

THE DYSON FOUNDATION

ANNUAL REPORT 2001



DF

THE DYSON FOUNDATION

ANNUAL REPORT 2001

The Dyson Foundation was established in 1957 by Charles H. and Margaret M. Dyson as a means of formalizing and furthering their family's charitable giving. In its forty-five years of grant making, the Dyson Foundation has grown and evolved and has awarded over \$90,000,000 to worthy organizations, innovative programs, and compelling causes. In 2001, the Dyson Foundation made 144 grants to 125 different organizations, totaling \$12,503,211.

During their lifetimes, Charles and Margaret Dyson were generous, thoughtful, and gracious philanthropists who believed in the importance of giving back to a society that had given them so much. The Dyson Foundation's assets are derived from bequests from the estates of Margaret Dyson, who passed away in 1990, and her husband, Charles, who died in 1997. Their values and convictions continue to guide the Foundation's work in what we believe to be the best tradition of American family philanthropy.

President's Letter

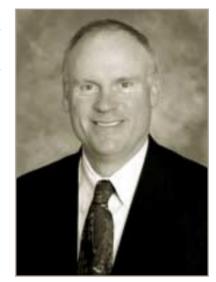
Over one hundred and seventy years ago during his historic travels through this country, Alexis de Tocqueville was struck by the penchant of our forebears to form associations of mutual benefit. It is this attribute of what many nowadays call "civic engagement" that was such an extraordinary feature of the days following September 11th. An astonishing three quarters of Americans said that they donated money or volunteered in the wake of the terrorist attacks. This spontaneous outpouring characterized American philanthropy at its best and de Tocqueville would have probably said, "I told you so."

It is near impossible to reflect on the year 2001 without centering any and every such discussion on the impact of the terrorist attacks. At the Dyson Foundation we have pondered our own reactions. Should we redirect funds towards disaster relief? Should we decrease our grant awards to correspond with the lower value of our investments? Should we postpone new major initiatives to see what the future holds?

Our answers and decisions, we hope, have reflected our belief and commitment to the nonprofit sector and the important work that it does to sustain our communities and our democratic values. We decided not to reduce our total grantmaking in 2001 or beyond, even if this might mean increasing our annual grants beyond amount we are legally required to award. In addition to approving \$3,968,600 in new grants, the Dyson Foundation awarded a record total of 144 grants totaling \$12,503,211. We continued our multi-year commitment to fund an additional round of sites as part of the *Anne E. Dyson Community Pediatrics Training Initiative*. We recommitted to participating with other area funders in another initiative to reduce the technology gaps in our communities.

One of the most important steps that the Foundation took in 2001 was the approval at yearend of a new Management Assistance Program for the Mid-Hudson Valley of New York State to help nonprofits build their skills and organizational capacities. We are providing mini-grants for consulting and training, have funded a management support organization to open an office in the region, and are offering cash flow loans to our grantees, along with technology planning services. We believe that this may be one of the most important programs we have ever launched.

The nonprofit organization, as de Tocqueville observed so many years ago, represents one of the most fundamentally "democratic" foundations of our society. Even after the highly publicized problems associated with some of the handling of the contributions to disaster relief after 9/11, the public's confidence and trust in the nonprofit sector has remained high. In October of last year, over 88% of respondents to a national survey reported that they were confident in their trust of charitable organizations. There are almost no other segments of our society that merit levels of confidence this high. And yet, these are not good times for nonprofit organizations. Most are suffering from reduced funding and increased demand — and that was before September 11th and subsequent state budget cuts.



So we continue to stand by the many groups providing key services in our community, and will continue

Munt & Jum

to do so. No one has addressed this need better than Bill Moyers, speaking at a meeting of grantmakers not long after the attacks, when he said: "We're survivors now, you and I. We will be defined not by the lives we led until the 11th of September, but by the lives we will lead from now on. So go home — make the best grants you've ever made. And the biggest — we have too little time to pinch pennies. Back the committed and courageous people in the field — and back them with media to spread the message. Stick your neck out. Let your work be charged with passion, and your life with a sense of mission. For when all is said and done, the most important grant you'll ever make is the gift of yourself, to the work at hand." And for us, at the Dyson Foundation, this says it all.



No place like home.

Residents of the Mid-Hudson Valley like where they live. 88% positively rate their communities.



Grant Guidelines

CURRENT FUNDING AREAS and GRANTS PROGRAMS

Funding Program for the Mid-Hudson Valley of New York State

Dutchess County — Through the grants it makes in the Mid-Hudson Valley, the Dyson Foundation seeks to improve and enhance the quality of life in the region by supporting social services, health, community and economic development, and arts and cultural programs. The Foundation will consider proposals in any of these areas from applicants in Dutchess County, New York. Of special interest are projects focusing on economically disadvantaged children and youth, improving the community's health care services, strengthening the infrastructure of the nonprofit sector, and increasing philanthropy and charitable giving in the region.

Other Mid-Hudson Valley Counties — Applications from other areas considered to be part of the Mid-Hudson Valley (Putnam, Ulster, Greene, Columbia, and Orange counties) will be considered if the proposed program:

- has a substantial impact on Dutchess County (e.g., serving a large number of Dutchess County residents);
- or will have a regional impact on problems or issues that are significant in their scope;
- or represents true regional collaborations between multiple organizations or agencies;
- or provides important and needed services to economically disadvantaged children, youth and families;
- or improves access to health care for economically disadvantaged communities.

Management Assistance Program — In 2002 the Dyson Foundation launched a new regional Management Assistance Program (MAP) to increase the organizational health of nonprofits in the Mid-Hudson Valley by providing capacity-building management assistance services. This program helps local organizations improve their internal operations, administration, and management so they are healthier for the long term. For detailed information about the MAP initiative, see page 15, or visit www.dysonfoundation.org/map.

Anne E. Dyson Community Pediatrics Training Initiative

In 2000, the Foundation's then President, Anne E. Dyson, launched a national initiative aimed at developing pediatric professionals with greater skills and interest in community-based medicine, advocacy, and the capacity to improve the health of children in their communities. Two rounds of funding have been awarded through a competitive selection process. New proposals for funding will not be considered.

