
\$192,600
Technical assistance and direction for the Health Policy Fellowships Program (for 6 months). ID#20869

The University of Pennsylvania, School of Nursing
Philadelphia, PA
\$392,418
Nurse-midwifery and nurse-practitioner faculty development project (for 3 years). ID#20393

Public Health Foundation of Los Angeles County
Los Angeles, CA
\$14,923
Support for National Conference on Violence Prevention (for 2 months). ID#22161

Recording for the Blind, Inc.
Princeton, NJ
\$60,000
Expansion of recorded textbook collection in the health sciences (for 1 year). ID#21244

Society of General Internal Medicine
Washington, DC
\$6,500
Journal issue on Society of General Internal Medicine history (for 3 months). ID#23013

Society of Teachers of Family Medicine Foundation
Kansas City, MO
\$10,000
Conference on the future of the health professions (for 3 months). ID#21659

The University of Texas, Southwestern Medical School at Dallas
Dallas, TX
\$17,876
Supplemental grant under the Health Policy Fellowships Program (for 1 month). ID#21891

University of Washington, School of Nursing
Seattle, WA
\$63,307
Update of nurse training program in parent-child assessment (for 1 year). ID#21546

Western Consortium for Public Health
Berkeley, CA
\$11,280
Conference on healthy cities and communities (for 2 months). ID#23159

Research & Policy Analysis

University of Alabama at Birmingham
Birmingham, AL
\$125,647
Study of the effect of hospital mortality rates on use (for 1.5 years). ID#21080

University of California, San Francisco, School of Medicine
San Francisco, CA
\$111,405
Workshop on mathematical modeling of the spread of tuberculosis (for 3 months). ID#21315

Diebold Institute for Public Policy Studies, Inc.
Bedford Hills, NY
\$50,000
Development of a health care infrastructure database and policy analysis (for 1 year). ID#21733

Foundation for Informed Medical Decision Making, Inc.
Hanover, NH
\$50,000
Research for a book on medical care and health policy (for 1.5 years). ID#22855

Harvard Community Health Plan, Inc.
Brookline, MA
\$684,621
Survey on attitudes toward medical education and career choices—Phase II (for 22 months). ID#21608

Harvard University
Cambridge, MA
\$127,457
Analysis of domestic policy gridlock (for 1 year). ID#21241

Harvard University, School of Public Health
Boston, MA
\$163,837
Baseline poll for the Foundation's Public Education Campaign on Health Care (for 4 months). ID#21324

AND
\$99,957
Comprehensive community-based programs to prevent youth violence (for 1 year). ID#21779
AND
\$312,983
Synthesis of public opinion research in areas of Foundation interest (for 3 years). ID#22192

Indiana University
Indianapolis, IN
\$50,000
Study of community volunteer leadership traits (for 1 year). ID#22571

Investigator Awards in Health Policy Research
Supports individuals working in the field of health policy research to address problems affecting the health and health care of Americans (for the periods indicated). ID#19473

University of California, Berkeley, School of Public Health
Berkeley, CA
\$250,000
(3 years)

University of Maryland, Baltimore County
Baltimore, MD
\$239,977
(32 months)

Northwestern University
Evanston, IL
\$145,456
(1 year)

Stanford University
Stanford, CA
\$249,492
(3 years)

**Yale University,
School of Medicine**
New Haven, CT
\$248,709
(2 years)

The Johns Hopkins University
Baltimore, MD
\$35,596
*Assessment of major costs of
graduate medical education by
geographic region (for 6 months).*
ID#23578

University of Maryland
Baltimore, MD
\$73,742
*Study of the relationship between
social experiments and public
policymaking (for 22 months).*
ID#22237

**National Academy of
Sciences — Institute of
Medicine**
Washington, DC
\$199,000
*Report on preventing unintended
and high-risk pregnancies
(for 1.5 years).* ID#22124

**National Bureau of
Economic Research, Inc.**
Cambridge, MA
\$200,000
*Exploration of societal
responsibility in four areas of
public policy (for 2 years).*
ID#20412

**University of Oklahoma,
College of Public Health**
Oklahoma City, OK
\$136,280
*Working with health professions
programs on family violence
(for 1 year).* ID#22360

Public Health Foundation
Washington, DC
\$49,927
*Bridge funding for the public
health impact database
(for 6 months).* ID#21326

**Social Science
Research Council**
New York, NY
\$50,000
*Assessment of sexuality research
opportunities (for 9 months).*
ID#22083

University of Southern Maine
Portland, ME
\$61,380
*Study of the efficacy of state health
policy analysis programs
(for 7 months).* ID#22654

**Trustees of Health and
Hospitals of the
City of Boston**
Boston, MA
\$24,525
*Links between graduate medical
education and hospital quality
assurance activities
(for 6 months).* ID#22616

Evaluations

**Cornell University,
New York State College of
Human Ecology**
Ithaca, NY
\$237,607
*Evaluation of The Robert Wood
Johnson Foundation's replication
programs (for 28 months).*
ID#21918

**Indiana University, Center on
Philanthropy**
Indianapolis, IN
\$38,185
*Assessment of co-funder support
for the Local Initiative Funding
Partners Program (for 4 months).*
ID#22773

**The Johns Hopkins
University, School of Medicine**
Baltimore, MD
\$400,000
*Evaluation of Hawaii's Healthy
Start Program (for 5 years).*
ID#18303

**Seattle Public School
District 1**
Seattle, WA
\$47,685
*Evaluation of a condom
availability program in Seattle
high schools (for 29 months).*
ID#22066

Communications

Alliance for Health Reform
Washington, DC
\$15,000
*Congressional staff retreat on
health reform (for 3 months).*
ID#23642

**George Washington
University**
Washington, DC
\$690,500
*National forums to educate the
public on health care reform
(for 9 months).* ID#22073

**University of Oklahoma,
College of Public Health**
Oklahoma City, OK
\$305,107
*Pilot program to promote local
action to address health problems
(for 1.5 years).* ID#22231

**The Pennsylvania State
University**
University Park, PA
\$12,200
*Special journal issue on medical
sociology (for 1 year).* ID#21647

Rockefeller University
New York, NY
\$82,094
*Forums on linking environmental
and community health approaches
(for 1 year).* ID#23035

**Washington State Public
Health Association**
Seattle, WA
\$50,000
*Public health nursing
documentary: A Century of
Caring (for 6 months).* ID#22204

Western Public Radio, Inc.
 San Francisco, CA
 \$44,361
Distribution of audio tape, Drug-Proofing Your Children (for 6 months). ID#23163

National Center for Lead-Safe Housing, Inc.
 Columbia, MD
 \$496,192
New state and city strategies in lead poisoning prevention (for 2 years). ID#22889

Princeton Area Foundation, Inc.
 Princeton, NJ
 \$50,000
Support for a new community foundation for the Mercer County region (for 2 years). ID#21598

Other Interventions

American National Red Cross
 Washington, DC
 \$500,000
Disaster relief for Midwest flood victims (for 1 month). ID#22920

Cenacle Retreat House
 Highland Park, NJ
 \$18,000
Facility repairs and renovations (for 1 year). ID#20972

Massachusetts Health Research Institute, Inc.
 Boston, MA
 \$148,562
Foundation program development assistance (for 1 year). ID#21679

Middlesex County Recreation Council
 Edison, NJ
 \$194,500
Camping program for children with health problems (for 1 year). ID#20764

New Brunswick Development Corporation
 New Brunswick, NJ
 \$400,000
Redevelopment program for New Brunswick, New Jersey (for 1 year). ID#21521

New Brunswick Tomorrow
 New Brunswick, NJ
 \$300,000
Program to strengthen human resources and services in New Brunswick (for 1 year). ID#21487

University of Oklahoma, College of Public Health
 Oklahoma City, OK
 \$68,295
Washington policy and program information activities (for 13 months). ID#22068

Plainsboro Rescue Squad, Inc.
 Plainsboro, NJ
 \$50,000
Partial support for a new ambulance (for 2 months). ID#22739

St. Vincent de Paul Society
 Metuchen, NJ
 \$60,000
Annual support for program of assistance to the indigent (for 1 year). ID#21488

The Salvation Army
 New Brunswick, NJ
 \$105,000
Support services for the indigent and distressed (for 1 year). ID#21239

United Way of Central Jersey, Inc.
 Milltown, NJ
 \$300,000
Support for the 1993 campaign (for 1 year). ID#21489

United Way—Princeton Area Communities
 Princeton, NJ
 \$78,650
Support for the 1993 campaign (for 1 year). ID#21490

Total 1993 grants	\$135,524,432
Refunds of prior years' grants net of transfers	(1,260,449)
Cancellations of prior years' grants net of transfers	(3,294,703)
Transfer of grants	
Balance unspent by original grantees	(1,956,388)
Transferred to new grantees	1,956,388
Grants net for 1993	<u>\$130,969,280</u>

SELECTED BIBLIOGRAPHY

Each year the Foundation's grantees report the publications and other information materials that have been produced as a direct or indirect result of their grants.

This bibliography is a sample of citations from the books, book chapters, journal articles, reports and audiovisual materials produced and reported to us by Foundation grantees. The publications are available through medical libraries and/or the publishers. We regret that copies are not available from the Foundation.

Books

Fuchs, Victor R. *The Future of Health Policy*. Cambridge, Massachusetts: Harvard University Press, 1993.