Please see page 13 for more information about this initiative, or contact the *Anne E. Dyson Community Pediatrics Training Initiative* program office managed by the Department of General Pediatrics at Children's Hospital Boston:

Program Manager, National Program Office Anne E. Dyson Community Pediatrics Training Initiative Children's Hospital – JB3 300 Longwood Avenue Boston, MA 02115

Telephone: (617) 355-2819 Fax: (617) 355-7940

Email: info@dysoninitiative.org Website: www.dysoninitiative.org

Legacy and Family Interest Grants

Grant commitments made in this category are tied to the historic or current interests of members of the Dyson family. Included in this funding area are grants made through the Dyson Family Giving Program.

Recommendations for funding in this category are, in all cases, initiated by Family Directors of the Foundation's board or the staff of the Foundation. **Uninvited proposals or inquiries will not be considered.**

Directors' Discretionary Grants

Members of the Board of Directors and Officers have the opportunity to direct a certain amount of grant funding to eligible nonprofit organizations of their choosing. While these grants do not reflect the Foundation's overall funding priorities, they do reflect the diversity and creativity of the Foundation's Directors.

Recommendations for funding in this category of funding are, in all cases, initiated by Board Directors and Officers. **Uninvited proposals or inquiries will not be considered.**

IMPORTANT GRANTMAKING CONSIDERATIONS

Types of grants

The Foundation prefers to fund special projects rather than general operating expenses (general overhead or indirect costs may *not* be included in submitted budgets). Grants to capital campaigns or endowments are considered on a case-by-case basis and are generally restricted to institutions that the Foundation has previously supported. The Foundation will consider grants for management or technical assistance, demonstration or pilot projects, start-up costs, evaluation, advocacy, limited equipment purchases, and small-scale publications or conferences. The Foundation will consider multi-year awards, as well as challenge grants.

Areas not supported

The Foundation will not make grants:

- to individuals (this includes scholarships, which are only provided through grants to academic institutions);
- for fundraising events, tickets, or benefit advertising;
- in response to direct mail campaigns;
- for international projects;
- to service clubs and similar organizations;
- for debt reduction.

Eligible organizations

The Foundation supports organizations that are tax-exempt under Section 501(c)(3) of the Internal Revenue Service Code, and which are not classified as foundations under Section 509(a) of the Code. Occasionally the Foundation will consider grants to eligible organizations acting as fiscal sponsors to other non-qualifying organizations.

The Foundation will generally not consider proposals from governmental units (such as public schools and libraries).

Special Note Regarding Faith-Based Organizations or Programs: The Dyson Foundation will not generally fund faith-based organizations or institutions for activities and/or programs of religious teaching or training such as religious services or bible study. However, the Foundation will consider grants for community-based service programs that meet certain criteria, including: services must be available to all regardless of their religion or belief in God and must not be rooted in a particular religious doctrine or belief system, there is a formal structure for provision of services (e.g., mission, staff, program policies and procedures), services go beyond religious charitable work to include activities that make a



real impact on the lives of people living in their communities, and the organization or institution agrees to abide by the Dyson Foundation's policy on nondiscrimination.

Policy on Nondiscrimination

The Dyson Foundation will not award grant support to organizations that, in their constitution, bylaws, or practices, discriminate against a person or group on the basis of age, race, national origin, ethnicity, gender, disability, sexual orientation, political affiliation, or religious belief. Grantees may target services to a particular population when the targeted groups require specialized programs to meet specific needs not shared by the general population.

HOW TO APPLY FOR A GRANT

The Dyson Foundation tries not to impose a rigid application procedure and makes every effort to be responsive and flexible. We ask that applicants carefully review this entire section of information before contacting the Foundation. We understand the search for funding can be frustrating and difficult, and we hope to not make the process more difficult than is necessary.

- 1. Please review the sections of this publication entitled CURRENT FUNDING AREAS and GRANTS PROGRAMS and IMPORTANT GRANTMAKING CONSIDERATIONS to determine if your organization is eligible and whether your programs meet our funding interests. Applicants should apply to the Dyson Foundation on the basis of these guidelines, not on the basis of what or whom the Foundation may have funded in the past. This Foundation's interests are ever-changing, becoming more focused, or even shifting entirely to a new area in response to an emerging need.
- Please review the Foundation's website at www.dysonfoundation.org for any updates to these guidelines or additional information for applicants. If you do not have access to the Internet, please call the Foundation to find out if these guidelines have been updated.
- Do not submit an unsolicited proposal. Rather, submit a two- to three-page letter of inquiry that includes the following elements:
 - A brief statement of the organization's purpose and goals;
 - A description of the project being proposed, the need, and its target population;
 - Information about the capability of the leadership, both staff and volunteer when applicable, who will implement the project;
 - Expected outcomes and how the project will be evaluated; and
 - The grant amount requested, the total project budget, and other funding sources being approached, including committed and projected sources of support.

Letters of inquiry **should not** be accompanied by annual reports, brochures, or additional pages of "illustrative materials." Please do not send unsolicited videotapes, curricula, reports, etc. Inquiries are judged on the basis of substance, not volume. Do not exceed three pages.

- 4. If you would like to talk with a Foundation program officer before submitting your letter of inquiry, please feel free to call. However, our experience is that we can have more informed and helpful conversations after an initial letter of inquiry has been reviewed.
- 5. All applicants will receive written responses to their letters of inquiry.
- 6. If a project appears to address key Foundation interests, the applicant will be invited to submit additional information or a fully developed proposal. Foundation staff will generally work closely with applicants on developing an acceptable proposal and related materials.

Each project proposal will be evaluated on its own merits according to the following criteria:

- Closeness of fit with the Foundation's program priorities and values;
- Significance of the need to be addressed;
- Potential to add value and achieve significant and enduring results;
- Capacity of the applicant-agency to accomplish its goals and objectives; and
- Appropriateness of the budget.

During the review, representatives of the Foundation will research the proposal through telephone inquiries, site visits, and meetings with agency staff, board, volunteers, and clients as necessary. On occasion, outside experts may be asked to help review a particular proposal. Foundation staff submit written proposal summaries and recommendations to the Board of Directors.

7. The time required to review a proposal varies according to the complexity of the proposal itself, the problem being addressed, and the number of proposals being reviewed. Generally, it can take at least six months from the time a letter of inquiry is received to the actual award of a grant, although the Foundation strives to be as expeditious as possible in its review of inquiries and proposals. Occasionally, and under very special circumstances, a "fast-track" decision can be obtained in selected emergency cases. The Directors of the Dyson Foundation meet quarterly, at which time they consider the funding recommendations of the staff. There are no pre-set deadlines for submission of materials.