Joseph, Stephen C. *Dragon Within the Gates: The Once and Future AIDS Epidemic*. New York: Carroll & Graf Publishers, 1992.

Lieberman, Betsy and Donald P. Chamberlain. *Breaking New Ground: Developing Innovative AIDS Care Residences*. Seattle, Washington: AIDS Housing of Washington, 1993.

Sloan, Frank A., et al. *Suing for Medical Malpractice*. Chicago: University of Chicago Press, 1993.

Weiler, Paul C., et al. *A Measure of Malpractice: Medical Inquiry, Malpractice Litigation, and Patient Compensation*. Cambridge, Massachusetts: Harvard University Press, 1993.

Book Chapters

Brown, L.D. "Getting There: The Political Context for Implementing Health Care Reform." *Implementation Issues and National Health Care Reform*. Edited by Charles Brecher. New York: Josiah Macy, Jr. Foundation, 1992.

Fernandez, F, P. Ruiz and E.G. Bing. "Mental Health Impact of AIDS in Ethnic Minorities." *Culture, Ethnicity, and Mental Illness*. Edited by Albert C. Gaw. Washington, D.C.: American Psychiatric Press, 1993.

Levine, Carol. "Women as Research Subjects: New Priorities, New Questions." *Emerging Issues in Biomedical Policy — Volume II*. Edited by Robert H. Blank. New York: Columbia University Press, 1993.

Levine, M.D., et al. "Learning Disabilities: An Interactive Developmental Paradigm." *Better Understanding Learning Disabilities: New Views from Research and Their Implications for Education and Public Policies*. Edited by G. Reid Lyon, et al. Baltimore: Paul H. Brookes, 1993.

Martin, Jackie L. "Halothane Hepatitis: A Possible Immune-Mediated Toxicity." *Clinical Immunotoxicology*. Edited by David S. Newcombe, Noel E. Rose and John C. Bloom. New York: Raven Press, 1992.

O'Kane, Margaret E. "Outside Accreditation of Managed Care Plans." *The Managed Health Care Handbook*. 2nd edition. Edited by Peter Kongstvedt. Gaithersburg, Maryland: Aspen Publishers, 1993.

Scott, N.A. and Michael B. Gravanis. "Heart Failure." *Cardiovascular Disorders: Pathogenesis and Pathophysiology*. Edited by Michael B. Gravanis. Saint Louis: C.V. Mosby Company, 1993.

Spaulding, Cora D. and W.P. Newton. "Primary Care of HIV Disease." *The Essentials of Family Medicine*. Edited by P. Sloane, et al. Baltimore: Williams & Wilkins, 1993.

Journal Articles

Billings, John, et al. "Impact of Socioeconomic Status on Hospital Use in New York City." *Health Affairs*, 12(1):162-173, Spring, 1993.

- Blendon, Robert J., *et al.* "Health Reform Lessons Learned from Physicians in Three Nations." *Health Affairs*, 12(3):194-203, Fall, 1993.
- Blumenthal, David. "Total Quality Management and Physicians' Clinical Decisions." *JAMA*, 269(21):2775-2778, June 2, 1993.
- Bulger, Roger J. "Using Academic Health Centers to Help Avoid Health Care's New Crisis." *JAMA*, 269(19):2548-2549, May 19, 1993.
- Burstin, Helen R., *et al.* "The Effect of Hospital Financial Characteristics on Quality of Care." *JAMA*, 270(7):845-849, August 18, 1993.
- DeAngelis, Catherine. "Hopkins Implements Innovative Medical School Curriculum." *Maryland Medical Journal*, 42(5):461-466, May, 1993.
- Dilday-Davis, Pam. "The Largest Privately Funded Child Mental Health Initiative Under Way." *Psychiatric Times*, 10(6):33, June, 1993.
- Escarce, Jose J., *et al.* "Racial Differences in the Elderly's Use of Medical Procedures and Diagnostic Tests." *American Journal of Public Health*, 83(7):948-954, July, 1993.
- Finkler, Merton D. and David D. Wirtschafter. "Why Pay Extra for Cesarean-Section Deliveries?" *Inquiry*, 30(2):208-215, Summer, 1993.
- Fox, Michael H., Phua Kai and Jonathan P. Weiner. "Effect of Medicaid Payment Levels on Access to Obstetrical Care." *Health Affairs*, 11(4):150-161, Winter, 1992.
- Freed, G.L., *et al.* "Reactions of Pediatricians to a New Centers for Disease Control Recommendation for Universal Immunization of Infants with Hepatitis B Vaccine." *Pediatrics*, 91(4):699-702, April, 1993.
- Gallagher, E. John, Catherine M. Viscoli and Ralph I. Horwitz. "The Relationship of Treatment Adherence to the Risk of Death After Myocardial Infarction in Women." *JAMA*, 270(6):742-744, August 11, 1993.
- Gentry, Linda R. "The Special Caretakers Program: A Hospital's Solution to the Boarder Baby Problem." *Health and Social Work*, 18(1):75-77, February, 1993.
- Gerrity, Martha S., Susan Gaylord and Mark E. Williams. "Short Versions of the Timed Manual Performance Test: Development, Reliability, and Validity." *Medical Care*, 31(7):617-628, July, 1993.
- Greenfield, Sheldon, *et al.* "The Importance of Co-existent Disease in the Occurrence of Postoperative Complications and One-Year Recovery in Patients Undergoing Total Hip Replacement." *Medical Care*, 31(2):141-154, February, 1993.
- Haas, Jennifer S., Steven Udvarhelyi and Arnold M. Epstein. "The Effect of Health Coverage for Uninsured Pregnant Women on Maternal Health and the Use of Cesarean Section." *JAMA*, 270(1):61-64, July 7, 1993.
- Harrell, P.L., *et al.* "Medical Students' Confidence and the Characteristics of Their Clinical Experience in a Primary Care Clerkship." *Academic Medicine*, 68(7):577-579, July, 1993.
- Kaplan, Edward H. "The Legal Perspective. Federal Response to Needle-Exchange Programs: Part II: B. Needle-Exchange Research: The New Haven Experience." *Pediatric AIDS and HIV Infection*, 4(2):92-96, April, 1993.
- Kearl, Gary W. and Arch G. Mainous III. "Physicians' Productivity and Teaching Responsibilities." *Academic Medicine*, 68(2):166-167, February, 1993.
- Kerr, Eve A. and Albert L. Siu. "Follow-Up After Hospital Discharge: Does Insurance Make a Difference?" *Journal of Health Care for the Poor and Underserved*, 4(2):133-142, 1993.
- Kindig, David A., James M. Cultice and Fitzhugh Mullan. "The Elusive Generalist Physician: Can We Reach a 50% Goal?" *JAMA*, 270(9):1069-1073, September 1, 1993.

- Kohles, Mary Kay and Barbara A. Donaho. "Twenty Grantees Seek Transformation: From Discipline-Driven, Compartmentalized Entities to Patient-Driven, Unified Care Systems." *Strategies for Healthcare Excellence*, 5(11):1-4, November, 1992.
- Levine, Carol. "The Ghosts of Epidemics Past, Present and Future." (Review). *Hastings Center Report*, 23(4):39-40, July/August, 1993.
- Litwin, M.S., K.L. Kahn and N. Reccius. "Why Do Sicker Patients Cost More? A Charge-Based Analysis of Patients Undergoing Prostatectomy." *Journal of Urology*, 149(1):84-88, January, 1993.
- McGurk, Susan R., Jose Cardenas and Howard S. Adelman. "Utilization of a School-Based Clinic for Identification and Treatment of Adolescent Sexual Abuse." *Journal of Adolescent Health*, 14(3):196-201, May, 1993.
- Mendelson, Daniel N. and William B. Schwartz. "The Effects of Aging and Population Growth on Health Care Costs." *Health Affairs*, 12(1):119-125, Spring, 1993.
- Mollica, Richard F., et al. "The Effect of Trauma and Confinement on Functional Health and Mental Health Status of Cambodians Living in Thailand-Cambodia Border Camps." *JAMA*, 270(5):581-585, August 4, 1993.
- Morrissey, J. "An Interorganizational Network Approach to Evaluating Children's Mental Health Service Systems." *New Directions in Program Evaluation*, 54: September, 1992.
- Pandiani, John A. and Alice G. Maynard. "Vermont's Local Interagency Teams: An Evaluation of Service Coordination and System Change." *Community Alternatives: International Journal of Family Care*, 5(1):85-97, Spring, 1993.
- Pauly, Mark V. and M. Haim Erder. "Insurance Incentives for Ambulatory Surgery." *Health Services Research*, 27(6):813-839, February, 1993.
- Reifler, Burton V., et al. "Financial Self-Sufficiency Through Operating Revenue at Two Adult Day Centers." *Pride Institute Journal of Long Term Home Health Care*, 12(3):28-33, Summer, 1993.
- Relman, Arnold S. "Controlling Costs by 'Managed Competition' — Would It Work?" *New England Journal of Medicine*, 328(2):133-135, January 14, 1993.
- Rogal, Deborah R. and W. David Helms. "State Models: An Overview — Tracking States' Efforts to Reform Their Health Systems." *Health Affairs*, 12(2):27-30, Summer, 1993.
- Rorro, Mary. "Nursing Home Residents: The Stories of Their Lives." *Journal of the American Geriatrics Society*, 41(1):85-87, January, 1993.
- Schwartz, Richard W., James Burgett and Michael B. Donnelly. "An Overview of the Clinical Reasoning Process and its Relationship to Problem-Based Learning." *Current Surgery*, 50(1):66-70, January, 1993.
- Shore, Miles F. and Martin D. Cohen. "Observations from the Program on Chronic Mental Illness." *Health Affairs*, 11(3):227-233, Fall, 1992.
- Smith, Dean G. "The Effects of Copayments and Generic Substitution on the Use and Costs of Prescription Drugs." *Inquiry*, 30(2):189-198, Summer, 1993.
- Swartz, Katherine, John Marcotte and Timothy D. McBride. "Personal Characteristics and Spells Without Health Insurance." *Inquiry*, 30(1):64-76, Spring, 1993.
- Weber, Alexa. "Five Original Recipes for Strengthening Hospital Patient Care." *Strategies for Healthcare Excellence*, 5(11):4-12, November, 1992.
- Williamson, G. Gordon. "Enhancing the Social Competence of Children with Learning Disabilities." *Sensory Integration: Special Interest Section Newsletter*, 16(1):1-2, March, 1993.
- Wood, David, et al. "Impact of Family Relocation on Children's Growth, Development, School Function, and Behavior." *JAMA*, 270(11):1334-1338, September 15, 1993.