To submit letters of inquiry or for additional information contact:

Diana M. Gurieva Vice President & Executive Director The Dyson Foundation 25 Halcyon Road Millbrook, NY 12545-9611

Voice: (845) 677-0644 Fax: (845) 677-0650

Email: dgurieva@dyson.org



Unequal Access.

Only 54% of Mid-Hudson Valley households with children with annual incomes of less than \$30,000 have access the Internet, compared to 88% with annual incomes of \$50,000 or more.



Room for improvement.

Most Mid-Hudson Valley residents rate the public schools in their communities positively, although only about one in ten residents rate the public schools as excellent.



Anne E. Dyson Community Pediatrics Training Initiative

The goal of the *Anne E. Dyson Community Pediatrics Training Initiative* is the establishment of pediatric residency training programs that foster a commitment to community concerns. The *Initiative* hopes to support the development of pediatric professionals with greater skills and interest in community-based medicine, advocacy, and the capacity to improve the well-being of all children in their communities.

Two competitive selection rounds were conducted, the first beginning in 1999 and the second in 2001. Over 130 proposals were received, and after a rigorous review process, a total of ten pediatric departments throughout the United States were selected to receive awards. Each department is receiving a five-year grant ranging from \$1.7 to \$2.5 million.

Round One (2000 – 2005) *Initiative* grantees:

- Children's Hospital of Philadelphia, Philadelphia, PA;
- Columbia University College of Physicians and Surgeons in collaboration with Harlem Hospital Center, New York, NY;
- Medical College of Wisconsin, Milwaukee, WI;
- University of California, San Diego School of Medicine in collaboration with the Naval Medical Center Pediatrics, San Diego, CA;
- University of Hawai'i School of Medicine, Honolulu, HI; and
- University of Rochester, Rochester, NY.

Round Two (2002 – 2007) *Initiative* grantees:

- University of California, Davis School of Medicine, Davis, CA;
- Indiana University, Indianapolis, IN;
- University of Florida Health Science Center, Jacksonville, FL; and
- University of Miami, Miami, FL.

The Anne E. Dyson Community Pediatrics Training Initiative is managed by a national program office in the Department of General Pediatrics at Children's Hospital in Boston under the leadership of Judith Palfrey, M.D. The Women's and Children's Health Policy Center at Johns Hopkins University School of Public Health, Department of Population and Family Health Sciences is conducting a cross-site evaluation to assess the short- and long-term outcomes of the Initiative, under the direction of Bernard Guyer, M.D., M.P.H.

For more information, visit the *Initiative* website at www.dysoninitiative.org.



Something to showcase.

57% of Mid-Hudson Valley residents positively rate the cultural sites available in their communities.



Mid-Hudson Valley Nonprofit Management Assistance Program

Acting on the belief that strong nonprofit management leads to more effective organizations, the Foundation launched the Mid-Hudson Valley Nonprofit Management Assistance Program (MAP program) in the spring of 2002.

The Foundation recognizes the growing need for management assistance as many nonprofits' resources are stretched while pressures for their services rise. We believe that nonprofit organizations that are well managed and fiscally sound, have engaged boards and appropriate governance, and rely on sound planning for strategic results, are more likely to produce better programs, higher performance, and have a greater impact in the communities they serve.

The four-part MAP program seeks to help local organizations improve their internal operations, administration, and management by providing the following capacity-building services:

- The availability of a management support organization, the *Council of Community* Services of New York State. This Albany-based membership organization, open to any
 nonprofit in New York State, maintains an office in Poughkeepsie to centrally serve
 the Mid-Hudson region with a wide variety of management support services.
- A Mini-Grant program to help nonprofits in the Mid-Hudson Valley (Columbia, Dutchess, Greene, Orange, Putnam, and Ulster counties) develop new skills by providing financial support to hire consultants or to attend conferences, workshops, or seminars. Competitively awarded grant amounts of up to \$10,000 can be applied to CCSNYS' fees or consultants and providers of an organization's choosing.
- A Cash Flow Loan program for current Mid-Hudson Valley Dyson Foundation grantees to allow them to continue operating without a disruption of services when funding from other sources is delayed. This program makes interest-free short-term (30-180 days) cash flow loans of up to \$50,000 per loan against fully approved government contracts and foundation or corporate grants at the cost of a small service charge.
- Technology consulting for current Mid-Hudson Valley Dyson Foundation grantees. This may include technology planning and budgeting assistance.

The application procedures for MAP funding are different from the Foundation's regular grant application process described in the How To Apply For A Grant section. For more information on each of the MAP programs, or to obtain guidelines and application forms, please visit www.dysonfoundation.org/map or call the Foundation office.



In critical condition.

28% of Mid-Hudson Valley children whose families earn less than \$30,000 a year did not have continuous health care coverage throughout the past year.



2001 Grants

MID-HUDSON VALLEY

Abbott House

Irvington-on-Hudson, New York \$15,000 www.abbotthouse.net

Capital funding to enable this child welfare organization to increase services by moving into a larger office space.

Arlington Central School District

Poughkeepsie, New York \$82,400 www.arlingtonschools.org

Two separate grants:

The second year of a three year grant totaling \$225,000 for Science on the Move Phase II, a joint project with Marist College to enhance science education in secondary schools in the Mid-Hudson Valley (\$75,000); and towards the purchase of chairs, trophy cases, banners, and other items that will enhance the gymnasium and lobby and help to promote sportsmanship among the students (\$7,400).

Bard College

Annandale-on-Hudson, New York \$10,000 www.bard.edu

The fourth year of a five-year grant totaling \$50,000 towards scholarships for high school graduates in Dutchess County.

Bardavon 1869 Operahouse

Poughkeepsie, New York \$100,000 www.bardavon.org

The fourth payment of a four-year grant totaling \$500,000 for acquisition of the Hudson Valley Philharmonic's assets after the orchestra declared bankruptcy, helping to keep classical music in the Hudson Valley.

Beacon Community Center

Beacon, New York

\$26,000

Towards support for the Youth Education & Development Program, which includes an after-school program, summer enrichment, and teen programs.

Berkshire Taconic Community Foundation

Great Barrington, Massachusetts \$30,000 www.berkshiretaconic.org

To support this regional community foundation's operations and to strengthen its work in northeastern Dutchess County.

Brookside Day Care Center, Inc.

Poughkeepsie, New York

\$17,200

Renovation assistance for upgrading new space to meet licensing requirements.

Cardinal Hayes Home for Children

Millbrook, New York \$25,000 www.cardinalhayeshome.org

Matching funds to install a permanent standby generator for this residential facility for developmentally disabled children, many of who are medically fragile and dependent on supportive medical equipment.