Woolhandler, Steffie, David U. Himmelstein and James P. Lewontin. "Administrative Costs in U.S. Hospitals." *New England Journal of Medicine*, 329(6):400-403, August 5, 1993.

Reports

Alcohol Congress On Responsible Decisions: A Report on the First ACORD Congress, Princeton University, Princeton, New Jersey, May 7-9, 1992. Princeton, New Jersey: ACORD, 1993.

An Assessment of Provider, Systemic and Cultural Barriers to Care. Washington, D.C.: Greater Southeast Healthcare System, 1993.

Bazzoli, G.J. and K.J. Madura. *1993 Inventory of Trauma Systems.* Chicago: Hospital Research and Educational Trust, 1993.

Blumenthal, David, S.G. Mayer and J.N. Edwards. *The Robert Wood Johnson Health Policy Fellowship: An Evaluation.* Boston: Massachusetts General Hospital, December, 1992.

Bulger, Roger J. *Association of Academic Health Centers' Proposal to Provide Health Services to the Poor and Underserved (Testimony Before the Committee on Finance, United States Senate, May 14, 1993).* Washington, D.C.: Association of Academic Health Centers, 1993.

Campion, Daniel M., Debra J. Lipson and Robert M. Elliot. *Networking for Rural Health: The Essential Access Community Hospital Program.* Washington, D.C.: Alpha Center for Health Planning, March, 1993.

Crossett, Richard M. and Kevin R. Smith. *Changes for Children: Making Progress for Young Kentuckians with Severe Emotional Disabilities — A Two-Year Evaluation Report.* Frankfort, Kentucky: Commonwealth of Kentucky Cabinet for Human Resources, 1993.

Curley, Timothy. *Update: State Progress in Health Care Reform, 1993.* Washington, D.C.: National Governors' Association, Center for Policy Research, August, 1993.

An Environment that Supports Programs and People. Edited by Chari Weber, et al. Chardon, Ohio: The Research Center of the Corinne Dolan Alzheimer Center at Heather Hill, 1993.

Hawes, Catherine, Judith B. Wildfire and Linda J. Lux. *The Regulation of Board and Care Homes: Results of a Survey in the 50 States and the District of Columbia — A National Summary.* Washington, D.C.: American Association of Retired Persons, 1993.

Haynie, M., S. Porter and J. Palfrey. *Supplement to Children Assisted by Medical Technology in Educational Settings: Guidelines for Care.* Boston: Children's Hospital, 1993.

HIV/AIDS and the Workplace: What Employers Need to Know and Do. Proceedings of a Conference, New York, New York, October 20, 1992. New York: New York Business Group on Health, 1993.

Kirsch, Richard and Aviva Goldstein. *Hospital Global Budgeting: A Key to Health Care Reform.* Albany, New York: Public Policy and Education Fund of New York, 1993.

Kramer, Andrew M., et al. *Evaluation of Innovative Rehabilitation Alternatives and Critical Dimensions of Care: Research Design.* Denver: University of Colorado Health Sciences Center, Center for Health Services Research, 1992.

Levine, Carol and Gary L. Stein. *Orphans and AIDS: Unmet Needs in Six U.S. Cities.* New York: Fund for the City of New York, The Orphan Project, 1993.

Lipson, Debra J. and Steven D. Gold. *State Budget Crises and Their Effect on State Health Care Access Initiatives.* Portland, Maine: National Academy for State Health Policy, 1992.

Minnesota Care: Progress Report on the Coordination of Medical Assistance and the Minnesota Care Plan. Minneapolis, Minnesota: Minnesota Department of Human Services, March, 1993.

Minority Students in Medical Education: Facts and Figures VII. Washington, D.C.: Association of American Medical Colleges, August, 1993.

Needle Exchange Programs: Research Suggests Promise as an AIDS Prevention Strategy. Washington, D.C.: U.S. General Accounting Office, GAO/HRD-93-60, 1993.

The Needlestick Prevention Factpack. Washington, D.C.: Service Employees International Union, Occupational Health and Safety Department, 1993.

Plotkin, Martha R. and Ortwine A. Narr. *The Police Response to the Homeless: A Status Report.* Washington, D.C.: Police Executive Research Forum, 1993.

Scanlon, William, Anne Cimnecki and Joy Gianolio. *Measuring the Prevalence of and Barriers to Service Adequacy in a Follow-up Survey to the National Health Interview Survey's Disability Supplement: Instrument Outline.* Washington, D.C.: Georgetown University, Center for Health Policy Studies, December, 1992.

Strategic Observations from a Robert Wood Johnson Foundation Survey of American Attitudes Toward Health Care Reform. Marttila and Kiley, Inc., and Harvard University School of Public Health. Princeton, New Jersey: The Robert Wood Johnson Foundation, 1993.

Ware, Jr., John E. *SF-36 Health Survey: Manual and Interpretation Guide.* Boston: New England Medical Center, Health Institute, 1993.

Wildfire, Judith B., Catherine Hawes and Linda J. Lux. *The Regulation of Board and Care Homes: Results of a Survey in the 50 States and the District of Columbia — State Summaries.* Washington, D.C.: American Association of Retired Persons, 1993.

Audiovisual Materials

Breathing Easy: Children on Ventilators in School Settings (25-minute videotape) by Mark Hoffacker, in collaboration with the staff of the Henry Viscardi School. Albertson, New York: Henry Viscardi School, 1993.

Creating Caring Communities of Learners (three orientation videotapes of 32, 27 and 7 minutes, with an accompanying guidebook for conducting presentations). Oakland, California: Developmental Studies Center, 1992.

Enhancing Access to Obstetrical Care: An Evaluation of a Change in Medicaid Payment Policy (40-minute slide presentation). Columbus, Ohio: National Association of Welfare Research and Statistics, August, 1992.

Fighting Back (60-minute videotape). Columbia, South Carolina: Lexington/Richland Alcohol and Drug Abuse Council, 1992.

Healthy Children: A National Priority (30-minute videotape). New York: CBS, Cultural and Religious Program Broadcast, 1993.

Integrating Services for Elementary School Children: The Options for Pre-Teens Experience (20-minute videotape, filmed at the AASA Convention, February, 1993, in Orlando, Florida). Arlington, Virginia: American Association of School Administrators, 1993.

Moving Beyond the Rhetoric: Putting Health Purchasing Alliances into Action (five 120-minute videotapes) by Tragert Enterprises. Washington, D.C.: Institute for Health Policy Solutions, 1993.

A Supportive Setting: The Corinne Dolan Alzheimer Center at Heather Hill (26-minute videotape) by Palletta Gwinnup and Ellen Baumgardner. Chardon, Ohio: The Research Center of the Corinne Dolan Alzheimer Center at Heather Hill, 1993.

Training in the Use of the Behavioral Discontrol Scale (BDS) (47-minute videotape) by Jim Grigsby. Denver: Center for Health Services Research, 1993.

FINANCIAL STATEMENTS

The annual financial statements for the Foundation for 1993 appear on pages 62 through 64. A listing of grants authorized in 1993 begins on page 31.

Net grants and program contracts and related activities totaled \$141,570,000. The Robert Wood Johnson Foundation funds a number of national programs involving multiyear grants to groups of grantees. Thus, the amounts awarded from year to year may differ significantly.

Program development and evaluation, administrative and investment expenses for the year came to \$16,510,000; and federal excise tax on investment income amounted to \$1,244,000, making a grand total of grant authorizations and expenditures of \$159,324,000. This total was \$36,664,000 more than gross investment income of \$122,660,000. In 1992, total grant

authorizations and expenditures were \$115,269,000 more than gross revenue.

The Internal Revenue Code requires private foundations to make qualifying distributions of 5 percent of the fair market value of assets not used in carrying out the charitable purpose of the Foundation. The amounts required to be paid out for 1993 and 1992 were approximately \$161,100,000 and \$176,600,000, respectively.

A list of investment securities held at December 31, 1993, is available upon request to the Treasurer, The Robert Wood Johnson Foundation, Post Office Box 2316, Princeton, New Jersey 08543-2316.