Center for Creative Education

Stone Ridge, New York \$20,000 www.ccedrums.org

Towards the Percussion Orchestra of Kingston (POOK), a unique performance ensemble of youth ages 12 -18.

Center for Performing Arts at Rhinebeck

Rhinebeck, New York \$45,000 www.centerforperformingarts.org

The final year of a three-year grant totaling \$125,000 for an Education Coordinator to oversee and expand their educational programming for children and youth.

Child Abuse Prevention Center

Poughkeepsie, New York \$30,000 www.child-abuse-prevention.org

The second year of a two-year grant totaling \$64,000 for the Coordination of Service program to facilitate the efforts of service providers involved with multi-problem families to reduce gaps in or duplication of services.

Children's Media Project

Poughkeepsie, New York \$36,000 www.childrensmediaproject.org

Towards the development of an after-school and summer program in Poughkeepsie for youth ages 7 to 19 to develop youth empowerment, leadership, and creativity through the media of film and music.

Cornell University

Ithaca, New York \$117,500 www.cornell.edu

Scholarship support for students from the Mid-Hudson Valley counties of Dutchess, Ulster, Orange, Sullivan, and Putnam.

Dutchess County Arts Council

Poughkeepsie, New York \$35,000 www.artsmidhudson.org

The second year of a two-year grant totaling \$90,000 for the Dutchess Arts Fund, general operating support, and an arts marketing initiative.

Dutchess County Department of Health

Poughkeepsie, New York \$4,000 www.dutchessny.gov/hp-health

Towards the Public Health Partnership Awards, to recognize the work of the public health providers who perform essential public health services and to increase public awareness of the important role these providers play in creating healthy Dutchess County communities.

Dutchess Land Conservancy

Millbrook. New York \$5.000 www.dutchessland.org

For a matching grant that will enable this organization to purchase development rights to area agricultural land.

Dutchess Outreach, Inc.

Poughkeepsie, New York \$17.500 www.dutchessoutreach.org

Towards upgrading current technology systems and providing computer training to staff.

Eastern Dutchess Rural Health Network

Poughkeepsie, New York

\$20,000

The third payment of a three year grant totaling \$60,000 for the Primary Healthcare Network program linking uninsured residents of eastern Dutchess County with health care providers.

Eleanor Roosevelt Center at Val-Kill

Hyde Park, New York \$75,000 www.ervk.org

Two separate grants:

The second year of a three-year grant totaling \$150,000 for Mid-Hudson area participants in the Girls Leadership Workshop and the Girls as Local Leaders program (\$50,000); and for support of the 2001 Eleanor Roosevelt Val-kill Medal Awards Ceremony (\$25,000).

Food Bank of the Hudson Valley

Cornwall-on-Hudson, New York \$50.000

The first payment of a two-year challenge grant towards the renovation of their facility.

Franklin & Eleanor Roosevelt Institute

Hyde Park, New York www.feri.org

\$100,000

Two separate grants:

For acquisition at auction of important paintings and artifacts that had belonged to Franklin and Eleanor Roosevelt which were dispersed after their deaths, and for the return of these items to their original place in the Roosevelt home (\$50,000); and to support a strategic planning process concurrent with major changes in executive and board leadership (\$50,000).

Friends of Hudson

Hudson. New York

\$25,000

www.friendsofhudson.org

To support community education and organizing in opposition to the construction of a cement plant on the Hudson River in Columbia County by St. Lawrence Cement.

Girl Scouts of Dutchess County, Inc.

Poughkeepsie, New York

\$30.000

The final year of a three-year grant totaling \$90,000 towards an after-school program at Warring Magnet School in Poughkeepsie for children who need academic support and who have no supervision after school.

Hands On! The Hudson Valley

Poughkeepsie, New York

\$6.000

Towards funding for the 2001 publication, "Guide to Volunteer Opportunities."

Harlem Valley Rail Trail

Millerton, New York www.hvrt.org

\$6,000

For a wildlife survey along this scenic trail in eastern Dutchess and Columbia counties.

Harriet Tubman Academic Skills Program

Poughkeepsie, New York

\$6,000

Towards the support of an academic skillsbuilding program for elementary school children living in the Harriet Tubman Terrace public housing complex.

Hudson Valley Foodworks

Poughkeepsie, New York

\$150,000

For a challenge grant to help this shared food production facility secure an additional \$150,000 from other funding sources to put toward debt elimination.



Marist College

Poughkeepsie, New York

\$120,000

www.marist.edu

Two separate grants:

A grant totaling \$104,500 for a commissioned regional poll conducted by the Marist Institute of Public Opinion which surveyed both residents and community leaders of the Mid-Hudson Valley regarding the issues, concerns, and specific needs that face the area (\$70,000); and the first year of a three year grant totaling \$150,000 towards the development of a program to support entrepreneurship in three river towns in the Mid-Hudson Valley (\$50,000).

Martin Luther King Cultural Center, Inc.

Beacon, New York

\$16.000

The second year of a two-year grant totaling \$32,000 towards the development and implementation of a performing arts program for Beacon city youth.

Mediation Center of Dutchess County

Poughkeepsie, New York www.dutchessmediation.org

Towards the development of a comprehensive youth program.

Mid-Hudson Coalition, Inc.

Poughkeepsie, New York

\$3.000

\$37.000

Towards scholarships for a conference on direct care to be held in the Mid-Hudson Valley.

Mid-Hudson Pattern for Progress

Newburgh, New York \$5,000 www.pattern-for-progress.org

For general operating support.

Mill Street Loft

Poughkeepsie, New York \$50,000 www.millstreetloft.org

Two separate grants:

The second year of a three-year grant totaling \$90,000 to support Project ABLE, an arts-oriented summer employment and training program for at-risk youth (\$25,000); and support for the PASWORD program, which uses the arts to address gender specific issues for girls age 11-15 who are identified as being at risk of delinquent behavior (\$25,000).

Mount Saint Mary College

Newburgh, New York \$17,600 www.msmc.edu

Scholarship support for qualified Dutchess County undergraduate students with financial need who are enrolled in either nursing or teacher education programs.

Northern Dutchess Hospital Foundation

Rhinebeck, New York \$200,000 www.ndhosp.com

Towards the joint Campaign for Facility Modernization for Vassar Brothers Hospital and Northern Dutchess Hospital.

Nubian Directions II

Poughkeepsie, New York \$25,000 www.nubiandirections.org

Funding to support the After-School Electronic Study and Research Center and to expand access to information technology among economically disadvantaged youth.

Planned Parenthood of the Mid-Hudson Valley

Poughkeepsie, New York \$50,000 www.ppmhv.org

Towards general support for ongoing reproductive health services.

Ramapo Anchorage Camp

Rhinebeck, New York \$25,000 www.ramapoanchorage.org

The final year of a three-year grant totaling \$75,000 for year-round programming for special-needs children and their families from Dutchess County.

Rhinebeck Aerodrome Museum

Rhinebeck, New York \$25,000 www.oldrhinebeck.org

Towards upgrades in climate control systems to ensure the preservation of aeronautic artifacts.

Rural & Migrant Ministry

Poughkeepsie, New York \$40,000 www.ruralmigrantministry.org

The final payment of a three-year grant totaling \$120,000 for the Youth Empowerment Program, a youth leadership development program within the disenfranchised rural and migrant communities of eastern New York.

Scenic Hudson, Inc.

Poughkeepsie, New York
www.scenichudson.org

\$75,000

Two separate grants:

The final year of a three-year grant totaling \$150,000 for the Farmland Protection Advocacy and Education Campaign to raise awareness of the loss of family farms and to secure the commitment of public and private funds to further protect Hudson Valley farms (\$50,000); and funding to support the operations of the Hudson Valley Preservation Coalition, which includes grassroots, local, regional, and national groups opposing construction of the St. Lawrence Cement Plant in Columbia County (\$25,000).

Sickness Prevention Achieved Through Regional Collaboration

Lakeville, Connecticut \$80,000 www.sparc-health.org

The first year of a three-year grant totaling \$240,000 for the Making Prevention Matter program designed to improve the health of residents of Poughkeepsie and Beacon by providing illness-prevention services to those residents who are at high risk for hypertension, breast cancer, and diabetes.

St. Peter's Community Outreach Center, Inc.

Peekskill, New York \$41,000

Towards a meal delivery program for residents of Beacon and Newburgh affected by HIV/AIDS.

Transart & Cultural Services

West Park, New York www.transartinc.org

Towards the installation of a historical exhibition entitled "Making a Living — Making a Community — Blacks in the Mid-Hudson Valley 1945-2000."

Ulster Performing Arts Center

Kingston, New York

\$150,000

\$15.000

www.upac.org

The second year of a two-year grant totaling \$250,000 for PROJECT 2001, UPAC's renovation and restoration project.

United Way of Dutchess County

Poughkeepsie, New York \$40,000 www.unitedwaydutchess.org

To support the agency's annual campaign.

University Settlement Society of New York

New York, New York \$60,000 www.universitysettlement.org

The second year of a two-year grant totaling \$120,000 for initial program development, administration, and communal production costs for the newly renovated theatre at their camp in Beacon, New York.

Vassar Brothers Hospital Foundation

Poughkeepsie, New York \$215,000 www.vassarbrothers.net

Towards the joint Campaign for Facility Modernization for Vassar Brothers Hospital and Northern Dutchess Hospital.

WAMC Northeast Public Radio

Albany, New York \$50,000 www.wamc.org

To support WAMC's Mid-Hudson Valley Bureau.

YWCA of Dutchess County

Poughkeepsie, New York

\$42.500

The second year of a two-year grant totaling \$85,000 towards the Discover Me teen services program.

Digital Divide Initiative

In 2001, the Dyson Foundation collaborated with IBM and the United Way of Dutchess County to help bridge the "digital divide" by providing nonprofit organizations in the county with hardware, software, wiring for Internet access, training, consulting, and implementation. As a result of this partnership, these eleven nonprofits have been able to increase the availability of technology training and computer access in the communities they serve.

The Dyson Foundation awarded the following grants to these organizations as part of this initiative:

Catharine Street Community Center

Poughkeepsie, New York \$14,000

Dover Plains Library

Wingdale, New York \$13,000 www.dover.lib.ny.us

Family Services, Inc.

Poughkeepsie, New York \$13,000 www.familyservicesny.org

Grinnell Library Association

Wappingers Falls, New York \$6,000 www.grinnell.wappingers.lib.ny.us

Harriet Tubman Academic Skills Program

Poughkeepsie, New York \$6,000

Martin Luther King Cultural Center, Inc.

Beacon, New York \$13,000

North East Community Council

Millerton, New York \$3,000

Nubian Directions II

Poughkeepsie, New York \$19,500 www.nubiandirections.org

Pawling Free Library

Pawling, New York \$7,000 www.pawling.lib.ny.us

Poughkeepsie Library District

Poughkeepsie, New York \$7,000 www.poklib.org

YWCA of Dutchess County

Poughkeepsie, New York \$5,000

ANNE E. DYSON COMMUNITY PEDIATRICS TRAINING INITIATIVE

Babies & Children's Hospital of New York

New York, New York \$500,000 www.nyp.org/nyp_chony

The second year of a five-year grant totaling \$2,500,000.

Children's Hospital of Philadelphia

Philadelphia, Pennsylvania \$491.000 www.chop.edu

The second year of a five-year grant totaling \$2,464,000.

Medical College of Wisconsin

Milwaukee, Wisconsin \$472.000 www.mcw.edu

The second year of a five-year grant totaling \$2,469,000.

University of California San Diego School of Medicine

La Jolla, California \$500,000 www.medicine.ucsd.edu

The second year of a five-year grant totaling \$2,500,000.

University of Hawai'i

Honolulu, Hawai'i \$500,000 www.hawaii.edu/medicine/pediatrics

The second year of a five-year grant totaling \$2,500,000.

University of Rochester Medical Center

Rochester, New York \$486,000 www.urmc.rochester.edu

The second year of a five-year grant totaling \$2,331,000.

Children's Hospital

Boston. Massachusetts www.dysoninitiative.org

\$1.047.500

Two separate grants:

The second year of a five-year grant totaling \$1,502,500 towards the Dyson **Pediatrics Initiative Technical Assistance** Project (\$300,000); and the first year of a five-year grant totaling \$4,424,500 for the creation of and operating support for a national program office for the Initiative (\$747,500).

Johns Hopkins University School of Public Health

Baltimore, Maryland \$218.011 www.med.jhu.edu/wchpc

The second year of a five-year grant totaling \$2,028,162 for cross-site evaluation of programs funded through the Dyson Pediatrics Initiative.