Andrew R. Greene
Vice President and Treasurer

REPORT OF INDEPENDENT ACCOUNTANTS

To the Trustees of
The Robert Wood Johnson Foundation:

We have audited the accompanying statements of assets, liabilities and foundation principal of The Robert Wood Johnson Foundation (the "Foundation") as of December 31, 1993 and 1992 and the related statements of investment income, expenses, grants and changes in foundation principal for the years then ended. These financial statements are the responsibility of the Foundation's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with generally accepted auditing standards. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis,

evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Foundation at December 31, 1993 and 1992 and the investment income, expenses, grants and changes in foundation principal for the years then ended in conformity with generally accepted accounting principles.



Princeton, New Jersey
January 28, 1994

STATEMENT OF ASSETS, LIABILITIES AND FOUNDATION PRINCIPAL
at December 31, 1993 and 1992
(Dollars in Thousands)

ASSETS	1993	1992
Cash	\$ 3	\$ 2
Interest and dividends receivable	11,919	15,869
Investments at market value:		
Johnson & Johnson common stock	2,041,427	2,297,316
Other equity investments	186,289	171,763
Fixed income investments	1,182,826	1,212,449
Program related investments	20,688	20,306
Cash surrender value, net	1,407	620
Land, building, furniture and equipment at cost, net of depreciation	12,285	12,080
	\$3,456,844	\$3,730,405
LIABILITIES AND FOUNDATION PRINCIPAL		
Liabilities:		
Accounts payable	\$ 299	\$ 166
Payable on pending security transactions	84,566	121,313
Unpaid grants	169,090	168,154
Federal excise tax payable	58	131
Deferred federal excise tax	36,992	42,210
Total liabilities	291,005	331,974
Foundation principal	3,165,839	3,398,431
	\$3,456,844	\$3,730,405

See notes to financial statements.

STATEMENT OF INVESTMENT INCOME, EXPENSES, GRANTS AND CHANGES IN
 FOUNDATION PRINCIPAL
 for the years ended December 31, 1993 and 1992
 (Dollars in Thousands)

	1993	1992
Investment income:		
Dividends	\$ 49,256	\$ 43,032
Interest	73,404	86,357
	122,660	129,389
Less: Federal excise tax	1,244	1,266
Investment expense	2,197	2,181
	119,219	125,942
Expenses:		
Program development and evaluation	8,857	8,188
General administration	5,456	5,112
	14,313	13,300
Income available for grants	104,906	112,642
Less: Grants, net of refunds and cancellations	130,969	220,580
Program contracts and related activities	10,601	7,331
Excess of grants and expenses over income	(36,664)	(115,269)
Adjustments to Foundation principal net of related federal excise tax:		
Realized gains on sale of securities	59,725	47,537
Unrealized depreciation on investments	(255,653)	(341,595)
	(195,928)	(294,058)
Net decrease in Foundation principal	(232,592)	(409,327)
Foundation principal, beginning of year	3,398,431	3,807,758
Foundation principal, end of year	\$3,165,839	\$3,398,431

See notes to financial statements.

NOTES TO FINANCIAL STATEMENTS

1. *Summary of Significant Accounting Policies:*

The Foundation is a private foundation as described in Section 501(c)(3) of the Internal Revenue Code.

Investments represent securities traded on a national securities exchange which by their nature are subject to market fluctuations. Investments are valued at the last reported sales price on the last business day of the year.

Grants are recorded as a liability in the year they are awarded and are usually paid within a five-year period.

Depreciation of \$920,837 in 1993 and \$805,520 in 1992 is calculated using the straight-line method over the estimated useful lives of the depreciable assets.

Deferred federal excise taxes are the result of unrealized appreciation on investments being reported for financial statement purposes in different periods than for tax purposes.

2. *Investments:*

The cost and market values of the investments are summarized as follows (dollars in thousands):

	1993		1992	
	<u>Cost</u>	<u>Market Value</u>	<u>Cost</u>	<u>Market Value</u>
Johnson & Johnson Common Stock 45,491,400 shares in 1993 and 1992	\$ 108,674	\$2,041,427	\$ 108,674	\$2,297,316
Other equity investments:				
Internally managed including temporary cash of \$19,598 and \$28,260 in 1993 and 1992, respectively	110,394	126,527	103,045	115,934
Externally managed	52,156	59,762	46,871	55,829
Fixed income investments	<u>1,171,393</u>	<u>1,182,826</u>	<u>1,194,142</u>	<u>1,212,449</u>
	<u>\$1,442,617</u>	<u>\$3,410,542</u>	<u>\$1,452,732</u>	<u>\$3,681,528</u>

3. *Retirement Plan:*

Substantially all employees of the Foundation are covered by a retirement plan which provides for retirement benefits through the purchase of individually-owned annuities. The Foundation's policy is to fund costs incurred. Pension expense was \$974,800 and \$877,475 in 1993 and 1992, respectively.

THE SECRETARY'S REPORT

At the January 1994 meeting of the Board, Robert E. Campbell and Edward E. Matthews were elected trustees of the Foundation. Mr. Campbell is a vice chairman of the board of directors of Johnson & Johnson, and chairman of its professional sector. He is a graduate of Fordham University and earned a master's degree in business administration at Rutgers University. Mr. Matthews is vice chairman — finance and chief financial officer of American International Group, Inc., one of the world's largest insurance groups. He received a bachelor of arts degree in applied mathematics and statistics from Princeton University and a master's degree in business administration from Harvard University.

Also at the January 1994 meeting, Richard B. Sellars was elected to the office of trustee emeritus of the Foundation, having served as a trustee for 25 years. Upon his election as trustee emeritus, Mr. Sellars was cited by the Board for his many years of loyal and distinguished service to the Foundation.

Staff changes

In October 1993, Frank Karel was reappointed vice president for communications. He had served as the Foundation's first vice president for communications from 1974 to 1987. From 1987 to 1993, Mr. Karel was vice president for communications at the Rockefeller Foundation in New York City. Mr. Karel received his undergraduate degree in journalism from the University of Florida and his master of public administration degree from New York University.

In June 1993, Rosemary Gibson joined the staff as program officer. Prior to joining the Foundation, Ms. Gibson served as a vice president at the Economic and Social Research Institute, Reston, Virginia, and has been a consultant to the Catholic Health Association. Ms. Gibson received her undergraduate degree in business and public policy from Georgetown University and her master of science degree in public policy/public finance from the London School of Economics.

In September 1993, Gail R. Wilensky, PhD, was appointed special advisor to the president on health care issues. Dr. Wilensky is senior fellow at Project HOPE, Bethesda, Maryland.

In January 1994, Andrea S. Gerstenberger, ScD, joined the Foundation as program officer. Dr. Gerstenberger received her doctor of science degree from The Johns Hopkins University School of Hygiene and Public Health, where she worked as a research coordinator in the Health Services Research and Development Center from 1990 to 1993.

Effective January 1, 1994, the following promotions were made: Marguerite Johnson Rountree, program officer, was promoted to senior program officer; and Karen J. Candelori, manager of investment department operations, was promoted to fixed income portfolio manager.

In June 1993, Thomas P. Gore II, vice president for communications, left the Foundation to pursue a consulting opportunity. Mr. Gore joined the Foundation in 1987.

In November 1993, Amy L. Heaps, associate communications officer, left the Foundation to become communications manager of the cancer center at the University of Maryland. Ms. Heaps joined the Foundation in 1987.

In January 1994, Olga Ferretti, assistant secretary of the Foundation, retired after over 25 years of service. Prior

to joining the Foundation, Ms. Ferretti served as personal assistant and nurse to General Robert Wood Johnson until his death in 1968. Since that time, she has held administrative offices in the Foundation and has been a particularly valuable resource to the trustees and staff of the Foundation.

Also in January 1994, Randolph A. Desonia, program officer, left the Foundation to accept a position as director for the Center on Health Policy Studies at the National Governors' Association in Washington, D.C. Mr. Desonia joined the Foundation in 1989.

Program directors

Thomas W. Chapman was appointed program director to the program, *Opening Doors: A Program to Reduce Sociocultural Barriers to Health Care*. Mr. Chapman is chief executive officer of the George Washington University Hospital, Washington, D.C.

Catherine M. Dunham, EdD, was appointed program director to the Community Health Leadership Program. Dr. Dunham serves as special advisor to The Robert Wood Johnson Foundation.

Peter D. Fox, PhD, was appointed program director to the program, *Chronic Care Initiatives in HMOs*. Dr. Fox is head of PDF Incorporated, a

consulting firm based in Washington, D.C.

James R. Gavin III, MD, PhD, was appointed program director to the Minority Medical Faculty Development Program, after completing his assignment directing the Minority Medical Education Program. Dr. Gavin is senior scientific officer at the Howard Hughes Medical Institute, Chevy Chase, Maryland.

Philip C. Hopewell, MD, was appointed program director to the program, *Old Disease, New Challenge: Tuberculosis in the 1990s*. Dr. Hopewell is professor of medicine at the University of California, San Francisco, and chief, Division of Pulmonary and Critical Care Medicine at San Francisco General Hospital.

Thomas P. Houston, MD, was appointed program director to the program, *SmokeLess States: Statewide Tobacco Prevention and Control Initiatives*. Dr. Houston is director of the Department of Preventive Medicine and Public Health at the American Medical Association, Chicago, Illinois.

Thomas S. Inui, MD, was appointed program director to the program, *Health of the Public: An Academic Challenge*. Dr. Inui is professor and chairman of the Department of Ambulatory Care and Prevention, Harvard Medical School and Harvard Community Health Plan.

Kenneth G. Johnson, MD, was appointed program director to the program, *Faith in Action: Replication of the Interfaith Volunteer Caregivers Program*, after completing his assignment directing the Program to Improve Maternal and Infant Health in New Jersey. Dr. Johnson is director of the Health Services Research Center, Kingston Hospital, Kingston, New York.

Judith E. Jones was appointed program director to the program, *Free to Grow: Head Start Partnerships to Promote Substance-Free Communities*. Ms. Jones is director and associate clinical professor of public health at the National Center for Children in Poverty, Columbia University.

Andrea I. Kabcenell was appointed program director to the program, *Improving the Quality of Hospital Care*. Ms. Kabcenell is senior research associate at the College of Human Ecology, Cornell University, Ithaca, New York.

Julia Graham Lear, PhD, was appointed program director to the program, *Making the Grade: State and Local Partnerships to Establish School-Based Health Centers*, after completing her assignment co-directing the School-Based Adolescent Health Care Program. Dr. Lear is associate research professor in the Department of Health Services, Management and Policy at George Washington University.

Sandra L. Meicher, PhD, was appointed program director to the program, Improving Child Health Services: Removing Categorical Barriers to Care. Dr. Meicher is coordinator, Division of Health Management and Policy at the University of Minnesota School of Public Health.

Herbert W. Nickens, MD, was appointed program director to the Minority Medical Education Program. Dr. Nickens is vice president for minority health, education and prevention, Association of American Medical Colleges, Washington, D.C.

Linda J. Rosen, PhD, was appointed acting program director to the New Jersey Health Services Development Program, having previously served as deputy director. Dr. Rosen is a consultant for Cathedral Healthcare System, Inc., in Newark, New Jersey.

H. Denman Scott, MD, was appointed program director to the program, Reach Out: Physicians' Initiative to Expand Care to Underserved Americans. Dr. Scott is senior vice president of health and public policy, American College of Physicians, Philadelphia, Pennsylvania.

Jonathan Showstack was appointed co-program director to the program, Health of the Public: An Academic Challenge. Mr. Showstack is associate professor of medicine and health policy, University of California, San Francisco.

Harold Amos, PhD, completed his assignment directing the Minority Medical Faculty Development Program. Dr. Amos was appointed to this position in 1989.

Edward N. Brandt, Jr., MD, PhD, completed his assignment directing the AIDS Prevention and Service Projects. Dr. Brandt was appointed to this position in 1988.

Stephen C. Crane, PhD, completed his assignment directing the program, Investigator Awards in Health Policy Research. Dr. Crane was appointed to this position in 1992.

Ruth S. Hanft, PhD, completed her assignment co-directing the program, Information for State Health Policy. Dr. Hanft was appointed to this position in 1991.

Stephen C. Joseph, MD, completed his assignment directing the program, Improving Child Health Services: Removing Categorical Barriers to Care. Dr. Joseph was appointed to this position in 1991.

Charles S. Mahan, MD, completed his assignment directing the program, Healthy Futures: A Program to Improve Maternal and Infant Care in the South. Dr. Mahan was appointed to this position in 1987.

Mary Plaska completed her assignment directing the Program to Strengthen Primary Care Health Centers. Ms. Plaska was appointed to this position in 1988.

Philip J. Porter, MD, completed his assignment co-directing the School-Based Adolescent Health Care Program. Dr. Porter was appointed to this position in 1985.

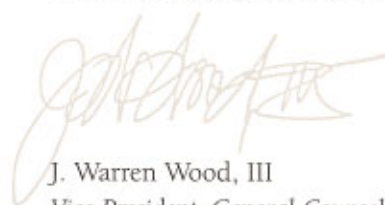
Robert C. Rock, MD, completed his assignment directing the program, Improving the Quality of Hospital Care. Dr. Rock was appointed to this position in 1991.

Timothy L. Taylor, PhD, completed his assignment directing the program, Improving the Health of Native Americans. Dr. Taylor was appointed to this position in 1988.

Jeffrey A. Warren completed his assignment directing the New Jersey Health Services Development Program. Mr. Warren was appointed to this position in 1987.

Board activities

The Board of Trustees met five times in 1993 to conduct business, review proposals and appropriate funds. In addition, the Nominating, Human Resources, Finance and Audit Committees met as required to consider and prepare recommendations to the Board.



J. Warren Wood, III
*Vice President, General Counsel
and Secretary*

OFFICERS AND STAFF

Sidney F. Wentz
Chairman, Board of Trustees

Evann S. Gleeson
Secretary

Steven A. Schroeder, MD
President

Sara E. Wilkinson
Administrative Assistant

Judith A. Famulare
Secretary

Richard C. Reynolds, MD
Executive Vice President

Geraldine E. Brown
Administrative Assistant

J. Warren Wood, III
*Vice President, General Counsel
and Secretary*

Sharon E. Krauss
Administrative Assistant

May C. Wagner
Secretary

Ruby P. Hearn, PhD
Vice President

Annie Lea Shuster
Senior Program Officer

Donald F. Dickey
Program Officer

Janet Heroux
Program Officer

Rush L. Russell
Program Officer

Dale A. Alloway
Administrative/Program Assistant

Linda L. Manning
Administrative/Program Assistant

Lois Shevlin
Program Assistant

Dolores V. Slayton
Program Assistant

Debra S. Soroka
Program Assistant

Mary R. Marrone
Secretary

Paul S. Jellinek, PhD
Vice President

Marguerite Johnson Rountree
Senior Program Officer

Eric P. Coleman
Program Officer

Helen D. Dundas
Program Assistant

Lucille K. Gerrity
Program Assistant

Joy Neath
Secretary

Jeanette L. Wattle
Secretary

Nancy J. Kaufman
Vice President

Nancy L. Barrand
Senior Program Officer

Michael P. Beachler
Senior Program Officer

Tracy Orleans, PhD
Consultant

Charlotte L. Hallacher
Program Assistant

Barbara A. McCourt
Program Assistant

Deborah Rhett
Secretary

James R. Knickman, PhD
*Vice President for Evaluation and
Research*

Joel C. Cantor, ScD
*Director of Evaluation Research and
Senior Program Officer*

Marjorie A. Gutman, PhD
Senior Program Officer

Robert G. Hughes, PhD
*Director of Program Research and
Senior Program Officer*

Beth A. Stevens, PhD
Senior Program Officer

Dianne C. Barker
Program Officer

Andrea S. Gerstenberger, ScD
Program Officer

Laurence C. Baker
Research Economist

Linda G. Baker
Research Assistant

Dolores A. Colello
Program Assistant

Tania L. Davis
Research Assistant

Phyllis L. Kane
Program Assistant

Deborah A. Malloy
Administrative Assistant

Erika L. Miles
Research Assistant

Christine M. Phares
Administrative/Program Assistant

Ann P. Pomphrey
Program Assistant

Sherry M. Georgianna
Secretary

Bess H. Lee
Secretary

Lewis G. Sandy, MD
Vice President

Stephen A. Somers, PhD
Associate Vice President

Pauline M. Seitz
Senior Program Officer

Rosemary Gibson
Program Officer

Susan D. Augenblick
Program Assistant

Diane Montagne
Program Assistant

Jeanne M. Stives
Secretary

Catherine M. Dunham, EdD
Special Advisor to the Foundation

Gail R. Wilensky, PhD
Special Advisor to the President

Terrance Keenan
Special Program Consultant

Mary L. Quinn
Administrative/Program Assistant

Edward H. Robbins
Proposal Manager

Richard J. Toth
Assistant Proposal Manager

Julia E. Painter
Program Assistant

Katherine J. Parker
Program Assistant

Linda J. Gabryszewski
Data Coordinator

Peggy L. Lucas
Secretary

.....

Frank Karel
Vice President for Communications

Marc S. Kaplan
Senior Communications Officer

Victoria D. Weisfeld
Senior Communications Officer

Vivian E. Fransen
Communications Officer

Joan K. Hollendonner
Associate Communications Officer

Eleanor T. Mattison
Communications Assistant

Lisa Olszewski
Communications Assistant

Linda B. Potts
Communications Assistant

Fran Sucharow
Communications Assistant

Jeanne M. Weber
Production Coordinator

Susan J. Weidner
Communications Assistant

Mary Jane Washawanny
Secretary

Amy L. Strzelec
Communications Aide

.....

Philip J. Gallagher
Librarian

Xiaolan Su
Assistant Librarian

.....

Andrew R. Greene
Vice President and Treasurer

Tina Murphy
Administrative Assistant

.....

Peter Goodwin
Vice President for Financial Monitoring

Floyd K. Morris, Jr.
Senior Financial Officer

Rosemary McGreevy
Financial Officer

Gail I. Benish
Financial Analyst

Milton R. Ellis, Jr.
Financial Analyst

Spencer L. Lester
Financial Analyst

Lynne Long-Higham
Financial Analyst

Janice A. Opalski
Financial Analyst

.....

Sheila Weeks-Brown
Financial Analyst

Marianne E. Brandmaier
Administrative Assistant

Lorraine M. Conway
Secretary

Stacey A. Malmos
Secretary

April M. Richards
Secretary

.....

G. Russell Henshaw, Jr.
Controller

Roy F. Chiorello
Assistant Controller

Carolyn D. Scholer
Portfolio/Accounting Assistant

Ellen E. Wawczak
Accounting Assistant

.....