LEGACY & FAMILY INTEREST GRANTS

American Academy of Pediatrics

Elk Grove Village, Illinois \$194,500 www.aap.org

Two separate grants:

The final year of a three-year grant totaling \$271,500 for support of the position of Technical Assistance Manager for the CATCH (Community Access To Child Health) program, which works with pediatricians across the country to enhance understanding of community pediatrics and to develop local programs which serve at-risk communities (\$94,500); and, the final payment of a two-year grant totaling \$120,000 for the development of a longrange, comprehensive, coordinated business plan for activities related to promoting community pediatrics (\$100,000).

American Ballet Theatre

New York, New York \$10,000 www.abt.org

For general operating support.

Children's Hospital

Boston, Massachusetts \$170,000 www.childrenshospital.org

The fourth year of a five-year grant totaling \$888,000 for support of the Dyson Fellowship in Pediatric Advocacy, a program providing training for pediatricians in social and public policy, as well as legislative processes and institutional development and change. The program responds to the many serious health problems confronting children and their families, particularly those defined

as "at-risk," and recognizes the growing need for pediatricians to expand beyond their traditional clinical roles and become advocates for the children they serve. The Dyson Fellowship program provides a two-year training opportunity for two pediatricians a year.

Common Cause Education Fund

Washington, DC

\$5.000

www.commoncause.org

For a tribute to John Gardner, in memory of the friendship between Mr. Gardner and Charles H. Dyson, who was one of the earliest supporters of Common Cause.

Dana-Farber Cancer Institute

Boston, Massachusetts www.dana-farber.net \$500,000

The final year of a three-year grant totaling \$1,685,000 for support of the David G. Nathan Chair in Pediatric Hematology/Oncology.

Dutchess Day School

Millbrook, New York \$500,000 www.dutchessday.org

Towards a new gymnasium and to raise endowment funds.

Family Communications, Inc.

Pittsburgh, Pennsylvania \$10,000 www.familycommunications.org

Towards support for their programs for children.

Grace Church

Millbrook, New York \$41,500 www.gracemillbrook.org

For general operating support.

Greater New York Hospital Foundation

New York, New York \$55,000 www.gnyha.com

For support of a one-day regional conference to provide a forum for policy discussions and educational workshops regarding cultural competency training in medical education at both the undergraduate and graduate level.

High Mowing School

Wilton, New Hampshire \$500,000 www.highmowing.org

The second payment towards a three-year capital campaign grant totaling \$2,000,000.

Lyall Memorial Federated Church

Millbrook, New York \$6,500

Two separate grants:

For general operating support (\$1,500); and the third year of a five-year grant totaling \$25,000 to fund current projects which maintain the Nine Partners Rural Cemetery (\$5,000).

Marietta College

Marietta, Ohio \$2,000,000 www.marietta.org

The second year of a five-year grant totaling \$5,000,000 for the renovation and expansion of the Ban Johnson Field House.

New York City Ballet, Inc.

New York, New York \$10,000 www.nvcballet.com

Towards the Repertory Fund.

New York City Opera

New York, New York \$20,000 www.nycopera.com

For general operating support.

New York University Child Study Center

New York, New York \$100,000 www.aboutourkids.org

The final year of a three-year grant totaling \$300,000 for subsidized mental health services and diagnostic treatment for children.

North Central College

Naperville, Illinois \$15,000 www.noctrl.edu

For general operating support.

Pegasus Therapeutic Riding, Inc.

Stamford, Connecticut \$10,000 www.pegasustr.org

For general operating support.

Pilobolus Dance Theatre

Washington Depot, Connecticut \$15,000 www.pilobolus.com

For general operating support.

Prep For Prep

New York, New York \$5,000 www.prepforprep.org

For general operating support.

Samuel F. B. Morse Historic Site

Poughkeepsie, New York \$250,000 www.morsehistoricsite.org

The second year of a two-year grant totaling \$500,000 towards a capital development program for the construction of a visitor's center.

School of American Ballet

New York, New York \$10,000 www.sab.org

For general operating support.

Southern Vermont Recreation Center Foundation

Springfield, Vermont \$25,000

Towards the construction of a community recreation center.

St. Joseph's Church

Millbrook, New York \$1,500

For general operating support.

SUNY Binghamton University Foundation

Binghamton, New York \$2,000 www.binghamton.edu

For equipment and parts to be used in the construction of a vehicle for entry in the 2002 Society of Automotive Engineers Supermileage Competition.

United Methodist Church of Verbank

Verbank. New York \$1.500

For general operating support.

Washington and Lee University

Lexington, Virginia \$200,000 www.wlu.edu

The third year of a five-year grant totaling \$1,000,000 towards support of "For the Rising Generation" capital campaign.

Weill Medical College of Cornell University

New York, New York \$500,000 www.med.cornell.edu

The third payment of a four-year grant totaling \$5,000,000 to the "New Horizons for Medicine" campaign.

The jobs picture.

African-American and Latino residents of the Mid-Hudson Valley are 20% more likely than white residents to give a top score to the economic priority of creating jobs.

Special Initiatives —

Ensuring Access to Reproductive Health Care

Family Planning Advocates Education Fund

Albany, New York \$90,000 www.fpaofnys.org

The first year of a three-year grant totaling \$270,000 to fund a dedicated Project Director's position for the MergerWatch program, which works with communities to guard against the loss of reproductive health care services that may result from hospital mergers.

NARAL/NY Foundation

New York, New York \$30,000 www.naralny.org

To support a public awareness campaign to increase the knowledge of policymakers,

the media, and the general public about New York State's increasing reliance on Catholic managed-care plans to provide medical care to low-income families, and the fact that these plans limit or eliminate patients' access to reproductive health care.

National Women's Law Center

Washington, DC \$150,000 www.nwlc.org

The first year of a three-year grant totaling \$450,000 for support of a project examining legal strategies to oppose hospital mergers that threaten women's reproductive health services.