Christina M. Reiger
Director of Information Systems

Charles H. Kruger
Manager of Information Systems

Stanley E. Woods
Systems Administrator

Debra A. Heyn
Systems Analyst

Renee M. Dorrian
Applications Programmer

Kristine N. Matuskiewicz
Help Desk Specialist

.....

Helen G. Gerry
Supervisor of Office Systems

Francine A. Belardo
Technical Support Services Operator

Awilda Marquez
Technical Support Services Operator

JoAnn E. O'Dwyer
Technical Support Services Operator

.....

William C. Imhof
Chief Investment Officer

Allan R. Keith
Senior Fixed Income Portfolio Manager

Gregory S. Huning
Senior Equity Portfolio Manager

Karen J. Candelori
Fixed Income Portfolio Manager

Denise M. Inverso
Fixed Income Portfolio Manager

Lorraine G. Keene
Investment Analyst

Jill Ubry
Supervisor of Operations

Heather C. Kilgariff
Operations Assistant

Corinne T. Kelley
Secretary

.....

Linda T. Curran
Director of Personnel and Administrative Services

M. Patricia Jones
Personnel Assistant

Nancy A. Paulick
Benefits Specialist

Carol A. Owle
Clerical Assistant

.....

Anthony D. Freda
Office Services Manager

Heidi N. Tucci
Office Services Coordinator

Kathryn W. Flatley
Receptionist/Operator

M. M. Thornes
Receptionist/Operator

Amy K. Brand
Mail Services Coordinator

.....

Sandra A. Georgeanni
Records Supervisor

Vicky J. Coveleski
Records Assistant

.....

Barbara J. Tretola
Manager, Travel/Conference Services

James Rohmann
Chauffeur

Bernadine Rein
Travel Assistant

NATIONAL PROGRAM OFFICES AND DIRECTORS

The Robert Wood Johnson Foundation funds a number of multiyear, multisite national programs whose grantees are distributed throughout the country. Most of these programs are managed by institutions outside the Foundation.

Below is a listing of all current national programs, including the names and addresses of the directors or co-directors.

PROGRAM TO ADDRESS SOCIOCULTURAL BARRIERS TO HEALTH CARE IN HISPANIC COMMUNITIES

Concepcion Orozco
Director

Program to Address Sociocultural Barriers to Health Care in Hispanic Communities

National Coalition of Hispanic Health and Human Services Organizations (COSSMHO)

1501 16th Street, NW
Washington, DC 20036-1401

ALL KIDS COUNT: ESTABLISHING IMMUNIZATION MONITORING AND FOLLOW-UP SYSTEMS

William H. Foege, MD
Executive Director
The Task Force for Child Survival and Development
The Carter Center
One Copenhill
Atlanta, GA 30307

BUILDING HEALTH SYSTEMS FOR PEOPLE WITH CHRONIC ILLNESSES

F. Marc LaForce, MD
Physician-in-Chief
The Genesee Hospital
224 Alexander Street
Rochester, NY 14607

PROGRAM ON THE CARE OF CRITICALLY ILL HOSPITALIZED ADULTS

William A. Knaus, MD
Director
ICU Research
George Washington University Medical Center
2300 K Street, NW
Washington, DC 20037

CHANGES IN HEALTH CARE FINANCING AND ORGANIZATION

Anne K. Gauthier
Associate Director
The Alpha Center
Suite 1100
1350 Connecticut Avenue, NW
Washington, DC 20036

CHRONIC CARE INITIATIVES IN HMOs

Peter D. Fox, PhD
Director
Chronic Care Initiatives in HMOs
Group Health Foundation
Suite 600
1129 20th Street, NW
Washington, DC 20036-3403

COMING HOME

David Nolan
Director
Coming Home
The National Cooperative Bank
Development Corporation
44 Montgomery Street, Suite 610
San Francisco, CA 94104

COMMUNITY HEALTH LEADERSHIP PROGRAM

Catherine M. Dunham, EdD
Director
Community Health Leadership Program
30 Winter Street, Suite 1005
Boston, MA 02108

DEVELOPING LOCAL INFANT MORTALITY REVIEW COMMITTEES

Louise M. Wulff, ScD
Director
National Fetal-Infant Mortality Review Program
American College of Obstetricians and Gynecologists
409 12th Street, SW
Washington, DC 20024-2188

PROGRAM FOR FACULTY FELLOWSHIPS IN HEALTH CARE FINANCE

Susan D. Horn, PhD
Senior Scientist
Institute for Health Care Delivery Research
Intermountain Health Care
36 South State Street, Suite 2200
Salt Lake City, UT 84111

FAITH IN ACTION: REPLICATION OF THE INTERFAITH VOLUNTEER CAREGIVERS PROGRAM

Kenneth G. Johnson, MD
Director
Health Services Research Center
Kingston Hospital
368 Broadway, Suite 105
PO Box 2290
Kingston, NY 12401-0227

FIGHTING BACK: COMMUNITY INITIATIVES TO REDUCE DEMAND FOR ILLEGAL DRUGS AND ALCOHOL

Anderson Spickard, Jr., MD
Professor of Medicine
Vanderbilt Clinic
Room 2553
23rd Avenue and Pierce Street
Nashville, TN 37232-5305

FREE TO GROW: HEAD START PARTNERSHIPS TO PROMOTE SUBSTANCE-FREE COMMUNITIES

Judith E. Jones
Director and Associate Clinical Professor of Public Health
National Center for Children in Poverty
Columbia University
154 Haven Avenue, Third Floor
New York, NY 10032

GENERALIST PHYSICIAN FACULTY SCHOLARS PROGRAM

John M. Eisenberg, MD
Professor and Chairman
Department of Medicine
Georgetown University Medical Center
3800 Reservoir Road, NW, PHC-5
Washington, DC 20007

GENERALIST PHYSICIAN INITIATIVE

Jack M. Colwill, MD
Professor and Chairman
Department of Family and Community
Medicine
University of Missouri-Columbia
M228 Medical Science Building
Columbia, MO 65212

HEALTH POLICY FELLOWSHIPS PROGRAM

Marion Ein Lewin
Director
Robert Wood Johnson Health Policy
Fellowships Program
Institute of Medicine
National Academy of Sciences
2101 Constitution Avenue, NW
Washington, DC 20418

HEALTH OF THE PUBLIC: AN ACADEMIC CHALLENGE

Thomas S. Inui, MD
Professor and Chairman
Department of Ambulatory Care and
Prevention
Harvard Medical School and Harvard
Community Health Plan
126 Brookline Avenue, Suite 200
Boston, MA 02215
Jonathan Showstack
Associate Professor of Medicine and
Health Policy
University of California, San Francisco
735 Parnassus Avenue
San Francisco, CA 94143-0994

HEALTHY NATIONS: REDUCING SUBSTANCE ABUSE AMONG NATIVE AMERICANS

Candace M. Fleming, PhD
Minority Alcohol Research Scholar
National Center for American Indian
and Alaska Native Mental Health
Research
University of Colorado Health
Sciences Center
University North Pavillion
4455 East 12th Avenue
Denver, CO 80220
Spero M. Manson, PhD
Director
National Center for American Indian
and Alaska Native Mental Health
Research
University of Colorado Health
Sciences Center
University North Pavillion
4455 East 12th Avenue
Denver, CO 80220

HOMELESS FAMILIES PROGRAM

James J. O'Connell III, MD
Director
Homeless Families Program
Massachusetts General Hospital
67 1/2 Chestnut Street
Boston, MA 02108

PROGRAM TO IMPROVE MEDICAL MALPRACTICE COMPENSATION SYSTEMS

Robert A. Berenson, MD
Assistant Clinical Professor of Family
and Community Medicine
Department of Medicine
Georgetown University Medical Center
Suite 525
2233 Wisconsin Avenue, NW
Washington, DC 20007

IMPROVING CHILD HEALTH SERVICES: REMOVING CATEGORICAL BARRIERS TO CARE

Sandra L. Meicher, PhD
Coordinator
Division of Health Management and
Policy
School of Public Health
University of Minnesota
Box 197
Mayo Memorial Building
420 Delaware Street, SE
Minneapolis, MN 55455

IMPROVING THE QUALITY OF HOSPITAL CARE

Andrea I. Kabcenell
Senior Research Associate
College of Human Ecology
Cornell University
Martha van Renssalaer Hall 250
Ithaca, NY 14853-4401

IMPROVING SERVICE SYSTEMS FOR PEOPLE WITH DISABILITIES

Lex Frieden
Senior Vice President
The Institute for Rehabilitation and
Research
Texas Medical Center
1333 Moursund Avenue
Houston, TX 77030

INFANT HEALTH AND DEVELOPMENT PROGRAM REPLICATION

Godfrey P. Oakley, Jr., MD
Director
Division of Birth Defects and
Developmental Disabilities
Centers for Disease Control and
Prevention
1600 Clifton Road, NE, F-34
Atlanta, GA 30333

INFORMATION FOR STATE HEALTH POLICY

Ira Kaufman
Clinical Associate Professor
Department of Environmental and
Community Medicine
University of Medicine and Dentistry
of New Jersey
675 Hoes Lane, Room N118
Piscataway, NJ 08854-5635

INVESTIGATOR AWARDS IN HEALTH POLICY RESEARCH

(Director to be appointed)
Investigator Awards in Health Policy
Research
Foundation for Health Services
Research
Suite 1100
1350 Connecticut Avenue, NW
Washington, DC 20036

LADDERS IN NURSING CAREERS PROGRAM

Margaret T. McNally
Vice President for Health Professions
Greater New York Hospital Foundation
555 West 57th Street
New York, NY 10019

LOCAL INITIATIVE FUNDING PARTNERS PROGRAM

Ruth S. Hanft, PhD
Professor
Department of Health Services
Management and Policy and
Department of Health Sciences
George Washington University
600 21st Street, NW
Washington, DC 20052

MAKING THE GRADE: STATE AND LOCAL PARTNERSHIPS TO ESTABLISH SCHOOL-BASED HEALTH CENTERS

Julia Graham Lear, PhD
Director
Making the Grade
George Washington University
Suite 505
1350 Connecticut Avenue, NW
Washington, DC 20036

MENTAL HEALTH SERVICES PROGRAM FOR YOUTH

Mary Jane England, MD
President
Washington Business Group on Health
Suite 800
777 North Capitol Street, NE
Washington, DC 20002

MINORITY MEDICAL EDUCATION PROGRAM

Herbert W. Nickens, MD
Vice President
Minority Health, Education and
Prevention
Association of American Medical
Colleges
2450 N Street, NW
Washington, DC 20037-1126

MINORITY MEDICAL FACULTY DEVELOPMENT PROGRAM

James R. Gavin III, MD, PhD
Director
Minority Medical Faculty Development
Program
4733 Bethesda Avenue, Suite 350
Bethesda, MD 20814

NEW JERSEY HEALTH SERVICES DEVELOPMENT PROGRAM

Linda J. Rosen, PhD
Acting Director
New Jersey Health Services
Development Program
Cathedral Healthcare System, Inc.
221 Chestnut Street
Newark, NJ 07105

NO PLACE LIKE HOME: PROVIDING SUPPORTIVE SERVICES IN SENIOR HOUSING

James J. Callahan, Jr., PhD
Director
Policy Center on Aging
Florence Heller Graduate School
Brandeis University
PO Box 9110
Waltham, MA 02254-9110

OLD DISEASE, NEW CHALLENGE: TUBERCULOSIS IN THE 1990s

Philip C. Hopewell, MD
Director
Old Disease, New Challenge:
Tuberculosis in the 1990s
University of California, San Francisco
PO Box 1348
San Francisco, CA 94143-1348

ON LOK APPROACH TO CARE FOR THE ELDERLY

John K. Shen, PhD
Director
Program of All-inclusive Care for the
Elderly (PACE)
On Lok, Inc.
1455 Bush Street
San Francisco, CA 94109-5520

OPENING DOORS: A PROGRAM TO REDUCE SOCIOCULTURAL BARRIERS TO HEALTH CARE

Thomas W. Chapman
CEO
George Washington University
Hospital
901 23rd Street, NW
Washington, DC 20037

PARTNERS IN CAREGIVING: THE DEMENTIA SERVICES PROGRAM

Burton V. Reifler, MD
Chairman
Department of Psychiatry and
Behavioral Medicine
Bowman Gray School of Medicine
Medical Center Boulevard
Winston-Salem, NC 27157-1087

PRACTICE SIGHTS: STATE PRIMARY CARE DEVELOPMENT STRATEGIES

James D. Bernstein
President
North Carolina Foundation for
Alternative Health Programs, Inc.
PO Box 10245
Raleigh, NC 27605-0245

PROGRAM TO PROMOTE LONG-TERM CARE INSURANCE FOR THE ELDERLY

Mark R. Meiners, PhD
Associate Director
Center on Aging
University of Maryland
1240 HHP Building
College Park, MD 20742-2611

REACH OUT: PHYSICIANS' INITIATIVE TO EXPAND CARE TO UNDERSERVED AMERICANS

H. Denman Scott, MD
Senior Vice President of Health and
Public Policy
American College of Physicians
6th and Race Streets
Philadelphia, PA 19106-1572

REPLICATION OF THE FOUNDATION'S PROGRAMS ON MENTAL ILLNESS

Martin D. Cohen
Executive Director
The Technical Assistance Collaborative,
Inc.
3 Center Plaza, Suite 840
Government Center
Boston, MA 02108

SCHOLARS IN HEALTH POLICY RESEARCH PROGRAM

Alan B. Cohen, ScD
Research Professor
Florence Heller Graduate School
Brandeis University
PO Box 9110
Waltham, MA 02254-9110

SERVICE CREDIT BANKING PROGRAM
REPLICATION

Mark R. Meiners, PhD
Associate Director
Center on Aging
University of Maryland
1240 HHP Building
College Park, MD 20742-2611

SMOKE-FREE FAMILIES: INNOVATIONS TO
STOP SMOKING DURING AND BEYOND
PREGNANCY

Robert L. Goldenberg, MD
Professor of Obstetrics and Gynecology
Director
Center for Obstetric Research
University of Alabama at Birmingham
620 South 20th Street, Room 452
Birmingham, AL 35233-7333

SMOKELESS STATES: STATEWIDE TOBACCO
PREVENTION AND CONTROL INITIATIVES

Thomas P. Houston, MD
Director
Department of Preventive Medicine
and Public Health
American Medical Association
515 North State Street
Chicago, IL 60610

STATE INITIATIVES IN HEALTH CARE
REFORM

W. David Helms, PhD
President
The Alpha Center
Suite 1100
1350 Connecticut Avenue, NW
Washington, DC 20036

STATE INITIATIVES IN LONG-TERM CARE

Mark R. Meiners, PhD
Associate Director
Center on Aging
University of Maryland
1240 HHP Building
College Park, MD 20742-2611

STATEWIDE SYSTEM OF CARE FOR
CHRONICALLY ILL ELDERLY IN
MASSACHUSETTS

James Hooley
Director
Statewide System of Care for
Chronically Ill Elderly in
Massachusetts
East Boston Neighborhood Health
Center
10 Gove Street
East Boston, MA 02128

PROGRAM TO STRENGTHEN PRIMARY CARE
HEALTH CENTERS

Elizabeth A. Vieth
Acting Director
Program to Strengthen Primary Care
Health Centers
National Association of Community
Health Centers
Suite 122
1330 New Hampshire Avenue, NW
Washington, DC 20036-6350

STRENGTHENING HOSPITAL NURSING:
A PROGRAM TO IMPROVE PATIENT CARE

Barbara A. Donaho
President and CEO
St. Anthony's Hospital
PO Box 12588
St. Petersburg, FL 33733

SUPPORTIVE SERVICES PROGRAM IN SENIOR
HOUSING

James J. Callahan, Jr., PhD
Director
Policy Center on Aging
Florence Heller Graduate School
Brandeis University
PO Box 9110
Waltham, MA 02254-9110

TOBACCO POLICY RESEARCH AND
EVALUATION PROGRAM

Robert L. Rabin, JD, PhD
A. Calder Mackay Professor of Law
Stanford Law School
Crown Quadrangle
Stanford, CA 94305

*The programs listed below are
administered internally by Foundation
staff (responsible officer in parentheses).*

CLINICAL SCHOLARS PROGRAM
(Annie Lea Shuster)

GENERALIST PROVIDER RESEARCH
INITIATIVE
(Beth A. Stevens, PhD)

IMPROVING THE QUALITY OF LONG-TERM
AND AMBULATORY CARE
(Beth A. Stevens, PhD)

NURSING SERVICES MANPOWER
DEVELOPMENT PROGRAM
(Pauline M. Seitz)

PREPARING PHYSICIANS FOR THE FUTURE:
A PROGRAM IN MEDICAL EDUCATION
(Annie Lea Shuster)

GRANT APPLICATION GUIDELINES

The Robert Wood Johnson Foundation — a private, independent philanthropy not connected with any corporation — funds projects of several kinds:

- (1) projects that reflect an applicant's own interests. For such projects there are no formal application forms or deadlines because grants are made throughout the year.
- (2) projects, also investigator-initiated, that are developed in response to a Foundation Call for Proposals. The call describes the program area for which proposals are requested and specifies any necessary application steps or deadlines.
- (3) projects that are part of Foundation national programs. For these, the Foundation sets the program's goals, common elements that all projects should contain, eligibility criteria, timetables and application procedures.

Calls for Proposals are distributed widely to eligible organizations.

Institutions wishing to apply for funds *not* in response to a Foundation announcement are advised to submit a preliminary letter of inquiry, rather than a fully developed proposal. This minimizes the demand on the applicant's time, yet helps the Foundation staff determine whether a proposed project falls within the Foundation's current goals and interests. Such a letter

should be no more than four pages long, should be written on the applicant institution's letterhead and should contain the following information about the proposed project:

- a brief description of the problem to be addressed
- a statement of the project's principal objectives
- a description of the proposed intervention (for research projects, the methodology)
- the expected outcome
- the qualifications of the institution and the project's principal personnel
- a timetable for the grant, an outline or estimate of the project's budget, other planned sources of support and the amount requested from the Foundation
- any plans for evaluation of the project's results
- any plans for communicating with the general public or targeted audiences about the project or for disseminating its results
- a plan for sustaining the project after grant funds expire, and
- the name of the primary contact person for follow-up.

Budgets and curricula vitae of key staff may be appended to the letter, as may other background information about the applicant institution, if desired.