DIRECTORS' DISCRETIONARY GRANTS

Bardavon 1869 Operahouse

Poughkeepsie, New York \$5,000

www.bardavon.org

Boston Arts Academy

Boston, Massachusetts \$15,000 www.boston.k12.ma.us

Browning School

New York, New York \$5.000 www.browning.edu

Cambridge Center for Adult Education

Cambridge, Massachusetts \$25,000 www.ccae.org

Catholic University of America

Washington, DC \$5.000 www.cua.edu

City Center

New York, New York \$35,000 www.citycenter.org

Convent of the Sacred Heart

New York. New York \$5.000 www.cshnyc.org

\$5.000

Cross-Cultural Solutions

New Rochelle, New York www.crossculturalsolutions.org

Dana-Farber Cancer Institute The Jimmy Fund Boston, Massachusetts www.jimmyfund.org	\$10,000	The Roland L. Massie Memorial Scholarship Fund at Marist Colle Poughkeepsie, New York www.marist.edu	ege <i>\$20,000</i>
Dutchess Interfaith Council Poughkeepsie, New York	\$10,000	New Horizons Resources, Inc. Poughkeepsie, New York www.newhorizonsresources.org	\$5,000
Franklin & Eleanor Roosevelt Ins	stitute		
<i>Hyde Park, New York</i> www.feri.org	\$5,000	NYU Cancer Institute New York, New York www.nyucancerinstitute.org	\$10,000
Gillespie Forum			
Poughkeepsie, New York	\$5,000	Our Lady of Lourdes High School Association	67 aaa
Helping Hands for the Poor, Inc. <i>Miami, Florida</i>	\$5,000	Poughkeepsie, New York www.ladyoflourdes.org	\$5,000
Hole in the Wall Gang Fund, Inc. New Haven, Connecticut www.holeinthewallgang.org	\$10,000	Poet's House New York, New York www.poetshouse.org	\$5,000
		Port Chester Carver Center	
Inner-City Scholarship Fund New York, New York	\$10,000	Port Chester, New York	\$5,000
www.innercity.org		Saint Francis Health Care Found	dation
Loyola School New York, New York	\$5,000	Poughkeepsie, New York www.saintfrancishospital.com	\$10,000
www.loyola-nyc.org		Saint Vincent Catholic Medical C	Center
March of Dimes Birth Defects Foundation		New York, New York www.svcmc.org	\$20,000
Harrison, New York www.modimes.org	\$5,000	WNYC Radio New York, New York	\$5,000

SUPPORT OF PHILANTHROPY

Council on Foundations Washington, DC www.cof.org	\$45,000	Grantmakers for Effective Organizations Los Altos, California www.geofunders.org	\$12,000
Funders Alliance of Upstate N	lew York		
Rochester, New York www.grantmakers.org	\$10,000	Grantmakers in Health Washington, DC www.gih.org	\$7,500
Grantmakers for Children,			
Youth & Families		Grantmakers in the Arts	
Washington, DC www.gcyf.org	\$5,000	Seattle, Washington www.giarts.org	\$5,000
Grantmakers for Education		Neighborhood Funders Group	
Portland, Oregon www.edfunders.org	\$2,500	Washington, DC www.nfg.org	\$2,000



Financial Information

STATEMENT OF FINANCIAL POSITION

ASSETS	December 31, 200	1 December 31, 2000
Cash and cash equivalents	\$ 125,77	3 \$ 78,717
Prepaid Expenses and other current asse	ts 326,12	8 100,500
Investments	295,505,831 323,639,902	
Furniture and office equipment, net	576,470 51,270	
Total Assets	\$ 296,534,20	2 \$ 323,870,389
LIABILITIES AND NET AS:	SETS	
Accounts Payable	\$ 134,17	3 \$ 201,037
Grants Payable	22,114,15	7 26,377,102
Total Liabilities	22,248,33	0 26,578,139
UNRESTRICTED NET ASSETS	274,285,87	2 297,292,250
Total Liabilities and Unrestricted Net Asse	ts \$ 296,534,20	2 \$ 323,870,389
REVENUE		
Contributions	\$ 576,18	0 \$ —
	Ų 010,10	v v
Investment Income	(12,204,114	
Investment Income Total Revenue		8,196,225
	(12,204,114	8,196,225
Total Revenue	(12,204,114	8,196,225 8,196,225
Total Revenue EXPENSES	(12,204,114 \$ (11,627,934	8,196,225 8,196,225 8,196,225 6 \$ 34,120,035
Total Revenue EXPENSES Grants authorized	(12,204,114 \$ (11,627,934 \$ 8,240,26	8,196,225 8,196,225 8,196,225 6 \$ 34,120,035 8 3,231,595
Total Revenue EXPENSES Grants authorized Other expenses	\$ (12,204,114 \$ (11,627,934 \$ 8,240,26 3,138,17 11,378,44	8,196,225 8,196,225 8,196,225 6 \$ 34,120,035 8 3,231,595 4 37,351,630
Total Revenue EXPENSES Grants authorized Other expenses Total Expenses	\$ (12,204,114 \$ (11,627,934 \$ 8,240,26 3,138,17	8,196,225 8,196,225 8,196,225 6 \$ 34,120,035 8 3,231,595 4 37,351,630 8) (29,155,405)

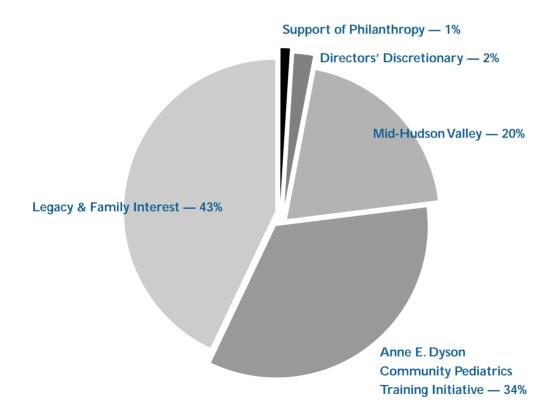
The financial information in this report has been summarized by the Foundation from its audited financial statements. A copy of the independent auditor's report, complete financial statements, and notes thereto are on file at the Foundation's office.

2001 GRANTS PAID BY PROGRAM AREA

There were a total of 144 grants made to 125 organizations.

DOLLARS PAID

Mid-Hudson Valley	\$2,572,200
Anne E. Dyson Community	
Pediatrics Training Initiative	4,214,511
Legacy & Family Interest	5,377,500
Directors' Discretionary	250,000
Support of Philanthropy	89,000
Total	\$12,503,211



The Dyson Foundation

FOUNDERS

Margaret M. and Charles H. Dyson

DIRECTORS AND OFFICERS

Robert R. Dyson, Director and President

Raymond A. Lamontagne, Director*

Joseph V. Mariner, Jr., Director**

Timmian C. Massie, Director*

Michael P. Murphy, Director*

David G. Nathan, M.D., Director

Marc Feldman, Treasurer

John S. FitzSimons, Secretary

Diana M. Gurieva, Vice President

Lynn A. McCluskey, Assistant Treasurer and Assistant Secretary

STAFF

Diana M. Gurieva. Executive Director

Dean K. Stein, Deputy Executive Director

Judy R. Boynton, Program Officer

Timothy D. O'Leary, Information Technology Manager

Michell Speight, Program Officer

Cecilia R. Stancell, Staff Assistant

Lynn J. Sticker, Grants Program Assistant

Anne M. Veteran, Executive Assistant

Vivian Walsh, General Accountant/Administrative Services Manager

^{*}Effective June 2001

^{**}Through May 2001



Making a difference.