Based on a review of these points, presented in the letter of inquiry, Foundation staff may request a full proposal. If so, instructions will be

provided regarding what information to include and how to present it.

Limitations

Preference will be given to applicants that are tax-exempt under Section 501(c)(3) of the Internal Revenue Code and not private foundations as defined under Section 509(a). Public agencies also are given preference. Policy guidelines established by the Foundation's Board of Trustees usually preclude support for:

- ongoing general operating expenses or existing deficits
- endowment or capital costs, including construction, renovation or equipment
- basic biomedical research
- conferences, symposia, publications or media projects unless they are integrally related to the Foundation's program objectives or an outgrowth of one of its grant programs
- research on unapproved drug therapies or devices
- international programs and institutions, and
- direct support to individuals.

Preliminary letters of inquiry should be addressed to:

Edward H. Robbins
Proposal Manager
The Robert Wood Johnson
Foundation
Route 1 and College Road
East
Post Office Box 2316
Princeton, New Jersey
08543-2316
609/452-8701.

M A T E R I A L S A V A I L A B L E

The Foundation publishes *ADVANCES*, a quarterly newsletter reporting on the people, programs and priorities of the Foundation. To receive *ADVANCES*, send your name and address to: Editor, *ADVANCES*, at the address below.

The Foundation also makes available publications and/or films that describe the progress and outcomes of some of the programs assisted by the Foundation or explore areas of interest to the Foundation. Titles issued in 1993:

Access to Health Care: Key Indicators for Policy (chartbook)

An Analysis of Resources to Aid Drug-Exposed Infants and Their Families (printed report from the Foundation's *Health Care Perspectives* series)

Conversations on Health (video compilation of statements and comments from four public forums on health care in the United States)

Free to Grow: Head Start Partnerships to Promote Substance-Free Communities (descriptive booklet)

The Homeless Families Program (descriptive booklet)

Rural Health Challenges in the 1990s: Strategies from the Hospital-Based Rural Health Care Program (printed report with audio tapes)

Substance Abuse: The Nation's Number One Health Problem — Key Indicators for Policy (chartbook).

Address requests to:

Communications Office
The Robert Wood Johnson Foundation
Post Office Box 2316
Princeton, New Jersey 08543-2316.

The Foundation does not charge for these materials.

ABOUT THE PHOTOGRAPHS

The photographs in this annual report depict only a few of the 100,000 volunteers and the 200,000 individuals they have helped through the Interfaith Volunteer Caregivers (IVC) program that began in 1983 with the Foundation's support. These networks of trained volunteers help people with chronic health conditions remain in their homes by providing a broad range of assistance, such as friendly visiting, transportation, household chore services, referral to other community services, and respite for family caregivers. So far, more than 300 communities have established IVC projects through local interfaith coalitions. Overall, these coalitions involve all major faiths, including Protestant, Catholic, Jewish, Buddhist, Hindu, Islamic and Native American faiths.

This success story has prompted the Foundation to launch Faith in Action, a new program that seeks to expand the national network of Interfaith Volunteer Caregiver coalitions to 900 additional communities. For more information about these programs, write: Faith in Action, Post Office Box 2290, Kingston, New York 12401-0227.



COVER AND PAGE 27 — Alberta (left) is an older woman who is diabetic and partially blind. She is trying to stay independent in her own home, but has no means of transportation. Kathy, an interfaith caregiving volunteer, is Alberta's lifeline. Kathy and her son, Jacob, take Alberta for the dialysis treatment she needs three times a week.

Alberta minces no words about the importance of Kathy's help: "If it wasn't for this, I wouldn't be alive. I mean this from my heart. Kathy has really been wonderful to me."

Kathy has her own reasons for giving of herself: "I love Alberta. She's a good person who is warm and friendly, and she's gone through a lot. I understand, because I've been down, too. People helped me, and I just want to give something back."

PAGE 1 — Rossa (left) values her independence, using her wheelchair or walker — on good days — to get things done. Sometimes she needs a hand with grocery shopping and house cleaning. Jean, an interfaith caregiving volunteer, helps Rossa with these chores and keeps her informed about community happenings.

Jean says, "Just to know that Rossa is happy and grateful for my help gives me a lot of satisfaction."



PAGE 7 — April (on phone) is a 15-year-old mother who looks after her father, who has a serious disability. She's really stuck because she's too young to drive, and there isn't public transportation for her to take her child to the doctor. Thanks to Debbie, an interfaith caregiving volunteer, April and her baby get the medical attention they need while April's father also receives appropriate care.

April says, "Before she showed up to help me, I figured if your family and friends wouldn't help you, you were on your own. Debbie's help has made all the difference in the world. It's good to have a friend."

Debbie agrees: "I believe that love is an action word."

PAGE 8 — Kitty (center), who claims to have had life's advantages, finds that graduating at the top of her college class isn't enough for a full life. Nor is the doctoral program in chemistry in which she excels. She needs contact with people away from her studies, people who need her. An interfaith caregiving project has linked her with sisters Freda (left) and Florence (right), who can really use a gentle word and a caring touch.

Florence, who is partially blind, adores Kitty, who stops by to help with the mail and keep an eye on things. They chat and laugh and exchange holiday presents. Florence, with a chuckle and a hug for her surrogate granddaughter, says, "It's so good that Kitty comes to us and gives me someone to speak to instead of always hibernating."

"Helping Florence and Freda gives me a sense of purpose," Kitty says. "It gets me out of the lab at the university. And they're fun."



PAGE 11 — Channi (right), a 45-year-old man with cerebral palsy, and Dr. Singh, a retired physician and interfaith caregiving volunteer, have developed a strong bond over the years. Focusing on their abilities rather than their disabilities, they use hand signs and eye contact to communicate. Dr. Singh's visits give Channi's parents a chance to go out together.

"I'm now about 80 years old," says Dr. Singh, "and I feel I can still do some good in this world. The greatest reward is that people know they are not alone — that someone does care."

PAGE 12 — Ruth (seated) knows the pain of being alone and homeless, as well as the frustration of having no transportation to medical appointments. Now she lives in a shelter and helps direct its program. Interfaith caregiving volunteers Emanuele (left) and Fern (center) drive Ruth to the health clinic regularly.

Emanuele encourages others to volunteer: "I think if each person does a little bit, a lot will be accomplished. You know the old adage that 'it's better to give than to receive'? It's true. The caregivers program gives special meaning to the lives of people like me."



PAGE 15 — Helen (in the doorway) has lived alone since her husband died, and as her medical problems have increased, she's been unable to go out on her own or handle chores around the house. Her local interfaith caregiving project has made a big difference. She signed up as a "mystery grandparent" who writes to young children — as a pen pal and surrogate grandmother — to encourage them.

Just as Helen helps others, Rhom, Sal and Joe (left to right) come by to help her with yard work, year 'round. Helen says, "I so appreciate that these young men are willing to help me. It goes beyond shoveling the snow. It's the caring that's important."

Her helpers agree. Sal says, "Sometimes we're the only ones who come into an older person's home. So we try to let them know there are people who have their best interests in mind. And, hey, sometimes they give us cookies."



PAGE 16 — In Colombia, where she lived most of her life, Esther (left) spent much of her time as a physician teaching people about good health and a proper diet. Esther now lives in the United States and uses her teaching skills to help others in the Hispanic community. As an interfaith volunteer, she gives practical advice about nutrition to people with chronic health conditions and family members who look after them.

"The people I care for have many problems, especially in knowing the right things about health and appropriate meals," she says with conviction. "I try to help them live their lives in the best way."

PAGE 19 — Debbie (right) was someone people always turned to when they needed help. Her own serious illness changed that. Juggling her own care and the needs of her critically ill father was too difficult. So the local interfaith caregiving project arranged for a volunteer to telephone her each day. "I started by calling Debbie each morning just to see if she was OK," says Betty. "These brief phone calls have brought us to a point where both of us are richer."



Debbie appreciates her trusted friend: "When you are sick, you always feel like you are imposing, but Betty never makes me feel like that."



PAGE 20 — Eleanora volunteers to help a mother of eight wonderful children, two of whom are living with HIV/AIDS. There's always a virus or an infected ear to care for, and many visits to the doctor must be made. Thanks to Eleanora's assistance, all of the children get to the doctor as needed, and their mother has some time simply to catch her breath.

Eleanora doesn't think what she does is all that special: "I volunteer simply because I love children — all kids, not just my own family. They're all my family."

PAGE 30 — Mattie, who receives volunteer transportation assistance, has become a "mystery grandparent" to a classroom of elementary school children through her local interfaith caregiving project. She writes to them and sends them cookies, and she's made caps for all the children. On birthdays, girls get dolls with clothes Mattie knits, and boys get toys.

"I want to show these kids the right way to grow up and be somebody," Mattie says. "I'm going to keep in touch with this class all through school, because if I show them that one person really cares, maybe some of them will find a better road to travel."



Photography credits:

All photographs by
Bill Denison, except as noted

Pages 2, 4, 6: Randall Hagadorn

Page 5: Jackson Hill

Editorial assistance:

James A. Keyser

Roy T. Lloyd

Production coordination:

Paul D. Frame

Design:

Manger & Associates, Inc.

Printing:

Schmitz Press

THE
ROBERT WOOD
JOHNSON
FOUNDATION

Route 1 and College Road East
Post Office Box 2316
Princeton, New Jersey 08543-2316



This paper is recycled and can be recycled again.