76% of Mid-Hudson Valley residents believe they can have an impact on making their communities a better place to live.



CREDITS

Page 5 — Grinnell Library Association, Wappingers Falls, New York

A young student learns how to log on to the Internet at this public library. (Photograph by Karl Rabe/Poughkeepsie Journal, reprinted with permission)

Page 8 — ??????? ?????????

Page 11 — Children's Media Project, Poughkeepsie, New York

Students participating in this organization's after-school and summer programs learn to interact with the media arts as both creators and critical viewers. (Photograph by Penny Lane)

Page 12 — Samuel F.B. Morse Historic Site, Poughkeepsie, New York

Students learn to communicate using the Morse Code during this workshop on electrical circuits and the telegraph. (Photograph by Cecilia Stancell)

Page 14 — Dutchess Outreach, Poughkeepsie, New York

Vassar College student volunteers help prepare food to be served at the Lunch Box, a branch of Dutchess Outreach that provides meals to those in need. (Photograph by Kathy McLaughlin/Poughkeepsie Journal, reprinted with permission)

Page 16 — Center for Creative Education, Stone Ridge, New York

Through training and performance, youth in the Percussion Orchestra of Kingston (POOK) learn basic lessons in responsibility, communication, and collaboration as well as musical skills. (Photograph by Ali McKersie)

Page 20 — Hudson Valley Foodworks, Poughkeepsie, New York

Workers prepare baked goods for distribution at this shared professional food-production facility. (Photograph courtesy of Hudson Valley Foodworks)

Page 24 — Columbia University College of Physicians and Surgeons/Children's Hospital of New York, New York City

A first-year resident interacting with a child during one of his service-learning site visits to the Women, Infants & Children (WIC) Program, a hospital-based community agency that provides services to low-income women and children in the community. (Photograph by Kusum S. Mathews)

Page 30 — Harriet Tubman Academic Skills Program

A student works on a vocabulary lesson while becoming familiar with computer technology at this after-school program. (Photograph by Lee Ferris/Poughkeepsie Journal, reprinted with permission)

Page 34 — Mill Street Loft, Poughkeepsie, New York

Participants in the PASWORD program create a public art mural. (Photograph courtesy of Mill Street Loft)

Page 37 — Harlem Valley Rail Trail Association, Millerton, New York

A scenic stretch of the Rail Trail in southern Columbia County. (Photograph by Jill Wentorf Wright)

Opinion data is from a study, commissioned by The Dyson Foundation, which represents a unique effort to examine in depth how people view the present and future directions of the Mid-Hudson Valley region. The goal was to assess the needs and priorities for the Mid-Hudson Valley through the opinions of both its residents and its community leaders. The Marist College Institute for Public Opinion conducted two surveys; 3,882 residents and 245 community leaders in six counties including Columbia, Dutchess, Greene, Orange, Putnam, and Ulster were interviewed for the study. The full report is to be released during the fall of 2002.

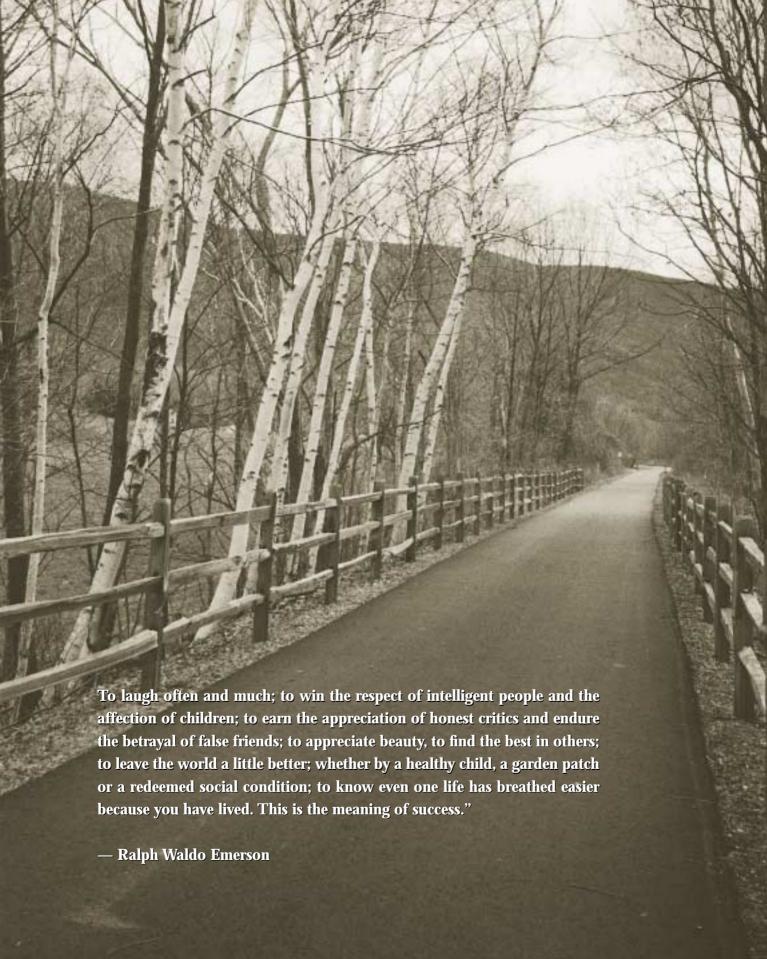
Design Pen & Ink Creative, Poughkeepsie, New York Printing Merchants, Poughkeepsie, New York

Printed on Strobe Silk

African-Americans volunteer.

African-American residents of the

Mid-Hudson Valley are 17% more likely to be active
in their communities than other residents



THE DYSON FOUNDATION

25 Halcyon Road Millbrook, NY 12545-9611 Phone: (845) 677-0644 • Fax: (845) 677-0650 E-mail: info@dyson.org www.dyson.org